SIZE OF PIECES IN DECOMPOSITIONS INTO THE FIRST UNCOUNTABLE CARDINAL MANY PIECES

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ABSTRACT. Within the determinacy setting, $\mathscr{P}(\omega_1)$ is regular with respect many known cardinalities and thus there is substantial evidence to support the conjecture that $\mathscr{P}(\omega_1)$ may have globally regular cardinality. However, there is no known information about the regularity of $\mathscr{P}(\omega_2)$. It is not known if $\mathscr{P}(\omega_2)$ is even 2-regular under any determinacy assumptions. The paper will provide the following evidence that $\mathscr{P}(\omega_2)$ may possibly be ω_1 -regular: Assume AD⁺. If $\langle A_\alpha : \alpha < \omega_1 \rangle$ is such that $\mathscr{P}(\omega_2) = \bigcup_{\alpha < \omega_1} A_\alpha$, then there is an $\alpha < \omega_1$ so that $\neg (|A_\alpha| \le |[\omega_2]^{<\omega_2}|)$.

1. INTRODUCTION

A cardinality is an equivalence class under the bijection relation on the class of a sets. The cardinality of X is denoted |X| and consists of all sets in bijection with X. Cardinalities are ordered by the injection comparison relation: $|X| \leq |Y|$ if and only if there is an injection of X into Y. A cardinal is an ordinal which does not inject into any smaller ordinals. Assuming the axiom of choice, every cardinality has a unique cardinal as a member.

If κ is a cardinal, then the classical definition of the cofinality of κ is $\operatorname{cof}(\kappa)$ is the least cardinal δ so that there is an increasing function $\rho: \delta \to \kappa$ so that $\sup(\rho) = \kappa$. An equivalent definition is that it is the least ordinal δ so that for all $\gamma < \delta$ and function $\Phi: \kappa \to \gamma$, there is an $\alpha \in \gamma$ so that $|\Phi^{-1}[\{\alpha\}]| = \kappa$.

In choiceless settings, cardinalities no longer have unique cardinal members since sets may not wellorderable. The collection of cardinalities are also no longer wellordered by the injection comparison relation. In [7], the authors developed a robust notion of regularity and cofinality in the choiceless setting.

Let X be a set and Y be a class. X is said to have Y-regular cardinality if and only if for every function $\Phi: X \to Y$, there is a $y \in Y$ so that $|\Phi^{-1}[\{y\}]| = |X|$. A set X is said to be locally regular if and only if for all sets Y with |Y| < |X|, X has Y-regular cardinality. A set X is said to be globally regular if and only if for all sets Y such that $\neg(|X| \le |Y|)$, X has Y-regular cardinality.

Since cardinalities are not wellordered under the injection comparison relation, the natural definition of the cofinality of a set is formally a proper class:

• The local cofinality of a set X is the class

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 $\mathsf{lcof}(X) = \{Y : (\exists Z)(|Z| = |Y| \land Z \subseteq X \land X \text{ does not have } Y \text{-regular cardinality})\}.$

• Let Surj(X) be the class of all sets onto which X surjects. The global cofinality of a set X is the class

 $gcof(X) = \{Y \in Surj(X) : X \text{ does not have } Y \text{-regular cardinality} \}.$

Observe that if X has locally regular cardinality, then lcof(X) = |X| and if X has globally regular cardinality, then $gcof(X) = \{Y \in Surj(X) : |X| \le |Y|\}$.

The following summarizes some of the results obtained by the authors in [7] concerning regularity and cofinality. If α is an ordinal, then $\operatorname{lcof}(\alpha) = \{X : |\operatorname{cof}(\alpha)| \le |X| \le |\alpha|\}$ and $\operatorname{gcof}(\alpha) = \{X \in \operatorname{Surj}(\alpha) : |\operatorname{cof}(\alpha)| \le |X|\}$. Thus $\operatorname{lcof}(\alpha) = \operatorname{cof}(\alpha)$. If κ is a regular cardinal, then κ has globally regular cardinality and $\operatorname{lcof}(\kappa) = \operatorname{gcof}(\kappa) = |\kappa|$. Thus the choiceless theory of regularity and cofinality has a strong resemblance to the usual theory of cofinality in the choiceful framework.

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Assuming $AC_{\omega}^{\mathbb{R}}$ and all sets of reals have the perfect set property, \mathbb{R} has locally regular cardinality and lcof(\mathbb{R}) = $|\mathbb{R}|$. Under AD^+ , the Woodin's perfect dichotomy ([3], [6]) implies that \mathbb{R} has globally regular cardinality and gcof(\mathbb{R}) = { $X \in Surj(\mathbb{R}) : X$ is not wellorderable}.

