

## THETA BLOCKS

MARTIN RAUM FOLLOWING A TALK BY D. ZAGIER

The theory of Theta Blocks is based on work by V. Gritsenko, N. Skoruppa, and Z.

JACOBI FORMS (EICHLER-Z.)

$$\tau \in \mathcal{H}, z \in \mathbb{C}, q = e^{2\pi i\tau} = \underline{e}(\tau), \zeta = e^{2\pi iz} = \underline{e}(z).$$

$$\begin{aligned} \Theta(\tau, z) &= \sum_{n \in \mathbb{Z}} \left(\frac{-4}{n}\right) q^{n^2/8} \zeta^{n/2} \\ &= q^{\frac{1}{8}} (\zeta^{\frac{1}{2}} - \zeta^{-\frac{1}{2}}) - q^{\frac{9}{8}} (\zeta^{\frac{3}{2}} - \zeta^{-\frac{3}{2}}) + \dots \\ &= q^{\frac{1}{8}} \zeta^{\frac{1}{2}} \prod_{n=1}^{\infty} (1 - q^n)(1 - q^n \zeta)(1 - q^{n-1} \zeta^{-1}) \quad (\text{Jacobi triple product identity}). \end{aligned}$$

where

$$\left(\frac{-4}{n}\right) = \begin{cases} \pm 1 & n \equiv \pm 1 \pmod{4} \\ 0 & 2 \mid n \end{cases}.$$

$$\begin{aligned} \Theta(\tau, -z) &= \Theta(\tau, z) \\ \Theta(\tau + 1, z) &= \underline{e}\left(\frac{1}{8}\right) \Theta(\tau, z) \\ \Theta(\tau, z + 1) &= -\Theta(\tau, z) \\ \Theta(\tau, z + \tau) &= -q^{-\frac{1}{2}} \zeta^{-1} \Theta(\tau, z) \\ \Theta\left(-\frac{1}{\tau}, \frac{z}{\tau}\right) &= \sqrt{\frac{\tau}{i}} \underline{e}\left(\frac{z^2}{2\tau}\right) \Theta(\tau, z). \end{aligned}$$

$$\eta(\tau) = \frac{1}{2} \sum_{n \in \mathbb{Z}} \left(\frac{12}{n}\right) q^{\frac{n^2}{24}}.$$

**Definition 0.1.** A Jacobi form is a (holomorphic) function  $\phi(\tau, z)$  satisfying ( $k =$  weight,  $m =$  index)

- (i)  $\phi\left(\frac{a\tau+b}{c\tau+d}, \frac{z}{c\tau+d}\right) = (c\tau+d)^k \underline{e}\left(\frac{mcz^2}{c\tau+d}\right) \phi(\tau, z).$
- (ii)  $\phi(\tau, z + r\tau + s) = \underline{e}(-mr^2\tau - 2mrz) \phi(\tau, z).$
- (iii) holomorphy at infinity:  $\phi(\tau, z) = \sum_{4nm - r^2 \geq 0} c(n, r) q^n \zeta^r.$

Notation:  $J_{k,m}$ .

$$\Theta \in J_{\frac{1}{2}, \frac{1}{2}}.$$

weak Jacobi forms:  $\phi(\tau, z) = \sum_{n \geq 0} c(n, r) q^n \zeta^r$ ; weakly holomorphic:  $\phi(\tau, z) = \sum_{n \geq n_0} c(n, r) q^n \zeta^r$ ; Notation:  $J_{k,m} \subset \widetilde{J}_{k,m} \subset J_{k,m}^!$ .

**Examples 0.2.**  $k > 2$

$$E_{k,m} = \sum_{\mathrm{SL}_2(\mathbb{Z})} \times \mathbb{Z}^2 1 \mid \dots \in J_{k,m}.$$

$$E_{4,1}(\tau, 0) = E_4(\tau) \quad E_{6,1}(\tau, 0) = E_6(\tau)$$

$$\phi_{10,1}(\tau, z) = E_{4,1}E_6 - E_{6,1}E_4 \in J_{10,1}^{cusp}$$

$$\phi_{11,1} = E_{4,1}E'_{6,1} - E_{6,1}E'_{4,1}.$$

$$A = \frac{\phi_{10,1}}{\Delta} \in \tilde{J}_{-2,1} \quad B = \frac{\phi_{12,1}}{\Delta} \in \tilde{J}_{0,1}$$

$$C = \frac{\phi_{11,1}}{\Delta} \in \tilde{J}_{-1,2}.$$

$$M_* = \bigoplus M_k(\mathrm{SL}_2(\mathbb{Z})) = \mathbb{C}[E_4, E_6].$$

$$\tilde{J}_{even,*} = \mathbb{C}[E_4, E_6, A, B] = M_*[A, B]$$

$$\tilde{J}_{*,*} = M_*[A, B, C] / (432C^2 = A(B^3 - 3E_4BA^2 + 2E_6A^4)).$$

$$\frac{B}{A} = \frac{\phi_{12,1}}{\phi_{10,1}} = \frac{\neq 0}{O(z^2)} = c \sqrt{\quad}$$

$$\frac{C}{A^2} = \sqrt{\quad}'.$$

$$\sqrt{\quad}'^2 = \sqrt{\quad}^3 + E_4 \sqrt{\quad} + E_6.$$

0.1. **“Jacobi like”.**  $k$  even  $\Rightarrow \phi(\tau, -z) = \phi(\tau, z)$ .

$$\phi(\tau, z) = \xi_0(\tau) + \left( \frac{\xi_1(\tau)}{2} - \frac{m\xi_0'(\tau)}{k} \right) (2\pi iz)^2 + \left( \frac{x_{i_2}(\tau)}{24} + \frac{m\xi_1'(\tau)}{2(k+2)} + \frac{m^2\xi_0''(\tau)}{2k(k+1)} \right) (2\pi iz)^4 + \dots,$$

where  $(f(\tau) = \sum a_n q^n, f'(\tau) := \sum n a_n q^n)$

$$\xi_0 \in M_k \quad \xi_1 \in M_{k+2} \quad \xi_\nu \in M_{k+2\nu}.$$

Cohen-Kuznetsov

$$\xi_0 \rightarrow \widehat{x}_{i_0}(\tau, z) = \xi_0 + \frac{m}{k} \xi_0'(2\pi iz)^2 + \dots$$

is Jacobi-like (satisfies (1)).

$$\phi = \sum \widehat{\xi}_\nu z^{2\nu}.$$

$$J_{k,m}^{(\text{like})} \cong \left( \prod_{\nu=0}^{\infty} M_{k+2\nu} \quad (\text{convergent part}) \right).$$

$k$  odd

$$\phi(\tau, z) = \xi_0(\tau)(2\pi iz) + \left( \xi_0' + \frac{x_{i_1}}{6} \right) (2\pi iz)^3 + \dots.$$

Odd weight forms have zeros at  $\frac{\tau+1}{2}$ ,  $\frac{\tau}{2}$ , and  $\frac{1}{2}$ .

