

# Dynamics and geometry in infinite-dimensional hyperbolic spaces

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# Outline

Gromov hyperbolic spaces

Classification of isometries

Kleinian groups

Theorems on the radial limit set

Patterson-Sullivan theory

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# Discrete actions of hyperbolic isometries

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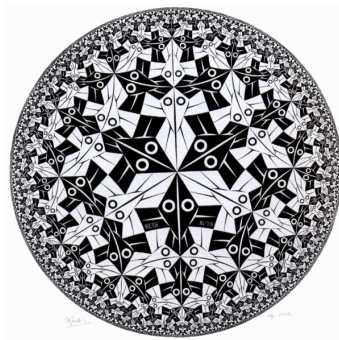
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Greatly popularized in scientific and artistic circles via the seminal work of **Mandelbrot** and **Escher**.



Circle Limit I, Escher  
(1958)

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...once more, with (an infinite-dimensional)  
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Dennis Sullivan in the early '80s indicated a possibility of developing the theory of discrete groups acting by hyperbolic isometries on the open unit ball of a separable Hilbert space.

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Misha Gromov lamented the paucity of results regarding such actions and encouraged their investigation:

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*The spaces like this . . . look as **cute and sexy** to me as their finite-dimensional siblings but they have been for years **shamefully** neglected by geometers and algebraists alike.*



# Gromov hyperbolicity

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## Definition

Let  $(X, d)$  be a metric space. For three points  $x, y, z \in X$ , we define the **Gromov product** of  $x$  and  $y$  with respect to  $z$  by

$$\langle x|y \rangle_z := \frac{1}{2}[d(x, z) + d(y, z) - d(x, y)].$$

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## Definition

$(X, d)$  is **hyperbolic** (or **Gromov hyperbolic**) if for every four points  $x, y, z, w \in X$  we have

$$\langle x|z \rangle_w \gtrsim^1 \min(\langle x|y \rangle_w, \langle y|z \rangle_w).$$

We will refer to this inequality as **Gromov's inequality**.

---

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# Examples of Gromov hyperbolic spaces

Every  $\text{CAT}(-1)$  space is Gromov hyperbolic. In particular, the following examples are Gromov hyperbolic:

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## Definition

A Gromov hyperbolic space  $X$  is **proper** if  $d(0, \cdot) : X \rightarrow \mathbb{R}$  is proper. In other words, if for all  $r > 0$  the set  $\overline{B}(0, r)$  is compact. Here 0 is a distinguished point that we fix in  $X$ .

# The boundary of a hyperbolic space

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Any Gromov hyperbolic space  $X$  has a **Gromov boundary**  $\partial X$ , analogous to the sphere at infinity of standard hyperbolic space. It is defined in a similar way to the completion of a metric space, with the quantity

$$e^{-\langle x|y\rangle_0}$$

playing a role analogous to the distance function.

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The most important fact about the Gromov boundary is the following heuristic: **The Gromov product may be extended to the boundary while preserving key formulas!**

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# A metric on $\partial X$

## Proposition

*For each  $a > 1$  sufficiently small, there exists a complete metric  $D_a$  on  $\partial X$  satisfying the following asymptotic:*

$$D_a(\xi, \eta) \asymp_{\times} a^{-\langle \xi | \eta \rangle_0}. \quad (2.1)$$

*If  $X$  is proper, then  $(\partial X, D_a)$  is compact.*

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## Remark

For CAT(-1) spaces, and in particular for the standard model of hyperbolic geometry, the above proposition holds for any  $1 < a \leq e$ . In particular,  $a = e$  gives the spherical metric.

# Convergence of sequences

## Definition

Fix a sequence  $(x_n)_n$  in  $X$  and a point  $\eta \in \partial X$ . We say that  $(x_n)_n$  **converges to**  $\eta$  if

$$\langle x_n | \eta \rangle_0 \xrightarrow{n} \infty.$$

[Idea:  $a^{-\langle x_n | \eta \rangle_0} \xrightarrow{n} 0$ ]

In this case, we write  $x_n \xrightarrow{n} \eta$ .



# A metric on $\partial X \setminus \{\xi\}$

## Lemma

Let  $E_\xi := \partial X \setminus \{\xi\}$  for some fixed  $\xi \in \partial X$ . If  $x_n \rightarrow \xi$ , then

$$e^{d(0, x_n)} D_{x_n}(\eta_1, \eta_2) \xrightarrow[n, \infty]{} e^{-[\langle \eta_1 | \eta_2 \rangle_0 - \sum_{i=1}^2 \langle \eta_i | \xi \rangle_0]}.$$

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Here  $a_n \xrightarrow{n, \times} b$  means  $\frac{1}{K} \leq \frac{\liminf a_n}{b} \leq \frac{\limsup a_n}{b} \leq K$ .