³² E_0 is the equivalence relation on ^{ω}2 defined by $x E_0 y$ if and only if there exists an $m \in \omega$ so that for all ³³ $n \in \omega$, if $m \leq n < \omega$, then x(n) = y(n). Under AD^+ , the Hjorth's dichotomy ([11]) implies that \mathbb{R}/E_0 is ³⁴ globally regular and $gcof(\mathbb{R}/E_0) = \{X \in Surj(\mathbb{R}) : X \text{ is not linearly orderable}\}.$

³⁵ Under $\mathsf{AC}^{\mathbb{R}}_{\omega}$ and all subsets of \mathbb{R} have the property of Baire and the perfect set property, $|\mathbb{R}|$ and $|\omega_1|$ are ³⁶ incomparable cardinalities. This can be used to show that $\mathbb{R} \sqcup \omega_1$ does not have 2-regular cardinalities. Thus ³⁷ $\mathsf{gcof}(\mathbb{R} \sqcup \omega_1) = \{X \in \mathsf{Surj}(\mathbb{R}) : |X| \ge 2\}$. Under the same assumptions, $\mathbb{R} \times \omega_1$ does not have \mathbb{R} -regular ³⁸ cardinality and does not have ω_1 -regular cardinality. Under AD^+ , the Woodin perfect set dichotomy will ³⁹ show that $\mathsf{gcof}(\mathbb{R} \times \omega_1) = \{X \in \mathsf{Surj}(\mathbb{R}) : X \text{ is uncountable}\}$.

Martin showed that $\omega_1 \to_* (\omega_1)^{\epsilon}$ and $\omega_2 \to_* (\omega_2)^{\leq \omega_2}_{\leq \omega_2}$ under AD. The partition properties on ω_1 can be used to show that for all $\epsilon \leq \omega_1$, $[\omega_1]^{\epsilon}$ has ω -regular cardinality. If $\epsilon < \kappa$, then $[\omega]^{\epsilon}$ does not have ω_1 -regular cardinality since $[\omega_1]^{\epsilon} = \bigcup_{\delta < \omega_1} [\delta]^{\omega_1}$ by the regularity of ω_1 and since $|[\delta]^{\epsilon}| \leq |\mathbb{R}| < |[\omega_1]^{\epsilon}|$. The partition relation on ω_2 can be used to show that for all $\epsilon < \omega_2$, $[\omega_2]^{\epsilon}$ has ω_1 -regular cardinality. If $\epsilon < \omega_2$, $[\omega_2]^{\epsilon} = \bigcup_{\delta < \omega_2} [\delta]^{\epsilon}$ and hence as before, $[\omega_2]^{\epsilon}$ does not have ω_2 -regular cardinality.

The strong partition property $\omega_1 \to_* (\omega_1)_2^{\omega_1}$ can be used to show that for each $\lambda < \omega_1$, $[\omega_1]^{<\omega_1}$ has λ -regular cardinality. $[\omega_1]^{<\omega_1}$ does not have ω_1 -regular cardinality since $[\omega_1]^{<\omega_1} = \bigcup_{\epsilon < \omega_1} [\omega_1]^{\epsilon}$ and $|[\omega_1]^{\epsilon}| < [\omega_1]^{<\omega_1}$ if $[\omega_1]^{<\omega_1}$ for all $\epsilon < \omega_1$.

At the present time, the regular cardinals, \mathbb{R} , and \mathbb{R}/E_0 are the only known locally or globally regular 48 cardinalities. $\mathscr{P}(\omega_1)$ is the most natural candidate for another globally regular cardinality. The most 49 important conjecture concerning regularity and cofinality is that $\mathscr{P}(\omega_1)$ has globally regular cardinality. [7] 50 has amassed substantial evidence that $\mathscr{P}(\omega_1)$ should be globally regular under determinacy assumptions. 51 $\mathscr{P}(\omega_1)$ is regular with respect to essentially every set (which does not already have an injective copy of $\mathscr{P}(\omega_1)$) 52 for which one currently has a practical understanding: [5] showed that $\omega_1 \to_* (\omega_1)_2^{\omega_1}$ implies that $\mathscr{P}(\omega_1)$ 53 has ON-regular cardinality. One of the main results of [7] is that $\omega_1 \to_* (\omega_1)_{<\omega_1}^{\omega_1}$ implies that $\mathscr{P}(\omega_1)$ has $^{<\omega_1}$ ON-regular cardinality. (It is open if the strong partition property $\omega_1 \to_* (\omega_1)_2^{\omega_1}$ implies the very strong 54 55 partition property $\omega_1 \to_* (\omega_1)_{<\omega_1}^{\omega_1}$; however, the very strong partition property on ω_1 is a consequence of 56 AD.). Assuming AD^+ , $\mathscr{P}(\omega_1)$ is regular with respect to quotient of many familiar Borel equivalence relations. 57 If E is an equivalence relation with all classes countable, then $\mathscr{P}(\omega_1)$ has \mathbb{R}/E -regular cardinality. If E is E_0 , 58 E_1, E_2 , a countable Borel equivalence relation, an essentially countable equivalence relation, a hyperfinite 59 equivalence relation, a hypersmooth equivalence relation, or more generally a Σ_1^1 equivalence relation which 60 is pinned in any model of ZFC (in the sense of Zapletal [20]), then $\mathscr{P}(\omega_1)$ has \mathbb{R}/E -regular cardinality. The 61 Friedman-Stanley jump of $=^+$ is not a pinned equivalence relation. Its quotient ${}^{\omega}\mathbb{R}/{}=^+$ is in bijection with 62 $\mathscr{P}_{\omega_1}(\mathbb{R})$, the set of countable subsets of \mathbb{R} . One can still show that $\mathscr{P}(\omega_1)$ has $\mathscr{P}_{\omega_1}(\mathbb{R})$ -regular cardinality 63 under AD^+ . 64