Any Jacobi function has at most  $2m$  zeros in a fundamental domain. So we get

**Theorem 0.3.** *k even*

$$\tilde{J}_{k,m} \xrightarrow{\cong} \bigoplus_{\nu=0}^m M_{k+2\nu}.$$

*k odd*

$$\tilde{J}_{k,m} \xrightarrow{\cong} \bigoplus_{\nu=0}^{m-2} M_{k+2\nu+1}.$$

We call this the Taylor expansion of a weak Jacobi form.

0.2. **Elliptic forms.** Functions satisfying only (2).

$$\phi = \sum c(n, r) q^n \zeta^r.$$

(2) tells us

$$\phi(\tau, z) = q^{m\lambda^2} \zeta^{2m\lambda} \phi(\tau, z + \lambda\tau + \mu) = \sum c(n, r) q^{n+\lambda r+m\lambda^2} \zeta^{r+2m\lambda}.$$

So we have

$$c(n, r) = c(n + \lambda r + m\lambda^2, r + 2m\lambda) \quad \forall \lambda \in \mathbb{Z}.$$

Set

$$\Delta := 4nm - r^2 = 4m(m\lambda^2 + r\lambda + n) - (2m\lambda + r)^2.$$

$$c(n, r) = C(\Delta, r \pmod{2m})$$

$$\begin{aligned} \phi &= \sum_{l \pmod{2m}} \left( \sum_{\Delta \equiv -l^2 \pmod{4m}} C(\Delta, l) q^{\frac{\Delta + l^2}{4m}} \right) \left( \sum_{r \equiv l \pmod{2m}} q^{\frac{r^2}{4m}} \zeta^r \right) \\ &= \sum_{l \pmod{2m}} h_l(\tau) \underbrace{\Theta_{m,l}(\tau, z)}_{\text{universal}}. \end{aligned}$$

$$\phi \mapsto (h_0, h_1, \dots, h_{2m-1}) = \text{vector}.$$

$$\phi \in J_{k,m} \Rightarrow h_l \in M_{k-\frac{1}{2}}(\text{some level}).$$

**Summary:** (1) (“Jacobi-like”)  $\Leftrightarrow \phi = \xi_0 + (\xi_1 + \dots)z^2 + \dots$  (2) (“elliptic”)  $\Leftrightarrow \phi = \sum_{l=1}^{2m} h_l(\tau) \Theta_{m,l}(\tau, z)$ .

$$\xi_\nu = \sum_{l \pmod{2m}} [h_l \Theta_{m,l}^0]_\nu \in M_{k+2\nu}.$$

$m = 1$  then  $\tilde{J}_{k,m} = M_{k+2}A \oplus M_k B$ ,  $J_{k,1} \cong M_k \oplus S_{k+2}$ ,  $J_{k,1}^{\text{cusp}} = S_k \oplus S_{k+2}$ .

	$\Delta$	-1	0	3	4	7	8	11	12	15
Coefficients	$\phi_{10,1}$	0	0	1	-2	-16	36	99	-272	-240
	$\phi_{12,1}$	0	0	1	10	-88	-132	1275		
	$A$	1	-2	8	-12	39	-56	153		
	$B$	1	10	-64	108					

$$A = \frac{\Theta(\tau, z)^2}{\eta(\tau)^6} \quad B = A \sqrt{\quad} = 4 \sum_{j=2}^4 \frac{\Theta_j(\tau, z)^2}{\Theta_j(\tau, 0)^2} \quad C = \frac{\Theta(\tau, 2z)}{\eta\tau^3}.$$

First weight 2 Jacobi form at  $m = 25$ , first cusp form at  $m = 37$ .

**Theorem 0.4** (Sk. - Z.).

$$J_{k,m} \cong \underbrace{\mathcal{M}}_{\text{“pure”}} \\ M_k^{new}(\Gamma_0(N)) \subset \mathcal{M}_k(N) \subset M_k(\Gamma_0(N)).$$

Connection to elliptic curves  $X_0^*(37) = E_{37}$  with odd function equation.  $L'(E, 1) \cong h(P)$ ,  $P$  a Heegner point. Heegner points  $P_\Delta$  are labeled by discriminants. To compute the corresponding Jacobi form  $\phi$  use projection to  $S_2$ .

$$\phi = \frac{\Theta(z)^3 \Theta(2z)^3 \Theta(3z)^2 \Theta(4z) \Theta(5z)}{\eta(\tau)^6}.$$

**Example 0.5.**  $\tilde{J}_{2,1} = M_4 A \oplus M_2 B = \langle E_4 A \rangle \frac{\Delta \quad -1 \quad 0 \quad 3 \quad 4 \quad 7 \quad 8 \quad 11}{C(E_4 A; \Delta \quad 1 \quad -2 \quad 248 \quad -492 \quad 4119 \quad -7256 \quad 33512)}$

$$j(\tau) = \frac{E_4^3}{\Delta} = q^{-1} + 744 + 196884q + \dots$$

is a Hauptmodule  $\overline{\mathcal{H}}/\Gamma \rightarrow \mathbb{P}^1(\mathbb{C})$ . Set  $J = j - 744$ .

$$\sqrt[3]{J(\tau)} = \frac{E_4(\tau)}{\eta(\tau)^8} = q^{-\frac{1}{3}} + 248q^{\frac{2}{3}} + \dots$$

We find

$$J\left(\frac{1+i\sqrt{3}}{3}\right) = \frac{0-744}{3} = -248 \quad \frac{J(i)}{2} = \frac{1728-744}{2} = 492 \quad J\left(\frac{1+i\sqrt{7}}{2}\right) = -3375 - 744 = -4119.$$

This is the above coefficients.

$$\phi \in J_{k,m}^! \text{ order at } \infty: \text{Ord}_\infty(\phi) = \min\{n - \frac{r^2}{4m} : c(n, r) \neq 0\} = \min_l \text{Ord}_\infty(h_l).$$

weak order:  $\widetilde{\text{Ord}}_\infty(\phi) = \min\{n : c(n, r) \neq 0\}$ .

$$\widetilde{\text{Ord}}_\infty(\phi) \geq 0 \Leftrightarrow \phi \in \tilde{J}_{k,m} \quad \text{Ord}_\infty(\phi) \geq 0 \Leftrightarrow \phi \in J_{k,m}.$$

Right notion of order is a function on  $\mathbb{R}/\mathbb{Z}$ .

**Definition 0.6.**

$$\text{Ord}(\phi)(x) = \min\{mx^2 + rx + n : c(n, r) \neq 0\}.$$

$\min_{x \in \mathbb{R}/\mathbb{Z}} \text{Ord}(\phi)(x) = \text{Ord}_\infty(\phi)$ . We have  $\text{Ord}(\phi_1 \phi_2)(x) = \text{Ord}(\phi_1) + \text{Ord}(\phi_2)$ . The minimum in the definition are attained at  $x = \frac{-r}{2m} \in \frac{1}{2m}\mathbb{Z}/\mathbb{Z}$ .

$$\Theta(\tau, z) = \sum \left(\frac{-4}{n}\right) q^{\frac{n^2}{8}} \zeta^{\frac{n}{2}}$$

$$\text{Ord}(\Theta)(x) = \min_{n \text{ odd}} \left(\frac{1}{2}x^2 + \frac{n}{2}x + \frac{n^2}{8}\right) = \frac{1}{2} \left\|x + \frac{1}{2}\right\|^2.$$

**Example 0.7.** *The above theta block corresponds to  $(a_1, \dots, a_{10}) = (1, 1, 1, 2, 2, 2, 3, 3, 4, 5)$ .*

$$\min_x \left( \sum_{i=1}^{10} \frac{1}{2} \|a_i x - \frac{1}{2}\|^2 \geq \frac{6}{24} \right).$$

So we can divide by  $\eta^6$ .