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## Corollary

There exists a metric

$$D_{\xi, 0}(\eta_1, \eta_2) \asymp_\times e^{-[\langle \eta_1 | \eta_2 \rangle_0 - \sum_{i=1}^2 \langle \eta_i | \xi \rangle_0]}.$$

Note that in  $\text{CAT}(-1)$  you have a limit and equality respectively.

# Classification of isometries

## Theorem

Let  $g$  be an isometry preserving some  $\xi \in \partial X$ . Then  $\exists t \in \mathbb{R}$  such that

A  $B_\xi(x, g^n x) \asymp_+ nt$

B  $D_{\xi,0}(g^n(\eta_1), g^n(\eta_2)) \asymp_\times e^{nt} D_{\xi,0}(\eta_1, \eta_2)$

We call  $e^{-t}$  the *dynamical derivative* of  $g$  at  $\xi$ .

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Here  $B_\xi(x, y) := \liminf_{z \rightarrow \xi} [d(z, x) - d(z, y)]$  is the Busemann function. In Hilbert space, it describes the signed horospherical distance between horospheres centered at  $\xi$  through  $x$  and  $y$  respectively.

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## Definition

Let  $g$  be an isometry preserving some  $\xi \in \partial X$ .

- a  $\xi$  is called **indifferent** fixed point if  $t = 0$
- b  $\xi$  is called **attracting** fixed point if  $t > 0$
- c  $\xi$  is called **repelling** fixed point if  $t < 0$











# Almost recurrent parabolics

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## Example

There are examples of parabolic isometries whose orbits accumulate at their fixed point on the boundary but recur infinitely often to some bounded region in the interior.

The earliest examples we could find were discovered in a different context by Edelstein in the '60s.

# Kleinian groups

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## Definition

Let  $G$  be a group of isometries acting on a hyperbolic space  $X$ . We say that  $G$  is **strongly discrete** if for every  $r > 0$

$$\#\{g \in G : g(0) \in B(0, r)\} < \infty.$$

We say that a group is **Kleinian** if it is strongly discrete.

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A Kleinian group  $G$  is **non-elementary** if there is no finite set  $F \subseteq \partial X$  or bounded set  $F \subseteq X$  such that  $G(F) = F$ .

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## Observation

If  $X$  is proper, then strong discreteness is equivalent to a variety of notions of *discreteness*; however this is not true in general.

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If  $X$  is proper, then strong discreteness is equivalent to a variety of notions of *discreteness*; however this is not true in general. The simplest counterexample, say in Hilbert space, is an infinite-rank parabolic group.

# Radial convergence

## Observation

Fix a sequence  $(x_n)_n$  in  $X$  and a point  $\eta \in \partial X$ . Suppose that  $d(0, x_n) \xrightarrow{n} \infty$ , and that either of the following equivalent asymptotics holds:

$$\begin{aligned}\langle 0 | \eta \rangle_{x_n} &\asymp 0 \\ \langle x_n | \eta \rangle_0 &\asymp d(0, x_n).\end{aligned}$$

Then  $x_n \xrightarrow{n} \eta$ .



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Then  $x_n \xrightarrow[n]{} \eta$ .

## Definition

In the situation above, we say  $(x_n)_n$  **converges radially to  $\eta$** .

We say that  $(x_n)_n$  **converges uniformly radially to  $\eta$**  if it converges radially and if the distances  $(d(x_n, x_{n+1}))_n$  remain bounded.

# Equivalent definition of radial convergence

As in the case of standard hyperbolic space, radial convergence can also be defined in terms of shadows; however we must generalize what we mean by “shadow”:

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## Observation

Suppose that  $d(0, x_n) \xrightarrow{n} \infty$ . Then  $x_n \xrightarrow{n} \eta$  radially if and only if there exists  $\sigma > 0$  such that for all  $n \in \mathbb{N}$ ,

$$\eta \in \text{Shad}(x_n, \sigma).$$

# Limit sets of a Kleinian group

## Definition

Let  $G$  be a Kleinian group. The sets

$$L(G) := \{\eta \in \partial X : \exists g_n(0) \xrightarrow{n} \eta\}$$

$$L_r(G) := \{\eta \in \partial X : \exists g_n(0) \xrightarrow{n} \eta \text{ radially}\}$$

$$L_{ur}(G) := \{\eta \in \partial X : \exists g_n(0) \xrightarrow{n} \eta \text{ uniformly radially}\}$$

denote the **limit set**, **radial limit set**, and **uniformly radial limit set**, respectively.