As mentioned above, $[\omega_2]^{<\omega_2}$ does not have ω_2 -regular cardinality. Intuitively, one would expect $[\omega_2]^{<\omega_2}$ to at least have ω_1 -regular cardinality. Above, it was remarked that the strong partition property $\omega_1 \rightarrow_* (\omega_1)_2^{\omega_1}$ implies $[\omega_1]^{<\omega_1}$ has ω -regular cardinality. However, ω_2 is a weak but non-strong partition cardinal and thus the argument for $[\omega_1]^{<\omega_1}$ does not apply. Similarly, the intuition is that $\mathscr{P}(\omega_2)$ should be highly regular and perhap globally regular.

However since ω_2 is weak partition cardinal which not a strong partition cardinal, $[\omega_2]^{<\omega_2}$ and $\mathscr{P}(\omega_2)$ seems just out of reach of the partition arguments and the Martin's ultrapower analysis of ω_2 . (However, $[\omega_2]^{<\omega_2}$ and more generally $[\omega_n]^{<\omega_2}$ for $2 \le n < \omega$ can still be analyzed through the ultrapowers by measures on ω_1 as shown in [7]). Unlike $\mathscr{P}(\omega_1)$, nothing is known about the cofinality of $\mathscr{P}(\omega_2)$. For example, one does not know if $\mathscr{P}(\omega_2)$ even has 2-regular cardinality. The goal of this paper is to produce some evidence that $[\omega_2]^{<\omega_2}$ and $\mathscr{P}(\omega_2)$ could have 2-regular cardinality or more generally could have ω_1 -regular cardinality. ([7] has shown that $[\omega_2]^{<\omega_2}$ and even $[\omega_n]^{<\omega_2}$ are ω_1 -regular for all $2 \le n < \omega$.)

⁷⁷ If $[\omega_2]^{<\omega_2}$ does not have ω_1 -regular cardinality, then one can decompose $[\omega_2]^{<\omega_2}$ into an ω_1 -length sequence ⁷⁸ of disjoint sets $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ so that $|A_{\alpha}| < |[\omega_2]^{<\omega_2}|$. Although the structure of the cardinalities below ⁷⁹ $[\omega_2]^{<\omega_2}$ is far from understood, perhaps the largest natural cardinality of combinatorial flavor strictly below ⁸⁰ $[\omega_2]^{<\omega_2}$ is $[\omega_2]^{\omega_1}$. An instance of ω_1 -regularity for $[\omega_2]^{<\omega_2}$ would be to show that $[\omega_2]^{<\omega_2}$ cannot be a union ⁸¹ of ω_1 -many sets $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ so that each $|A_{\alpha}| \leq |[\omega_2]^{\omega_1}|$.

Perhaps the largest natural cardinality strictly below $\mathscr{P}(\omega_2)$ is $|[\omega_2]^{<\omega_2}|$. An instance of ω_1 -regularity for $\mathscr{P}(\omega_2)$ would be to show that $\mathscr{P}(\omega_2)$ cannot be a union of ω_1 -many sets $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ so that each $|A_{\alpha}| \leq |[\omega_2]^{<\omega_2}|$.

The main results of this paper will verify these two instances of ω_1 -regularity:

• (Theorem 3.18) Assume AD^+ . If $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ is such that $[\omega_2]^{<\omega_2} = \bigcup_{\alpha < \omega_1} A_{\alpha}$, then there exists an $\alpha < \omega_1$ so that $\neg (|A_{\alpha}| \le |[\omega_2]^{\omega_1}|)$.

• (Theorem 3.19) Assume AD^+ . If $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ is such that $\mathscr{P}(\omega_2) = \bigcup_{\alpha < \omega_1} A_{\alpha}$, then there exists an $\alpha < \omega_1$ so that $\neg (|A_{\alpha}| \le |[\omega_2]^{<\omega}|)$.

Provide the authors in [7] have fully verified under AD the conjecture that $[\omega_2]^{<\omega_2}$ is ω_1 -regular: For any $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ such that $[\omega_2]^{<\omega_2} = \bigcup_{\alpha < \omega_1} A_{\alpha}$, then there is an $\alpha < \omega_1$ so that $|A_{\alpha}| = |[\omega_2]^{<\omega_2}|$. (More generally, for all $2 \le n < \omega$, $[\omega_n]^{<\omega_2}$ is ω_1 -regular.) The verification of ω_1 -regularity for $[\omega_2]^{<\omega_2}$ (or more generally, $[\omega_n]^{<\omega_2}$ when $2 \le n < \omega$) uses a very technical analysis of the ultrapower of ω_1 by the club filter on ω_1 where the type or length of a function into ω_2 represented by a function $f : \omega_1 \to \omega_1$ is not fixed by varies with f. It is still not known if $\mathscr{P}(\omega_2)$ is 2-regular.