**Second lecture**

$$\text{ord}_\phi(x) = \min_{c(n,r) \neq 0} (mx^2 + nx + r).$$

$$\phi \text{ hol.} \Rightarrow 4mn \geq r^2 \Rightarrow mx^2 + nx + n = \frac{(2mx+r)^2 + (4mn-r^2)}{4m} \geq 0.$$

$\phi \text{ hol} \Leftrightarrow \text{ord}_\phi(x) \geq 0 \forall x$ , because

$$\min_{x \in \mathbb{R}} (\text{ord}_\phi(x)) = \min_x \min_{c(n,r) \neq 0} (mx^2 + nx + n) = \min_{n,r} \min_x (mx^2 + nx + n) = \min_{n,r} \frac{4mn - r^2}{4m} = \frac{\Delta_{\min}}{4m} = \text{Ord}_\infty$$

Since  $\text{ord}_\phi$  has discontinuities at non-minimal points only, it the minimum is attained at  $x \in \frac{1}{2m}\mathbb{Z}/\mathbb{Z}$ .

Properties of  $g(x) = \text{ord}_\phi(x)$ :

- continuous.
- $g(x + 1) = g(x)$ .
- $g(-x) = g(x)$ .
- $g''(x) = 2m$  a.e.
- $\text{ord}_{\phi_1 \phi_2} = \text{ord}_{\phi_1} + \text{ord}_{\phi_2}$ .

The order is multiplicative, because for  $\phi \in J_{k,m}$ , then  $\phi(\tau, 0) \in M_k, \phi(\tau, \frac{2}{5}) \in M_k$ , but  $\phi(\tau, \frac{2\tau-3}{7}) \notin M_k, q^{\frac{4m}{49}} \phi(\tau, \frac{2\tau-3}{7}) \in M_k$ . Generally,  $q^{m\lambda^2} \phi(\tau, \lambda\tau + \nu)$ . Thus

$$\text{ord}_\phi(x) = \text{limiting value as } \lambda \rightarrow x \in \mathbb{R}, \mu \rightarrow 0 \text{ of } \text{Ord}_\infty(q^{m\lambda^2} \phi(\tau, \lambda\tau + \mu)).$$

1. THETA BLOCKS

**Definition 1.1** (temporary). *A theta block is:*

$$\frac{\Theta_{l_1} \cdots \Theta_{l_N}}{\eta^*},$$

where  $l_i \in \mathbb{Z}, * \in \mathbb{Q}$  and  $\Theta_l(\tau, z) = \Theta(\tau, lz)$ .

More generally: allow  $\Theta_l^{\pm 1}$ .

Example of the more general case:  $\eta(\tau) \frac{\Theta(2z)}{\Theta(z)}$ , which is holomorphic, since all zeros cancel.

$$\Theta_l^0 := \prod_{d|l} \Theta_{l/d}^{\mu(d)}$$

$$\Theta_l = \prod_{d|l} \Theta_d^0,$$

which are holomorphic.

Notation:  $a = \underline{a} = (a_1, \dots, a_N)$ .  $\Theta_{\underline{a}} = \prod_{i=1}^N \Theta(\tau, a_i z)$ .  $M(\underline{a}) = 24 \text{Ord}_\infty(\Theta_{\underline{a}}) \in \mathbb{Q}$

$M(\underline{a})$  is the biggest power of  $\eta$  by which  $\Theta_{\underline{a}}$  can be divided and remain holomorphic.

**Example 1.2.**

$$\eta^{-6} \Theta_{(1,1,1,2,2,2,3,3,4,5)} \in J_{2,37}^{\text{cusp}}$$

Question: How good does this get?

Open question: Does  $N - M(\underline{a})$  have to go to  $\infty$  as  $N \rightarrow \infty$ ? Assuming it does, what is the biggest  $N$  you can find where  $\frac{\Theta_{l_1} \cdots \Theta_{l_N}}{\eta^{N-4}}$  has weight 2?

Record:  $N = 37$ ,  $\frac{\Theta_1(\Theta_1\Theta_2\Theta_3)(\Theta_1 \cdots \Theta_{33})}{\eta^{33.02}}$ .

Fact:  $M(\underline{a}) < N$ .  $\eta^{-M}\Theta_1 \cdots \Theta_N$  hol  $\Rightarrow \frac{N-M}{2} = \text{weight} > 0$ .

$\underline{a} = (a_1, \dots, a_N) \in \mathbb{Z}^N$ . WLOG  $\underline{a} \in \mathbb{N}^N$ , since  $\Theta_{-l} = -\Theta_l$ ,  $\gcd(\underline{a}) = 1$ , and  $1 \geq a_1 \geq \dots \geq a_N$ .

**Example 1.3** (“Theta quarks”).  $a, b \in \mathbb{Z} \Rightarrow \Theta_a \Theta_b \Theta_{a+b}$  is divisible by  $\eta$ , i.e.  $\text{Ord}_\infty(\Theta_a \Theta_b \Theta_{a+b}) \geq \frac{1}{24}$ .  $m = \frac{a^2}{2} + \frac{b^2}{2} + \frac{(a+b)^2}{2} = a^2 + ab + b^2 \in \mathbb{Z}$ .  $\eta^{-M}\Theta_{\underline{a}} \in J_{\frac{N}{2}, m}(\epsilon^{3N-M} v_{\mathbb{H}}^{2m})$ .

$$\text{Ord}_\infty = \begin{cases} \frac{1}{24} & \text{if } 3 \mid m \\ \frac{1}{24}(1 + \frac{2}{m}) & \text{if } 3 \nmid m. \end{cases}$$

*Proof 1:* Show  $\text{ord}(\Theta_a \Theta_b \Theta_{a+b})(x) \geq \frac{1}{24}$ .

$$\text{ord}(\theta)(x) = \frac{1}{2} \|x - \frac{1}{2}\|^2,$$

$\|x\| = d(x, \mathbb{Z})$ .

$$\text{ord}_{\underline{a}} = \min_{x \in \mathbb{R}} \left( \frac{1}{2} \sum_{i=1}^N \|a_i x - \frac{1}{2}\|^2 \right).$$

Average of function is  $\frac{N}{24}$ , giving an upper bound for the minimum  $\text{ord}_\infty$ . So

$$M(\underline{a}) = 24 \text{Ord}_\infty(\Theta_{\underline{a}}) = 12 \min_x \sum_{i=1}^N \|a_i x - \frac{1}{2}\|^2$$

$$\frac{M}{12} = \min_x \min_{\underline{k} \in (\mathbb{Z} + \frac{1}{2})^N} \left\| \underline{a}x - \frac{\underline{k}^2}{\| \underline{k} \|^2} \right\|^2 = \min_{\underline{k} \in (\mathbb{Z} + \frac{1}{2})^N} \|\pi_{\underline{a}}(\underline{k})\|^2 = d\left(\pi_{\underline{a}}\left(\frac{1}{2}, \dots, \frac{1}{2}\right), \pi_{\underline{a}}(\mathbb{Z}^N)\right)^2,$$

where  $\pi_{\underline{a}} : \mathbb{R}^N = \langle \underline{a} \rangle \oplus \langle \underline{a} \rangle^\perp \rightarrow \pi_{\underline{a}} \langle \underline{a} \rangle^\perp$ .