# The theorem of Bishop and Jones

For each  $s > 0$ , we define the **Poincaré series** for  $G$  with exponent  $s$  to be the series

$$\Sigma_s(G) := \sum_{g \in G} a^{-sd(0, g(0))} .$$

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$$\delta(G) := \inf\{s > 0 : \Sigma_s(G) < \infty\}.$$

## Definition

A measure  $\mu$  is **Ahlfors  $s$ -regular** if

$$\mu(B(x, r)) \asymp_x r^s .$$



## Theorem

*Let  $G$  be a non-elementary Kleinian group. For every  $s < \delta$ , there exists  $\mu$  supported on  $L_{ur}(G)$  such that  $\mu$  is Ahlfors  $s$ -regular.*

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## Corollary

*For any nonelementary Kleinian group  $G$ ,*

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Bishop and Jones (Acta '97) proved this theorem in the case where  $X$  is a finite-dimensional hyperbolic space.

# A group for which $\delta \neq \text{HD}(L_{\text{ur}})$

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## Example

There exists a group  $G$  with:

- a  $\text{HD}(L_r(G)) < \infty$
- b  $\delta = \infty$
- c  $G$  is “parametrically discrete”
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## Idea.

Start with a Schottky group  $H$  generated by two elements that are both “rotations” - i.e. cycle through all the coordinates, and let  $G := \{g : g(H(0)) = H(0)\}$ . Then  $L(G) = L(H)$  but  $\#\text{Stab}_0(G) = \infty$  and so  $G$  is not strongly discrete.  $\square$

# Quasiconformal measures

## Definition

Fix  $s > 0$ . A measure  $\mu$  on  $\partial X$  is said to be **s-quasiconformal** with respect to  $G$  if for every Borel set  $A \subseteq \partial X$  and for every  $g \in G$ , we have

$$\mu(g(A)) \asymp_{\times} \int_A a^{sB_{\eta}(0, g^{-1}(0))} d\mu(\eta).$$

Here  $B_{\eta}(0, g^{-1}(0)) := \langle g^{-1}(0) | \eta \rangle_0 - \langle 0 | \eta \rangle_{g^{-1}(0)}$ .

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- If  $X$  is a CAT(-1) space, then this interpretation can be made explicit, i.e.

$$a^{B_{\eta}(0, g^{-1}(0))} = \lim_{\xi \rightarrow \eta} \frac{d(g\xi, g\eta)}{d(\xi, \eta)}.$$

# Existence and uniqueness of $\delta$ -quasiconformal measures

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geometry in  
infinite-  
dimensional  
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Tushar Das

## Definition

A Kleinian group  $G$  is **of divergence type** if its Poincaré series diverges at its critical exponent, i.e. if

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If  $X$  is a CAT(-1) space, then  $\mu$  can be made conformal, and is unique up to a constant.

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- ▶ Show that  $L_r \subset \partial X$

# A group without a conformal measure!

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## Example

There exists an infinitely generated Schottky group of convergence type with no conformal measure.

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# A group without a conformal measure!

## Example

There exists an infinitely generated Schottky group of convergence type with no conformal measure.

### Idea.

$G$  is constructed so that there exists a  $B(0, R)$  such that any two geodesics between any two of the generating balls intersects  $B(0, R)$ . This gives us that  $L_r(G) = L(G)$ .

Heuristically, the diameters of the generating balls must converge to zero at a specific rate that forces the group to be of convergence type. Then Ahlfors–Thurston implies that there is no conformal measure.  $\square$



# Sullivan Measurable Rigidity

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*Start with a **Borel** conjugacy  $T$  between two actions of non-elementary strongly discrete groups  $\Gamma_1$  and  $\Gamma_2$ .*

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Note that if we assume  $T$  to be Lipschitz, then it suffices to assume that  $\Gamma_1$  is of divergence type and that  $\mu_1$  is  $\delta$ -quasiconformal. In such a case  $\mu_2$  will turn out to be  $\delta$ -quasiconformal as well.

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Making use of conformal measures and a Sullivan's Shadowing Lemma type argument, we prove the following

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However, access to similar geometric results as well as strong stochastic properties for systems associated to large classes of **infinitely-generated** Schottky groups are via extensions of the thermodynamic formalism to such settings and *fine inducing* on (Lai-San) Young towers.