For each $1 \le n < \omega$, the projective ordinal δ_n^1 is the supremum of the length of Δ_n^1 prevellorderings on \mathbb{R} . It can be shown that for all $n \in \omega$, $\delta_{2n+2}^1 = (\delta_{2n+1}^1)^+$. $\delta_1^1 = \omega_1$ and $\delta_2^1 = \omega_2$. Also $\delta_3^1 = \omega_{\omega+1}$ and $\delta_{2n+2}^1 = \omega_{\omega+2}$. The last section will show that the results for ω_1 and ω_2 can be generalized to each odd projective ordinal δ_{2n+1}^1 and the next even projective ordinal δ_{2n+2}^1 .

• (Theorem 4.38) Assume AD^+ . Let $n \in \omega$. If $\langle A_{\alpha} : \alpha < \delta_{2n+1}^{1} \rangle$ is such that $\mathscr{P}(\delta_{2n+2}^{1}) = \bigcup_{\alpha < \delta_{2n+1}^{1}} A_{\alpha}$, then there is an $\alpha < \delta_{2n+1}^{1}$ so that $\neg (|A_{\alpha}| \le |[\delta_{2n+2}^{1}]^{<\delta_{2n+2}^{1}}|)$.

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2. Cardinality of Sets of Functions on Ordinals

- **Definition 2.1.** If X and Y are sets, then let ${}^{X}Y$ be the set of all functions from X to Y.
- If δ is a ordinal and X is a set, then let ${}^{<\delta}X = \bigcup_{\epsilon < \delta} {}^{\epsilon}X$.

If δ and λ are ordinals and $X \subseteq \lambda$, then let $[X]^{\delta}$ be the collection of all increasing functions $f : \delta \to X$. Let $[X]^{<\delta} = \bigcup_{\epsilon < \delta} [X]^{\epsilon}$.

If δ is a cardinals and X is a set, then let $\mathscr{P}_{\delta}(X) = \{A \in \mathscr{P}(X) : |A| < \delta\}.$

108 If $\delta \leq \lambda$ are ordinals, then let $IB(\delta, \lambda) = \{f \in {}^{\delta}\lambda : (\forall \alpha < \delta)(\sup(f \upharpoonright \alpha) < \lambda)\}.$

This section collects some basic results concerning the cardinality of sets of the form $[\lambda]^{\delta}$, ${}^{\delta}\lambda$, and $[\lambda]^{<\delta}$.

110 Fact 2.2. Let $\delta \leq \lambda$ be ordinals such that δ is a cardinal. Then $|[\lambda]^{<\delta}| = |\mathscr{P}_{\delta}(\lambda)| = |^{<\delta}\lambda|$.

111 Proof. Let $\Phi: [\lambda]^{<\delta} \to \mathscr{P}_{\delta}(\lambda)$ be defined by $\Phi(f) = \operatorname{rang}(f)$. Φ is a bijection.

112 Let $\pi : \lambda \times \lambda \to \lambda$ be a bijection. For $f \in {}^{<\delta}\lambda$, let $G_f = \{\pi(\alpha, \beta) : \alpha \in \operatorname{dom}(f) \land f(\alpha) = \beta\}$. Note 113 that since $\operatorname{dom}(f) \in \delta$ and δ is a cardinal, $|G_f| < \delta$. Thus $G_f \in \mathscr{P}_{\delta}(\lambda)$. Define $\Psi : {}^{<\delta}\lambda \to \mathscr{P}_{\delta}(\lambda)$ by 114 $\Psi(f) = G_f$. Ψ is an injection. The previous paragraph showed there is an bijection of $\mathscr{P}_{\delta}(\lambda)$ into $[\lambda]^{<\delta}$ 115 and $[\lambda]^{<\delta} \subseteq {}^{<\delta}\lambda$. Thus there is an injection $\Psi : \mathscr{P}_{\delta}(\lambda) \to {}^{<\delta}\lambda$. By the Cantor-Schröder-Bernstein theorem, 116 $|{}^{<\delta}\lambda| = |\mathscr{P}_{\delta}(\lambda)| = |[\lambda]^{<\delta}|$.

117 Say an ordinal λ is indecomposable if and only if for all $\alpha, \beta < \lambda, \alpha + \beta < \lambda$ and $\alpha \cdot \beta < \lambda$.

Fact 2.3. If $\delta \leq \lambda$ are ordinals and λ is indecomposable, then $|IB(\delta, \lambda)| = |[\lambda]^{\delta}|$.