*Receipt:* extend  $\underline{a} = \underline{a}^{(1)}$  to an orthogonal basis of  $\mathbb{R}^N$ ,  $\underline{a}^{(1)}, \dots, \underline{a}^{(N)}$ .

$$\|\underline{k}\|^2 = \sum_{i=2}^N \frac{(k, \underline{a}^{(i)})^2}{(\underline{a}^{(i)}, \underline{a}^{(i)})}.$$

Apply this to  $\underline{a}^{(1)} = (a, b, a+b)$ :  $\underline{a}^{(2)} = (1, 1, -1)$ .

$\underline{k} = (k_1 + \frac{1}{2}, k_2 + \frac{1}{2}, k_3 + \frac{1}{2})$ , so  $\|\pi_{(a,b,a+b)}(\underline{k})\|^2 \geq \frac{(\underline{k}, (1,1,-1))^2}{\|(1,1,-1)\|^2} = \frac{(k_1+k_2-k_3+\frac{1}{2})^2}{3}$ .

$\frac{-\Theta_a \Theta_b \Theta_{a+b}}{\eta} \in J_{1,m}(\epsilon^8)$  is a quark. The character becomes trivial if we multiply three  $Q_1, Q_2, Q_3$  of them.  $Q_1 Q_2 Q_3 \in J_{3, m_1+m_2+m_3}$ .

### Infinite families

(i)  $\eta^{-1}\Theta_a \Theta_b \Theta_{a+b}$ .

(ii)  $\eta^{-2}\Theta_a \Theta_b \Theta_{a+b} \Theta_{a-b}$ . Here,  $\underline{a}^{(1)} = (a, b, a+b, a-b)$ ,  $\underline{a}^{(2)} = (1, 1, -1, 0)$ , and  $\underline{a}^{(3)} = (-1, 1, 0, 1)$ . So  $\|\pi_{\underline{a}}(\underline{k})\|^2 = \frac{(\underline{k}, \underline{a}^{(2)})^2}{(\underline{a}^{(2)}, \underline{a}^{(2)})} + \dots \geq \frac{(1/2)^2}{3} + \frac{(1/2)^2}{3}$ .

(iii)  $\eta^{-4}\Theta_a \Theta_b \Theta_{a+b} \Theta_{a-b} \Theta_{2a-b} \Theta_{a-2b}$ .

(iv)  $\eta^{-3}\Theta_a \Theta_b \Theta_c \Theta_{a+b} \Theta_{b+c} \Theta_{a+b+c}$  specializes to the above if  $c = a - 2b$  (up to the  $\eta$  power).

- (v)  $\eta^{-6}\Theta_a\Theta_b\Theta_c\Theta_d\Theta_{a+b}\Theta_{b+c}\Theta_{c+d}\Theta_{a+b+c}\Theta_{b+c+d}\Theta_{a+b+c+d}$ .  
 (vi)  $\eta^{-\frac{s(s-1)}{2}}\Theta_{a_1}\cdots\Theta_{a_s}\Theta_{a_1+a_2}\cdots\Theta_{a_1+\cdots+a_s}$ .  $N = \frac{s(s+1)}{2}$  and  $M = \frac{s(s-1)}{2}$ , so that  $N - M = s \cong \sqrt{N}$ .

*Proof 2: Actually do it!*

**Proposition 1.4.** For  $a, b \in \mathbb{N}$  define

$$\Theta_{a,b}(\tau, z) = \sum_{r,s \in \mathbb{Z}} \left(\frac{s}{3}\right) q^{r^2+rs+\frac{s^2}{3}} \zeta^{(a-b)r+as} \in J_{1,a^2+ab+b^2}(\epsilon^8).$$

Then  $\Theta_{a,b} = -\frac{\Theta_a\Theta_b\Theta_{a+b}}{\eta}$ .

*Proof.* Trick:

$$\forall s \in \mathbb{Z} : \left(\frac{-3}{s}\right) = \frac{\sum_{t \in s+3\mathbb{Z}} \left(\frac{-4}{t}\right) q^{t^2/24}}{\eta(\tau)}.$$

*Proof:*

$$\eta = \frac{1}{2} \underbrace{\left(\frac{-3}{n}\right)\left(\frac{-4}{n}\right)}_{\left(\frac{12}{n}\right)} q^{\frac{n^2}{24}} = \sum_{n \equiv 1 \pmod{4}} \left(\frac{-3}{n}\right) q^{n^2/24} + \sum_{n \equiv 3 \pmod{4}} \left(\frac{-4}{n}\right) q^{n^2/24}.$$

This is similar to a trick crucial to treat  $L(s, \chi)$  (Gauss)

$$\bar{\chi}(n) = \frac{\sum_{j \pmod{f}} \chi(j) \underline{e}\left(\frac{jn}{f}\right)}{\sum_{j \pmod{f}} \chi(j) \underline{e}\left(\frac{j}{f}\right)}.$$

Euler:

$$\frac{1}{n^s} = \frac{\int_0^\infty t^{s-1} e^{-nt} dt}{\Gamma(s)}.$$

Now consider

$$\{(l, m, n) \in \mathbb{Z}^3 : l \equiv m \equiv n \pmod{2}\} \cong \{(r, s, t) \in \mathbb{Z}^3 : s \equiv t \pmod{3}\} \quad \text{via}$$

$$(l, m, n) \mapsto \left(\frac{n-m}{2}, \frac{l+m}{2} - n, -l - m - n\right)$$

We have

$$(l^2 + m^2 + n^2)/8 = (r^2 + rs + s^2/3) + t^2/24.$$

We have

$$-\Theta_a\Theta_b\Theta_{a+b} = \sum_{l,m,n \in \mathbb{Z}} \left(\frac{-4}{lmn}\right) q^{\frac{l^2+m^2+n^2}{8}} \zeta^{\frac{al+bm-(a+b)m}{2}} = \sum_{r,s,t \in \mathbb{Z}, s \equiv t \pmod{3}} \left(\frac{-4}{t}\right) q^{r^2+rs+s^2/3} q^{t^2/24} \zeta^{\frac{al+bm-(a+b)r}{2}}$$