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Thank **you** for your indulgence

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and especially to **Idris**

for this wonderfully well thought out workshop.

Encore!

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# Groups of compact type

## Definition

A properly discontinuous group  $G$  is said to be of **compact type** when  $L(G)$  is compact.

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*For a properly discontinuous group  $G$ , the following are equivalent:*

1.  $G$  is of compact type.
2. Every infinite subset of  $G(0)$  contains an accumulation point.
3. Each sequence  $(g_n(0))_{n \in \mathbb{N}}$  such that  $\lim_{n \rightarrow \infty} \|g_n(0)\| = 1$  has a converging subsequence, which necessarily accumulates at an element in  $L(G)$ .
4. Every infinite subset of  $G(0)$  contains a sequence  $(z_n)_n$  such that  $\langle z_n, z_m \rangle_0 \rightarrow \infty$  as  $n, m \rightarrow \infty$ .



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In CAT(-1) spaces, any group of compact type acting properly discontinuously is strongly discrete.

# Convex-cobounded groups

Say we're in a geodesic Gromov-hyperbolic space. For  $w, z \in \overline{X}$ , let  $\gamma_{w,z}$  be the unique geodesic joining  $w$  and  $z$ .

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A properly discontinuous group  $G$  is **convex cobounded** if there exists a ball about the origin  $B(0, r)$  such that  $q[B(0, r) \cap C_{\Delta}(G)] = q[C_{\Delta}(G)]$ .

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## Theorem

*Let  $G$  be properly discontinuous and of compact type.*

*TFAE:*

1.  $L_r(G) = L(G)$ .
2.  $L_{ur}(G) = L(G)$ .
3. *The group is convex-cobounded.*

# A “remarkable” description of $L(G)$ .

## Definition

A group  $G$  is called **elementary** whenever  $\#L(G) \in \{0, 1, 2\}$ .

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## Theorem (It’s elementary dear ...)

*For every  $G$  the following are equivalent:*

1.  $\#[L(G)] < \infty$ .
2. *Either*
  - ▶  $G = \langle e \rangle$ .
  - ▶  $\exists! \xi \in \partial \mathbb{B}_\infty$  *parabolic* with  $G(\xi) = \xi$  and  $G$  consists entirely of parabolics.
  - ▶  $G = \langle g \rangle$ , with  $g$  *hyperbolic*.
3.  $\nexists g, h \in G$  *hyperbolic* with  $\text{Fix}(g) \cap \text{Fix}(h) = \emptyset$ .

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3.  $\nexists g, h \in G$  *hyperbolic* with  $\text{Fix}(g) \cap \text{Fix}(h) = \emptyset$ .

## Theorem (Minimality)

*For every non-elementary group  $G$ ,  $L(G)$  is the smallest closed  $G$ -invariant subset of  $\partial \mathbb{B}_\infty$  that contains at least 2 points.*



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- ▶ Just as in finite dimensions, we have the following formulae for the associated length elements:

$$ds_{\mathbb{B}}^2 := \frac{4\|dx\|^2}{(1 - \|x\|^2)^2} \quad \text{and} \quad ds_{\mathbb{H}}^2 := \frac{\|dx\|^2}{x_0^2}.$$

# Conformal maps and Liouville's Theorem

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# Conformal maps and Liouville's Theorem

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## Definition

Let  $f : M \rightarrow N$  be a diffeomorphism. Then  $f$  is called **conformal** when there exists a differentiable positive function  $\alpha : M \rightarrow \mathbb{R}$  such that for all  $x \in M$  and for all  $v, w \in T_x M$

$$\langle d_x f(v), d_x f(w) \rangle_{f(x)} = \alpha^2(x) \langle v, w \rangle_x .$$

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For each  $x$ , we call the number  $\alpha(x)$  the *scaling constant* of the map  $d_x f$ .

Note that  $\alpha(x)$  is equal to the operator norm  $\|d_x f\|$ .

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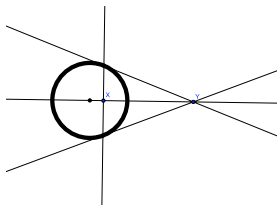
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## Definition

Let  $x \in \mathcal{H}$  and  $\alpha > 0$ . Then the **inversion** with respect to the sphere  $S(x, \alpha)$  is the map

$$i_{x,\alpha} : z \mapsto \alpha^2 \frac{z - x}{\|z - x\|^2} + x$$



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## Theorem (Liouville, 1850)

Let  $U \in \mathcal{H}$  be a non-empty domain,  
 $\phi : U \rightarrow \mathcal{H}$  be a conformal map.