119 Proof. For $f \in IB(\delta, \lambda)$, define $\Phi(f) \in [\lambda]^{\delta}$ by recursion as follows. Suppose for all $\beta < \delta$, $\Phi(f) \upharpoonright \beta$ has been 120 defined and for all $\alpha < \beta$, $\Phi(f)(\alpha) \le \sup(f \upharpoonright \alpha+1) \cdot (\alpha+1) < \lambda$. Then $\sup(\Phi(f) \upharpoonright \beta) \le \sup(f \upharpoonright \beta) \cdot \beta < \lambda$ since 121 $\sup(f \upharpoonright \beta) < \lambda$ and λ is indecomposable. Let $\Phi(f)(\beta) = \sup(\Phi(f) \upharpoonright \beta) + f(\beta)$ which is less than λ since λ is 122 indecomposable. Then $\Phi(f)(\beta) = \sup(\Phi(f) \upharpoonright \beta) + f(\beta) \le \sup(f \upharpoonright \beta) \cdot \beta + f(\beta) \le \sup(f \upharpoonright \beta+1) \cdot (\beta+1) < \lambda$ 123 since λ is indecomposable.

This defines $\Phi : IB(\delta, \lambda) \to [\lambda]^{\delta}$. Note that for all $\alpha < \delta$, $f(\alpha)$ is the unique ordinal γ so that $\Phi(f)(\alpha) = \sup(\Phi(f) \upharpoonright \alpha) + \gamma$. Thus Φ is an injection. Thus $|IB(\delta, \lambda)| \le |[\lambda]^{\delta}|$. Since $[\lambda]^{\delta} \subseteq IB(\delta, \lambda), |[\lambda]^{\delta}| \le |IB(\delta, \delta)|$. By the Cantor-Schröder-Bernstein, $|[\lambda]^{\delta}| = |IB(\delta, \lambda)|$.

- 127 Fact 2.4. Let $\delta \leq \lambda$ be ordinals such that λ is indecomposable and $\delta \leq \operatorname{cof}(\lambda)$. Then $|\delta \lambda| = |[\lambda]^{\delta}|$.
- 128 Proof. Suppose $\delta \leq \operatorname{cof}(\lambda)$. For all $f \in {}^{\delta}\lambda$ and $\alpha < \delta$, $\sup(f \upharpoonright \alpha) < \lambda$. Thus ${}^{\delta}\lambda \subseteq B(\delta, \lambda)$. Thus 129 $|{}^{\delta}\lambda| = |B(\delta, \lambda)| = |[\lambda]^{\delta}|$ by Fact 2.3.
- Fact 2.5. Let $\delta \leq \lambda$ be ordinals such that λ is indecomposable, $\operatorname{cof}(\delta) = \operatorname{cof}(\lambda)$, and $\delta < \operatorname{cof}(\lambda)^+$. Then $|\delta \lambda| = |[\lambda]^{\delta}|.$

Proof. Note that $|^{\delta}\lambda| = |^{\operatorname{cof}(\lambda)}\lambda|$ since $|\delta| = |\operatorname{cof}(\delta)|$. By Fact 2.4, $|^{\operatorname{cof}(\lambda)}\lambda| = |[\lambda]^{\operatorname{cof}(\lambda)}|$. Thus $|^{\delta}\lambda| = |[\lambda]^{\operatorname{cof}(\lambda)}|$. Thus it suffices to produce an injection of $[\lambda]^{\operatorname{cof}(\lambda)}$ into $[\lambda]^{\delta}$. Let $\rho : \operatorname{cof}(\lambda) \to \delta$. Since λ is indecomposable, $\delta \cdot \lambda = \lambda$. For each $\alpha < \lambda$, let $\iota(\alpha)$ be the least $\beta < \operatorname{cof}(\lambda)$ so that $\alpha \leq \rho(\beta)$. For $f \in [\lambda]^{\operatorname{cof}(\lambda)}$, let $\Phi(f) : \delta \to \lambda$ be defined by $\Phi(f)(\alpha) = \delta \cdot f(\iota(\alpha)) + \alpha$. One can check that for all $f \in [\lambda]^{\operatorname{cof}(\lambda)}$, $\Phi(f) \in [\lambda]^{\delta}$ and $\Phi : [\lambda]^{\operatorname{cof}(\lambda)} \to [\lambda]^{\delta}$ is an injection.

Fact 2.6. If κ is a measurable cardinal (has a κ -complete nonprincipal ultrafilter on κ), then for all $\delta < \kappa$, there is no injection of κ into $\mathscr{P}(\delta)$.