□

## 2. 3. LECTURE

$$\underline{a} = (a_1, \dots, a_N) \in \mathbb{Z}^N$$

gives us a theta block

$$\Theta_{\underline{a}}(\tau, z) = \prod_{j=1}^N \Theta(\tau, a_j z).$$

Recall

$$M(\underline{a}) = 24 \text{Ord}_{\infty}(\Theta_{\underline{a}}) = 12 \min_{x \in \mathbb{R}} \sum_{i=1}^n d(a_i x, \mathbb{Z} + \frac{1}{2})^2 = \text{biggest } M \text{ s.t. } \eta^{-M} \Theta_{\underline{a}} \text{ is holomorphic.}$$

We find  $M = 0$  if and only if all  $a_i$  are odd.

$$M(\underline{a}) = 12 \min_{x \in \mathbb{R}} \min_{\underline{k} \in (\mathbb{Z} + \frac{1}{2})^N} \|\underline{a}x - \underline{k}\|^2 = 12 \min_{\underline{k} \in (\mathbb{Z} + \frac{1}{2})^N} \|\pi_{\underline{a}}(\underline{k})\|^2 = 12d(\pi_{\underline{a}}(\frac{1}{2}, \dots, \frac{1}{2}), \pi_{\underline{a}}(\mathbb{Z}^N))^2.$$

We are interested in theta blocks with  $N$  as large as possible. There were five families

- (i)  $\underline{a} = (a, b, a + b)$ ,  $N = 3$ ,  $M(\underline{a}) \geq 1$ ,  $k = 1$ .
- (ii)  $\underline{a} = (a, b, a - b, a + b)$ ,  $N = 4$ ,  $M(\underline{a}) \geq 2$ ,  $k = 1$ .
- (iii)  $\underline{a} = (a, b, b - a, a + b, 2b - a, 2a - b)$ ,  $N = 6$ ,  $M(\underline{a}) \geq 4$ ,  $k = 1$ .
- (iv)  $\underline{a} = (a, b, c, a + b, b + c, a + b + c)$ ,  $N = 6$ ,  $M(\underline{a}) \geq 3$ ,  $k = \frac{3}{2}$ .
- (v)  $\underline{a} = (a, b, c, d, a + b, b + c, c + d, a + b + c, b + c + d, a + b + c + d)$ ,  $N = 10$ ,  $M(\underline{a}) \geq 6$ ,  $k = 2$ .

For (1) we can form the matrix (rows  $\underline{a}$ ,  $\underline{a}'$ ,  $r_1$ , and  $r_2$ )

$$\begin{pmatrix} a & b & a - b & a + b \\ b & -a & b + a & b - a \\ 1 & -1 & -1 & 0 \\ 1 & 1 & 0 & -1 \end{pmatrix}$$

which gives an orthogonal basis of  $\mathbb{R}^4$ . With  $\underline{k} = (\alpha, \beta, \gamma, \delta) \in (\mathbb{Z} + \frac{1}{2})^4$  we have

$$\pi_{\underline{a}}(\underline{k}) = \frac{(k, r_1)^2}{(r_1, r_1)} + \frac{(k, r_2)^2}{(r_2, r_2)} = \frac{(\alpha - \beta - \gamma)^2}{3} + \frac{(\alpha + \beta - \delta)^2}{3} \geq \frac{(1/2)^2}{3} + \frac{(1/2)^2}{3} = \frac{2}{12}.$$

$\underline{a}$	$a$	$b$	$a - b$	$a + b$	$2a - b$	$a - 2b$
$\underline{a}'$						
$r_0$	-1	1	1	0	0	0
$r_1$	-1	-1	0	1	0	0
$r_2$	-1	0	-1	0	1	0
$r_3$	0	-1	1	0	0	-1
$r_4$	0	0	0	-1	1	-1

For  $\underline{k} \in \langle r_0, \dots, r_4 \rangle$  we get

$$\|\underline{k}\|^2 = \frac{(k, r_0)^2}{3} + \frac{(k, r_1)^2}{4} + \frac{(k, r_2)^2}{4} + \frac{(k, r_3)^2}{4} + \frac{(k, r_4)^2}{4} \geq \frac{(1/2)^2}{3} + 4 \frac{(1/2)^2}{4} = \frac{4}{12}.$$

We consider  $((n_0, \dots, n_s) \in \mathbb{Z}^{s+1})$

$$\prod_{0 \leq i < j \leq s} \Theta(\tau, (n_i - n_j)z)$$

We have  $N = \binom{s+1}{2} = \frac{s(s+1)}{2}$ .



Claim:  $M = \binom{s}{2} = \frac{s(s-1)}{2}$ . We give 3 proofs of this

- (i)  $\text{Ord} \geq \dots$
- (ii) quotient = explicit  $\Theta$  series
  - a) by comparing transformation properties.
  - b) explicitly.

Fix  $s$

$$V = \{H \in M_{s+1} : H^{\text{tr}} = -H\}$$

$$\dim V = N = \binom{s+1}{2}.$$

We write  $V(\mathbb{R})$ ,  $V(\mathbb{Z})$  for the real and integral points. Orthogonal decomposition  $V(\mathbb{R}) = V_0(\mathbb{R}) \oplus V_1(\mathbb{R})$ . Set

$$\|H\|^2 = Q(H) = \sum_{0 \leq i < j \leq s} h_{ij}^2 = \frac{1}{2} \sum_{i,j} h_{ij}^2 = -\frac{1}{2} \sum_{i,j} h_{ij} h_{ji} = -\frac{1}{2} \text{tr}(H^2).$$

Notation:  $u = \underbrace{(1, \dots, 1)}_{s+1}$ .  $\beta = (\frac{s}{2}, \frac{s-2}{2}, \dots, -\frac{s}{2})$ ,  $\beta_i = \frac{s}{2} - i$  for  $0 \leq i \leq s$ .

$$S = \begin{pmatrix} 0 & 1 & 1 & \cdots & 1 \\ -1 & 0 & & & \vdots \\ \vdots & & & & \\ -1 & \cdots & \cdots & -1 & 0 \end{pmatrix}$$

$$G = \begin{pmatrix} 0 & 1 & 2 & 3 & \cdots & s \\ -1 & 0 & 1 & 2 & \cdots & s-1 \\ \vdots & & & & & \\ -s & \cdots & & & & 0 \end{pmatrix}.$$

We have

$$V_0 = \{(y_i - y_j)_{i,j=0,\dots,s} : y \in \mathbb{R}^{s+1}/\mathbb{R}u\}$$

$$V_1 = \{H \in V : uH = 0 \text{ or } Hu^{\text{tr}} = 0\}$$

$$V_0 = \{y^{\text{tr}}u - u^{\text{tr}}y \mid y = (0 \dots 1 \dots 0),$$

where  $H = H_0 + H_1 \in V_0 \oplus V_1$ . We have  $Q(H) = Q_0(H) + Q_1(H)$ .