Then either there exists a unique  
quadruple  $(\lambda, x, y, M)$  with  $\lambda > 0$ ;  
 $x, y \in \mathcal{H}$  and  $M \in \mathcal{O}(\mathcal{H})$  such that

$$\phi(z) = \lambda M(i_x(z)) + y,$$

or  $\phi$  is of the form  $\phi(z) = \lambda M(z) + y$ .

As in the finite dimensional case, the map  $\phi$  is  
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Joseph Liouville  
(1809 – 1882)

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**Theorem:** The conformal map  $i_{-\epsilon_0, \sqrt{2}} : \hat{\mathcal{H}} \rightarrow \hat{\mathcal{H}}$   
is a homeomorphism between  $\overline{\mathbb{H}}_\infty$  and  $\overline{\mathbb{B}}_\infty$  and  
an isometry between  $\mathbb{H}_\infty$  and  $\mathbb{B}_\infty$ .

# Theorem (Hyperbolic isometry inside, conformal upto the boundary)

Let  $\mathbb{K}_\infty$  be either  $\mathbb{B}_\infty$  or  $\mathbb{H}_\infty$ . Then TFAE:

- (1)  $g$  is the restriction of a conformal map  $\hat{g} : \hat{\mathcal{H}} \rightarrow \hat{\mathcal{H}}$  which preserves  $\mathbb{K}_\infty$ .
- (2)  $g$  is the restriction of a Möbius transform  $\hat{g} : \hat{\mathcal{H}} \rightarrow \hat{\mathcal{H}}$  which preserves  $\mathbb{K}_\infty$ .
- (3)  $g$  is an element of  $\mathcal{M}_\mathbb{K}$ .
- (4)  $g$  preserves the infinitesimal metric, i.e.  
 $\|g_*[u]\|_{g(x),\mathbb{K}} = \|u\|_{x,\mathbb{K}}$  for every  $x \in \mathbb{K}_\infty$  and all  $u \in T_x\mathbb{K}_\infty$ .
- (5)  $g$  preserves the distance function  $d_\mathbb{K}$ , i.e.  
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Notice that

- (1)  $\Leftrightarrow$  (3) says  $\mathcal{M}_{\mathbb{B}}$  is the group of conformal maps that preserve the unit ball.

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# Isometries of hyperbolic space

Let us define the **Möbius group** as follows:

$$\mathcal{M}_{\mathbb{K}} := \{g : \mathbb{K}_{\infty} \rightarrow \mathbb{K}_{\infty} \mid g \text{ preserves } \langle \cdot, \cdot \rangle_{\mathbb{K}}\}$$

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**Remark** ( $\mathcal{M}_{\mathbb{K}}^* \subsetneq \mathcal{M}_{\mathbb{K}}$ )

Let  $g(z) = \lambda M \circ i_x(z) + y$  for some  $(\lambda, x, y, M)$ . Then  
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[ $\text{Fix}(M)$  has finite codimension.]

# Classification of isometries, à la Klein

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## Theorem (Classification of isometries of hyperbolic space)

*Any isometry of hyperbolic space is conjugate to exactly one of the following:*

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- (2) *Parabolic case:* A bijective affine isometry on  $\mathbb{H}_\infty$  with no fixed points in the interior.
- (3) *Hyperbolic case:* A map of the form  $g = \lambda M : \mathbb{H}_\infty \rightarrow \mathbb{H}_\infty$ , where  $0 < \lambda < 1$  and  $M$  is a bijective linear isometry on  $\mathbb{H}_\infty$ .

Can one make sense of *orientation preserving* transformations in infinite dimensions?

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This does *NOT* make sense in infinite dimensions.

If one wanted to define orientation-preserving via the kernel of a continuous homomorphism  $\mathcal{O} : \mathcal{O}(\mathcal{H}) \rightarrow \mathbb{Z}_2$  one would easily fall into a trap ... For example, any reflection in a hyperplane on  $\ell_2(\mathbb{Z})$  would be orientation-preserving.

### Example

For example, for  $v, w \in \mathcal{H}$ , let  $H_{v,w} := \{x + w \mid x \in v^\perp\}$  be the hyperplane determined by  $v$  and  $w$  and let  $r_{v,w}$  be reflection in this hyperplane given by  $z \mapsto (\text{id} - 2P_v)(z - w) + w$ , where  $P_v$  is the projection onto the hyperplane  $v^\perp$ . Then  $\mathcal{O}(r_{v,w})$  can be shown to equal 1, i.e. be orientation-preserving.

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