139 Proof. Suppose $\Phi : \kappa \to \mathscr{P}(\delta)$ is a function. Let μ be a κ -complete nonprincipal ultrafilter on κ . For each 140 $\alpha < \delta$ and $i \in \{0, 1\}$, let $A^i_{\alpha} = \{\beta < \kappa : \Phi(\beta)(\alpha) = i\}$ (where elements of $\mathscr{P}(\delta)$ are identified with elements 141 of ${}^{\delta}2$). For each $\alpha < \delta$, let i_{α} be the unique $i \in \{0, 1\}$ so that $A^{i_{\alpha}}_{\alpha} \in \mu$. Since μ is κ -complete, $\bigcap_{\alpha < \delta} A^{i_{\alpha}}_{\alpha} \in \mu$. 142 Let $f \in {}^{\delta}2$ be defined by $f(\alpha) = i_{\alpha}$. Since μ is nonprincipal, let $\alpha_1 < \alpha_2 < \delta$ so that $\alpha_1, \alpha_2 \in \bigcap_{\alpha < \delta} A^{i_{\alpha}}_{\alpha}$. 143 $\Phi(\alpha_1) = f = \Phi(\alpha_2)$. Thus Φ is not an injection.

¹⁴⁴ Under AD, ω_1 is a strong partition cardinal and ω_2 is a weak partition cardinal. Thus ω_1 and ω_2 are ¹⁴⁵ measurable cardinals. More generally, δ_{2n+1}^1 is a strong partition cardinal and δ_{2n+2}^1 is a weak partition ¹⁴⁶ cardinal. (It is known that $\delta_3^1 = \omega_{\omega+1}$ and $\delta_4^1 = \omega_{\omega+2}$.) (See [6], [17], or [18] for more information concerning ¹⁴⁷ partition properties under AD and the associated measures.)

- If κ is a cardinal, then one says boldface GCH holds at κ if and only if there is no injection of κ^+ into $\mathscr{P}(\kappa)$. Boldface GCH holds below κ if and only if boldface GCH holds at all $\delta < \kappa$. Fact 2.6 implies the following result.
- 151 Fact 2.7. Assume AD. Boldface GCH holds at ω and ω_1 .

Steel ([24] and [25]) showed that if $L(\mathbb{R}) \models \mathsf{AD}$, then $L(\mathbb{R}) \models$ "boldface GCH holds below Θ ". Thus by the Moschovakis coding lemma, it is a theorem of AD that boldface GCH holds below $\Theta^{L(\mathbb{R})}$. More generally, Woodin showed that AD^+ implies the boldface GCH holds below Θ .

Fact 2.8. Suppose λ is cardinal and λ does not inject into $\mathscr{P}(\kappa)$ for any $\kappa < \lambda$. Then $\neg(|[\lambda]^{\operatorname{cof}(\lambda)}| \leq |\bigcup_{\delta \leq \kappa \leq \lambda} [\kappa]^{\delta}|)$.

Proof. Suppose there is an injection $\Phi : [\lambda]^{\operatorname{cof}(\lambda)} \to \bigcup_{\delta \leq \kappa < \lambda} [\kappa]^{\delta}$. Let $\tilde{\Phi} \subseteq [\lambda]^{\operatorname{cof}(\lambda)} \times \lambda \times \lambda$ be defined by $(f, \alpha, \beta) \in \tilde{\Phi}$ if and only if $\alpha \in \operatorname{dom}(\Phi(f))$ and $\Phi(f)(\alpha) = \beta$. $L[\tilde{\Phi}] \models \mathsf{ZFC}$. In $L[\tilde{\Phi}]$, define $\Psi : [\lambda]^{\operatorname{cof}(\lambda)} \to \bigcup_{\delta \leq \kappa < \lambda} [\kappa]^{\delta}$ by $\Psi(f)(\alpha) = \beta$ if and only if $\tilde{\Phi}(f, \alpha, \beta)$. Note $\Psi \in L[\tilde{\Phi}]$ and $L[\tilde{\Phi}] \models \Psi : [\lambda]^{\operatorname{cof}(\lambda)} \to \bigcup_{\delta \leq \kappa < \lambda} [\kappa]^{\delta}$ is an injection. If there are $\delta \leq \kappa < \lambda$ so that $L[\tilde{\Phi}] \models \lambda \leq |[\kappa]^{\delta}|$, then there is an injection of λ into $[\kappa]^{\delta} \subseteq \mathscr{P}(\kappa)$ in the real world. This contradicts the assumption that λ does not inject into $\mathscr{P}(\kappa)$ for any $\kappa < \lambda$. Thus $L[\tilde{\Phi}] \models |\bigcup_{\delta \leq \kappa < \lambda} [\kappa]^{\delta} = \lambda$. By a theorem of ZFC , $L[\tilde{\Phi}] \models |[\lambda]^{\operatorname{cof}(\lambda)}| \geq \lambda^+$. It is impossible that $L[\tilde{\Phi}] \models \Psi : [\lambda]^{\operatorname{cof}(\lambda)} \to \bigcup_{\delta < \kappa < \lambda} [\kappa]^{\delta}$ is an injection. \Box

Fact 2.9. Suppose κ is a regular cardinal and there is no injection of κ into $\mathscr{P}(\delta)$ for any $\delta < \kappa$. Then $|[\kappa]^{<\kappa}| < |\mathscr{P}(\kappa)|.$

166 Proof. It is clear that $|[\kappa]^{<\kappa}| \leq |\mathscr{P}(\kappa)|$. Since κ is regular, $[\kappa]^{<\kappa} = \bigcup_{\delta \leq \mu < \kappa} [\mu]^{\delta}$. By Fact 2.8, $\neg (|\mathscr{P}(\kappa)| = 167 |[\kappa]^{\kappa}| \leq |\bigcup_{\delta \leq \mu < \kappa} [\mu]^{\delta}|] = [\kappa]^{<\kappa}$.