**Proposition 2.1.** *We have  $Q_0(H) = \frac{1}{s+1} \|uH\|^2$ ,  $Q_1(H) = \frac{1}{s+1} \sum_{0 \leq i < j < k \leq s} (h_{ij} + h_{jk} + h_{ki})^2$ .*

*Proof.* We have  $Q_0 = 0$  on  $V_1$ ,  $Q_1 = 0$  on  $V_0$ .

$$6(s+1)Q_1(H) = \sum_{i,j,k=0}^s (h_{ij} + h_{jk} + h_{ki})^2$$

$$= 3(s+1) \sum_{i,j} h_{ij}^2 + 6 \sum_{i,j,k} h_{ij} h_{jk} = 6(s+1) \|H\|^2 - 6(s+1)Q_0(H).$$

□

**Theorem 2.2.**  $\prod_{0 \leq i < j \leq s} \Theta(\tau, (n_i - n_j)z)$  is divisible by  $\eta^{\binom{s}{2}}$ .

*Proof.*  $\underline{k} \leftrightarrow K$  is a matrix now.

$$M((n_i - n_j)_{0 \leq i < j \leq s}) \geq 12 \min_K Q_1(K) = 2(s+1) \sum_{0 \leq i < j < k \leq s} (h_{ij} + h_{jk} + h_{ki})^2 \geq 2(s+1)(1/2)^2 \binom{s+1}{3}$$

□

Consider  $\tau \in \mathbb{H}$ ,  $z \in \mathbb{C}^{s+1}/\mathbb{C}u$

$$P_s(\tau; z_0, \dots, z_s) = \prod_{0 \leq i < j \leq s} \Theta(\tau, z_j - z_i).$$

Claim:  $\eta(\tau)^{-\binom{s}{2}} P_s(\tau; \underline{z})$  is holomorphic. If you specialize to  $\underline{z} = \underline{nz}$ ,  $z \in \mathbb{C}$ ,  $\underline{n} \in \mathbb{Z}^{s+1}/\mathbb{Z}u$  then this specializes to previous thing.

**Definition 2.3.**

$$Q_s(\tau; \underline{z}) = \sum_{x \in (\mathbb{Z} + \frac{s}{2})_{sum}^{s+1} \pm 1 \text{ or } 0} \underbrace{\epsilon(x)}_{\substack{\text{if any two } x_i \text{'s are equal} \\ \text{if } \pi(x) \equiv \beta \pmod{s+1} \text{ for some permutation } \pi \in \mathfrak{S}_{s+1}}} q^{\frac{\|x\|^2}{2(s+1)}} \zeta_0^{x_0} \dots \zeta_s^{x_s}.$$

$$\epsilon(x) = \begin{cases} 0 & \text{if any two } x_i \text{'s are equal} \pmod{s+1}, \\ \text{sgn}(\pi) & \text{if } \pi(x) \equiv \beta \pmod{s+1} \text{ for some permutation } \pi \in \mathfrak{S}_{s+1}. \end{cases}$$

**Theorem 2.4.**

$$P_s(\tau; \underline{z}) = \eta(\tau)^{\binom{s}{2}} Q_s(\tau; \underline{z}).$$

*Proof.*

$$\frac{P_s(\tau; z_0 + 1, z_1, \dots, z_s)}{P_s(\tau; z_0, \dots, z_s)} = \prod_{i=1}^s \frac{\Theta(\tau; z_0 - z_i + 1)}{\Theta(\tau; z_0 - z_i)} = (-1)^s.$$

On the other hand,

$$\frac{Q_s(\tau; z_0 + 1, z_1, \dots, z_s)}{Q(\tau, \underline{z})} = \underline{e}\left(\frac{s}{2}\right) = (-1)^s.$$

$$\frac{P_s(\tau; z_0 + \tau, z_1, \dots, z_s)}{P_s(\tau; z_0, \dots, z_s)} = \prod_{i=1}^s \frac{\Theta(\tau; z_0 - z_i + \tau)}{\Theta(\tau; z_0 - z_i)} = \prod_{i=1}^s (-q^{\frac{1}{2}} \zeta_0^{-1} \zeta_i) = (-1)^s q^{-\frac{s}{2}} \zeta_0^{-s} \zeta_1 \dots \zeta_s.$$

To get the analog behavior for  $Q_s$ : In the definition of  $\epsilon$  the permutation needed changes by a cycle of length  $s$ , with sign  $(-1)^s$ .

$$\begin{aligned} (-1)^s Q_s(\tau, \underline{z}) &= \sum_x \epsilon(x) q^{\frac{\|x+A\|^2}{2(s+1)}} \underbrace{\prod \zeta_i^{x_i + A_i}}_{\zeta_0^s \zeta_1^{-1} \dots \zeta_s^{-1} \prod \zeta_i^{x_i}} \\ &= \zeta_0^s \zeta_1^{-1} \dots \zeta_s^{-1} q^{\frac{s}{2}} \underbrace{\sum \epsilon(x) q^{\frac{\|x\|^2}{8}} (q\zeta_0)^{x_0} \zeta_1^{x_1} \dots \zeta_s^{x_s}}_{P_s(\tau; z_0 + \tau, z_1, \dots, z_s)}. \end{aligned}$$

Also:  $Q_s(\tau, \underline{z})$  is antisymmetric in  $z_i$ 's, so it vanishes on all diagonals  $z_i = z_j$ . Thus it is divisible by  $P$  as a function of  $z$ ,  $\tau$  fixed. We have shown that

$$\frac{Q_s(\tau, \underline{z})}{P_s(\tau, \underline{z})}$$

is holomorphic in  $\underline{z}$  and doubly periodic in each  $z_i$ . That is, it is independent of  $\underline{z}$ . □

Remember  $\beta = (\frac{s}{2}, \frac{s-2}{2}, \dots, -\frac{s}{2})$ . Easy:  $\beta$  and its permutations have minimal norm in  $(\mathbb{Z} + \frac{s}{2})_{\text{sum } 0}^{s+1}$ . For example

- $s = 2$ ,  $\beta = (1, 0, -1)$ .
- $s = 3$ ,  $\beta = (\frac{3}{2}, \frac{1}{2}, -\frac{1}{2}, -\frac{3}{2})$ .

$$\frac{\|\beta\|^2}{2(s+1)} = \frac{s(s-1)}{2} \frac{1}{24} = \text{Ord}_\infty(\eta^{\binom{s}{2}}).$$

Coefficient of  $q^{\binom{s}{2}/24}$  is

$$\sum_{\pi \in \mathfrak{S}_{s+1}, x=\pi(\beta)} \text{sgn}(\pi) \zeta_0^{\beta_{\pi(0)}} \cdots \zeta_s^{\beta_{\pi(s)}} = \det(\zeta_i^{\beta_j}) = \prod_{0 \leq i < j \leq s} \frac{\zeta_i - \zeta_j}{\sqrt{\zeta_i \zeta_j}}.$$

*Proof 2.* Remember

$$P_s(\tau, \underline{z}) = \prod \Theta(\tau, z_j - z_i) = \prod_{i,j} \left( \sum_n \left(\frac{-4}{n}\right) q^{\frac{n^2}{8}} (\zeta_i/\zeta_j)^{n/2} \right) = \sum_{\substack{H=-H^{\text{tr}} \\ n_{ij} \text{ odd } (i \neq j)}} \chi(N) q^{\frac{\|H\|^2}{8}} \zeta_0^{x_0} \cdots \zeta_s^{x_s}.$$