Since Martin showed that $\omega_2 \to (\omega_2)_2^2$ (and in fact, $\omega_2 \to (\omega_2)_2^{\epsilon}$ for all $\epsilon < \omega_2$), ω_2 is a regular cardinal.

169 Fact 2.10. Assume AD. $|[\omega_2]^{<\omega_2}| < |\mathscr{P}(\omega_2)|.$

170 Proof. This follows from Fact 2.7 and Fact 2.9.

Fact 2.11. Let $\delta \leq \lambda$ be ordinals such that $\operatorname{cof}(\lambda) < \operatorname{cof}(\delta)$ and λ does not inject into $\mathscr{P}(\kappa)$ for all $\kappa < \lambda$. Then $|[\lambda]^{\delta}| < |^{\delta}\lambda|$.

Proof. It is clear that $[\lambda]^{\delta} \subseteq {}^{\delta}\lambda$. Since $\operatorname{cof}(\delta) \neq \operatorname{cof}(\lambda)$, $[\lambda]^{\delta} = \bigcup_{\kappa < \lambda} [\kappa]^{\delta} \subseteq \bigcup_{\mu \le \kappa < \lambda} [\kappa]^{\mu}$. Define $\Psi : [\lambda]^{\operatorname{cof}(\lambda)} \to {}^{\delta}\lambda$ by

$$\Psi(f)(\alpha) = \begin{cases} f(\alpha) & \alpha < \operatorname{cof}(\lambda) \\ 0 & \operatorname{cof}(\lambda) < \alpha \end{cases}.$$

¹⁷³ Ψ is an injection. Thus if there was an injection of ${}^{\delta}\lambda$ into $|[\lambda]^{\delta}|$, then there would be an injection of $[\lambda]^{\operatorname{cof}(\lambda)}$ ¹⁷⁴ into $\bigcup_{\mu < \kappa < \lambda} [\kappa]^{\mu}$ which contradicts Fact 2.8.

Example 2.12. Assume AD. Recall Steel showed the boldface GCH holds below $\Theta^{L(\mathbb{R})}$ (and one can directly use the analysis of the ultrapower by the finite partition measures on ω_1 to show the boldface GCH below $\omega_{\omega+1}$).

(1) $|[\omega_{\omega}]^{\omega_1}| < |^{\omega_1}\omega_{\omega}|$. This follows from Fact 2.11. The cardinality of the collection of the increasing sequences can be smaller than the cardinality of the collection of all sequences.

187 Fact 2.13.

• ([8]) (AD) $[\omega_1]^{<\omega_1}$ does not inject into $^{\omega}(\omega_{\omega})$.

- ([8]) $(AD + DC_{\mathbb{R}})$. $[\omega_1]^{<\omega_1}$ does not inject into "ON, the class of ω -sequences of ordinals.
- ([9]) More generally, if $\kappa \to_* (\kappa)_2^{<\kappa}$ (κ is a weak partition cardinal), then $[\kappa]^{<\kappa}$ does not inject into ¹⁹⁰ $^{\lambda}$ ON, for all $\lambda < \kappa$.
- 192 Fact 2.14. Assume AD. $|[\omega_2]^{\omega_1}| < |[\omega_2]^{<\omega_2}|$.

Proof. Under AD, Martin showed that ω_2 is a weak partition cardinal (that is, satisfies $\omega_2 \rightarrow_* (\omega_2)_2^{<\omega_2}$). The result follows from the third point in Fact 2.13.

Example 2.15. Assume AD. Note that $\neg(|[\omega_{\omega}]^{\omega}| \leq |[\omega_{\omega}]^{\omega_{1}}|)$. This is because if there was an injection of $[\omega_{\omega}]^{\omega}$ into $[\omega_{\omega}]^{\omega_{1}}$, then there would be an injection of $[\omega_{\omega}]^{\omega}$ into $[\omega_{\omega}]^{\omega_{1}} = \bigcup_{\omega_{1} \leq \kappa < \omega_{\omega}} [\kappa]^{\omega_{1}} \subseteq \bigcup_{\delta \leq \kappa < \omega_{\omega}} [\kappa]^{\delta}$ which violates Fact 2.8. Note that $\neg(|[\omega_{\omega}]^{\omega_{1}}| \leq |[\omega_{\omega}]^{\omega}|)$. This is because $[\omega_{1}]^{<\omega_{1}}$ injects into $[\omega_{\omega}]^{\omega_{1}}$ and $[\omega_{1}]^{<\omega_{1}}$ does not inject into ${}^{\omega}$ ON by Fact 2.13. Since $[\omega_{\omega}]^{\omega_{1}}$ injects into $[\omega_{\omega}]^{\omega_{1}+\omega}$, this shows that $|[\omega_{\omega}]^{\omega}| < |[\omega_{\omega}]^{\omega_{1}+\omega}|$.