Since  $2x = uN$ :

$$\prod \zeta_i^{x_i} = \prod (\zeta_i/\zeta_j)^{n_{ij}/2} = \prod (\zeta_i/\zeta_j)^{n_{ij}/4} = \prod \zeta_i^{n_{ij}/2} = \prod \zeta_i^{\frac{1}{2} \sum_j n_{ij} = x_j}.$$

$$\chi(H) = \prod_{0 \leq i < j \leq s} \left(\frac{-4}{h_j}\right) = (-1)^{\sum_{i < j} n_{ij}} = (-1)^{\text{tr}(NS)/2}.$$

Here,  $H \in V(\mathbb{Z})$ ,  $N \equiv S \pmod{2V(\mathbb{Z})}$ ,  $H = S + 2 \underbrace{N}_{\in V(\mathbb{Z})}$ ,  $h_{ij} = \text{sgn}(j-i) + 2n_{ij}$ .

$$\|H\|^2 = Q(H) = Q_0(H) + Q_1(H) = Q_1(H) + \frac{4\|x\|^2}{s+1}.$$

So we can continue

$$P_s(\tau, \underline{z}) = \sum_{x \in (\mathbb{Z} + \frac{s}{2})_{\text{sum } 0}^{s+1}} \underbrace{\left( \sum_{H=S+2N, uH=2x} (-1)^{\text{tr}(SN)/2} q^{Q_1(H)/8} \right)}_{\text{function } \Delta_s \text{ of } \tau \text{ alone}} q^{\frac{\|x\|^2}{2(s+1)}} \zeta_0^{x_0} \cdots \zeta_s^{x_s}$$

Claim:  $\Delta_s$  does change for  $x' \equiv x \pmod{s+1}$ . Simply replace  $N$  by  $N + (n_i - n_j)_{i,j}$  with same  $Q_1(H)$ .  $\Delta_s$  is antisymmetric.

We get

$$P_s(\tau, \underline{z}) = \Delta_s(\tau) Q_s(\tau, \underline{z})$$

$$\Delta_s(\tau) = \sum_{H=S+2N, uH=2\beta} (-1)^{\text{tr}(SN)/2} q^{Q_1(H)/8} \stackrel{?}{=} \eta(\tau)^{\binom{s}{2}}.$$

**Example 2.5.**  $s = 3$

$$H = \begin{pmatrix} 0 & 1+2a-2b & 1-2a+c & 1+2b-2c \\ -1 & 0 & 1+2a & 1-2b \\ -1 & -1-2a & 0 & 1+2c \\ -1 & -1+2b & -1-2c & 0 \end{pmatrix}$$

$$\|M_1\|^2 = 12(a^2 + b^2 + c^2) - 8(ab + ac + bc) + 4(a - b + c) + 1$$

$$3\|M_1\|^2 = (1 + \cdots)^2 + (1 + \cdots)^2 + (1 + \cdots)^2 \quad ?$$

□

## 3. LECTURE 4 BY NILS SKORUPPA

**How many Jacobi forms are theta blocks?** We allow quotients of theta during the whole lecture.

$$\prod_c \prod_{d|c} \theta_d^{\mu(\frac{c}{d})}$$

are the only admissible theta blocks.

The number of theta block of given index which are holomorphic in  $\mathbb{H} \times \mathbb{C}$  (counted up to multiplication by powers of  $\eta$ ) is finite. The lecture will deal with infinite families of theta block, which are the only hope — at least currently — to prove something about this and related questions.

We have two families

$$\{\theta(\tau, dz)\}_{d \geq 1}, \quad \{\theta^*(\tau, dz)\}_{d \geq 1},$$

where  $\theta^*(\tau, z) = \frac{\theta(\tau, 2z)}{\theta(\tau, z)} \eta(\tau) \in J_{\frac{1}{2}, \frac{3}{2}}(\epsilon)$ .

**Theorem 3.1.** *Every Jacobi form of weight  $\frac{1}{2}$ , any index, any character is a linear combination of these theta blocks.*

Jacobi forms of matrix index:

$$\underline{L} = (L, \beta),$$

where  $\beta$  is a bilinear form  $\beta : L \times L \rightarrow \mathbb{Z}$  which is non-degenerate. We will write  $\mathbb{Q} \otimes L, \mathbb{C} \otimes L$  and then consider  $\beta$  as a form on these modules.

Given a lattice  $U \subseteq L$ ,  $U^\# = \{y \in \mathbb{Q} \otimes L : \beta(y, U) \subseteq \mathbb{Z}\}$ . We have  $L^\# \supseteq L$  of finite index.

We write  $\beta(x) = \frac{1}{2}\beta(x, x)$ . This induces an element in  $\text{Hom}(L, \mathbb{Q}/\mathbb{Z})$  of order 1 or 2. We say  $\underline{L}$  is even, if  $\beta(x) \in \mathbb{Z}$  f. a.  $x \in L$ , and  $\underline{L}$  is odd otherwise. Set  $L_{\text{ev}} := \ker(x \mapsto \beta(x) + \mathbb{Z}) \subseteq L \subseteq L^\# \subseteq_2 L_{\text{ev}}^\#$ . We call the set

$$L^\bullet = \{r \in L_{\text{ev}}^\# : \beta(r, x) \equiv \beta(x) \pmod{\mathbb{Z}} \text{ for all } x \in L\} \subseteq L_{\text{ev}}^\#$$

the set of special vectors (This is also called “shadow of  $\underline{L}$ ” (Quebbemann, Rains, Sloane, Elkies, etc.)). If  $\underline{L}$  is odd, then  $L_{\text{ev}}^\# / L^\# = \{L^\#, L^\bullet\}$ .

Recall that we write  $\epsilon$  for the multiplier system (not the character!) of  $\eta$ .

**Definition 3.2.**  $J_{k, \underline{L}}(\epsilon^k)$ ,  $k \in \frac{1}{2}\mathbb{Z}$ ,  $h \pmod{24}$ ,  $k \equiv \frac{h}{2} \pmod{\mathbb{Z}}$ .  $\phi \in J_{k, \underline{L}}$  if and only if  $\phi : \mathbb{H} \oplus (\mathbb{C} \otimes L) \rightarrow \mathbb{C}$  and

- (i)  $\phi\left(A\tau, \frac{z}{c\tau+d}\right) = e\left(\frac{c\beta(z)}{c\tau+d}\right)(c\tau+d)^{k-\frac{h}{2}}\epsilon^h(A)\phi(\tau, z)$  for  $A \in \text{SL}_2(\mathbb{Z})$ .
- (ii)  $\phi(\tau, z + x\tau + y) = e(\beta(x+y))e(-\tau\beta(x) - \beta(x, z))\phi(\tau, z)$  for  $x, y \in L$ .
- (iii)  $\phi = \sum_{n \in \frac{h}{2} + \mathbb{Z}} \sum_{r \in L^\bullet, n \geq \beta(r)} c(n, r)q^n e(\beta(z, r))$ .

**Remarks 3.3.** (i)  $\underbrace{(x, y)}_{\in L \times L} \mapsto e(\beta(x+y))$  is a character.

- (ii)  $\underline{L}$  not integral, then there are no Jacobi forms.
- (iii)  $\underline{L}$  not semi-positive definite, then there are no Jacobi forms.

(iv)  $F \in (\frac{1}{2}\mathbb{Z})^{n \times n}$ ,  $F = F^{\text{tr}}$ ,  $F > 0$ .

$$J_{k,F}(\epsilon^h) = J_{k,(\mathbb{Z}^n, (x,y) \mapsto x^{\text{tr}}2Fy)}(\epsilon^h)$$

via  $\mathbb{C} \otimes \mathbb{Z}^n \xrightarrow{\cong} \mathbb{C}^n$ ,  $z \otimes x \mapsto zx$ .

$$J_{k,m}(\cdot) = J_{k,(\mathbb{Z}, 2mxy)}(\cdot).$$

**3.1. Embedding construction.**  $\alpha : \underline{L} \rightarrow \underline{M}$  isometric gives  $\alpha^* : J_{k,\underline{M}}(\epsilon^h) \rightarrow J_{k,\underline{L}}(\epsilon^h)$ ,  $\phi \mapsto \alpha^*\phi(\tau, z) = \phi(\tau, \alpha(z))$ .

Special case:

$$\alpha : L \rightarrow \underline{\mathbb{Z}}^N$$

$$\alpha^* \underbrace{\prod_{j=1}^N \theta(\tau, z_j)}_{\in J_{\frac{N}{2}, \underline{\mathbb{Z}}^N}(\epsilon^{3N})} = \underbrace{\prod \theta(\tau, \alpha_j(z))}_{\in J_{\frac{N}{2}, \underline{L}}(\epsilon^{3N})}.$$

Given  $x_0 \in L$ ,  $m = \beta(x_0)$

$$\begin{aligned} s_{x_0} : \underline{\mathbb{Z}}(2m) &\rightarrow \underline{L}, t \mapsto tx_0 \\ (s_{x_0}^* \phi)(\tau, w) &= \underbrace{\phi(\tau, x_0 w)}_{\in J_{k,m}(\epsilon^h)} \quad (w \in \mathbb{C}) \end{aligned}$$

Infinite family of theta blocks: Let  $\phi$  as above and consider  $s_{x_0}^* \phi_{x \in L, x \neq 0} = \{\phi(\tau, xw)\}_{x \in L, x \neq 0}$ . By what power of  $\eta$  can we divide  $\phi$ ? Typically: The power is at most  $\eta^{N-n}$ ,  $n = \text{rk}(\underline{L})$ ,  $\underline{L} \rightarrow \underline{\mathbb{Z}}^N$ .  $\eta^{n-N} \alpha^* \phi \in J_{\frac{N}{2}, \underline{L}}(\epsilon^h)$  has singular weight, so that we cannot divide by a higher power of  $\eta$ .

**Definition 3.4** (Eutactic star on  $\underline{L}$ ).

$$S = \{s_j\}_{j=1}^N, \quad 0 \neq s_j \in L^\# \text{ s.t. } x = \sum_{j=1}^N \beta(s_j, x) s_j \quad (x \in \mathbb{Q} \otimes L).$$

We have  $\beta(x, y) = \sum_j \beta(s_j, x) \beta(s_j, y)$  and  $\beta(x, x) = \sum_j \beta(s_j, x)^2$ .

**Remark 3.5.** We have an embedding

$$\begin{aligned} L \ni X &\mapsto (\beta(s_1, x), \dots, \beta(s_N, x)) \\ \underline{L} &\rightarrow \underline{\mathbb{Z}}^N. \end{aligned}$$

Vice versa, if  $\alpha : \underline{L} \rightarrow \underline{\mathbb{Z}}^N$  with all components  $\neq 0$ , then  $\alpha_j(x) = \beta(s_j, x)$  for a suitable  $s_j \in L^\#$  and  $\{s_j\}_{j=1}^N$  is a ES.

We let  $G_S$  be the subgroup of all  $g \in O(\underline{L})$  s.t. there exists a permutation of indices and sign  $\epsilon_j = \pm 1$  s.t.  $gs_j = \epsilon_j s_{\sigma(j)}$ . We set  $\delta_S(g) = \prod \epsilon_j$ ,  $\delta_S : G_S \rightarrow \{\pm 1\}$ .

**Definition 3.6.**  $\{s_j\}_{j=1}^N$  is extremal if  $L^\bullet / L_{\text{ev}}$  has exactly one  $G_S$ -orbit  $U$  whose elements have stabilizer in  $\ker \delta_S$ .

**Theorem 3.7.**  $\underline{L} = (L, \beta)$  of rank  $n$ ,  $S$  and extremal ES on  $\underline{L}$  of length  $N$ . Then

$$\eta^{n-N} \prod_{j=1}^N \theta(\tau, \beta(s_j, z)) = \gamma \sum_{x \in L_{\text{ev}}} e(\beta(w+x)) \sum_{g \in G_S} \delta_S(g) e(\beta(w+x, gz))$$

for some constant  $\gamma$ . Here,  $w$  is a Weyl vector for  $\{s_j\}$ . By definition, we have  $w + L_{\text{ev}} \in U$ ,  $\beta(w)$  is minimal in  $w + U$ .

**3.2. Eutactic stars arising from irreducible root lattices.** Classification:  $A_n, B_n, C_n, D_n, E_6, E_7$ ,  $R$  irreducible root lattice,  $R^+$  positive roots.

Let  $f_j$  be a  $\mathbb{Z}$  basis for  $\sum \mathbb{Z}r$ .

$$r = \sum_{j=1}^n \rho_{r,j} f_j$$

$$\theta_R(\tau, z) = \prod_{r \in R^+} \theta(\tau, \sum_{j=1}^n \rho_{r,j} z_j) \in J_{\frac{n}{2}, \mathbb{R}}(\epsilon^{n+2N}).$$

In the case  $A_2$ , we get

$$\eta^{-1} \theta(\tau, z) \theta(\tau, z_1 + z_2) \theta(\tau, z_2).$$

For  $\underline{A}_n = \{x \in \mathbb{Z}^{n+1} : x_0 + \cdots + x_n = 0, A_n = \{x \in \underline{A}_n : x^2 = 2\}$ , we set  $R^+ = \{(0, \dots, 1, \dots, -1, \dots, 0)\}$ ,  $f_j = (0, \dots, 1, -1, \dots, 0)$ .

$$\theta_{A_n} = \eta^{-\binom{n}{2}} \prod_{j=1}^n \theta(\tau, z_j) \prod_{1 \leq i < j \leq n} \theta(\tau, z_i + z_j).$$

Set

$$h = \sum_{r \in R^+} (r, r) / n,$$

where the scalar product comes from the ambient Euclidean space  $E$ .

$L =$  weight lattice of  $R = \{x \in E : (x, r) \in \mathbb{Z} \text{ for all } r \in R\}$ .

We have

$$h(z, z) = \sum_{r \in R^+} (r, z)^2,$$

and this give the above formula

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