See [4] for more information concerning distinguishing sets of the form $[\kappa]^{\delta}$ and ${}^{\delta}\kappa$ for varying $\delta \leq \kappa < \Theta$ under AD^+ .

202

3. Decomposition into ω_1 Many Pieces

Definition 3.1. Fix a bijection $\pi : \omega \times \omega \to \omega$. If $x \in {}^{\omega}\omega$ and $k \in \omega$, then let $x^{[k]} \in {}^{\omega}\omega$ be defined by $x^{[k]}(n) = x(\pi(k, n))$.

If $x \in {}^{\omega}2$, then define $\mathcal{R}_x \subseteq \omega \times \omega$ by $\mathcal{R}_x(m,n)$ if and only if $x(\pi(m,n)) = 1$. Let field $(x) = \text{field}(\mathcal{R}_x) = \{m : (\exists n)(\mathcal{R}_x(m,n) \lor \mathcal{R}_x(n,m))\}.$

Let WO = { $w \in {}^{\omega}2 : \mathcal{R}_w$ is a wellordering}. Let ot : WO $\rightarrow \omega_1$ be defined by ot(w) is the order type of (field(w), \mathcal{R}_w). If $\alpha < \omega_1$, then let WO_{α} = { $w \in$ WO : ot(w) = α }.

Definition 3.2. Let $\alpha < \omega_1$. For $s \in {}^{<\omega}\alpha$, let $N_s^{\alpha} = \{f \in {}^{\omega}\alpha : s \subseteq f\}$. Give ${}^{\omega}\alpha$ the topology generated by $\{N_s^{\alpha} : s \in {}^{<\omega}\alpha\}$ as a basis (which is the product of the discrete topology on α). Then ${}^{\omega}\alpha$ is homeomorphic to ${}^{\omega}\omega$ with its usual topology.

Under AD, all subsets of $\omega \omega$ have the Baire property and thus well ordered unions of meager subsets of 212 $\omega \omega$ are meager in $\omega \omega$. (For the latter fact: Given a wellordered sequence of meager sets whose union is 213 nonmeager, consider the horizontal and vertical section of the prevellordering induced by the sequence to 214 obtain a contradiction.) Therefore under AD, for all $\alpha < \omega_1$, all subsets of ω_{α} have the Baire property and 215 wellordered unions of meager subsets of ${}^{\omega}\alpha$ are meager in ${}^{\omega}\alpha$. 216

For $\alpha < \omega_1$, let $\operatorname{surj}_{\alpha} = \{f \in {}^{\omega}\omega_1 : f[\omega] = \alpha\}$. For all $\alpha < \omega_1$, $\operatorname{surj}_{\alpha}$ is comeager in ${}^{\omega}\alpha$. 217

If $\alpha < \omega_1, p \in {}^{<\omega}\alpha$, and φ is a formula, then let $(\forall_p^{*,\alpha}f)\varphi(f)$ be the assertion that for comeagerly many 218 $f \in N_p^{\alpha}, \varphi(f)$ holds. 219

Definition 3.3. For each $f \in {}^{\omega}\omega_1$, let $A_f = \{n \in \omega : (\forall m < n)(f(m) \neq f(n))\}$. (Note for all $f \in {}^{\omega}\omega_1$, 220 $f \upharpoonright A_f : A_f \to f[\omega]$ is a bijection.) 221

For $f \in {}^{\omega}\omega_1$, let $\mathfrak{G}(f) \in {}^{\omega}2$ be defined by $\mathfrak{G}(f)(\pi(m,n)) = 1$ if and only if $m \in A_f$, $n \in A_f$, and 222 f(m) < f(n). \mathfrak{G} is a simple form of the Kechris-Woodin generic coding function for ω_1 which is developed 223 more generally in [16]. 224

Fact 3.4. $\mathfrak{G}: {}^{\omega}\omega_1 \to WO \text{ and for all } \alpha < \omega_1, \text{ if } f \in \operatorname{surj}_{\alpha}, \text{ then } \mathfrak{G}(f) \in WO_{\alpha}.$ 225

Proof. Note that $(field(\mathfrak{G}(f)), \mathcal{R}_{\mathfrak{G}(f)}) = (A_f, \mathcal{R}_{\mathfrak{G}(f)})$ is order isomorphic to $(f[A_f], <)$ where < is the usual 226 ordering on ω_1 . Thus $\mathfrak{G}(f)$ does indeed belong to WO. Also if $f \in \mathfrak{surj}_{\alpha}$, then $f[A_f] = \alpha$ and thus 227 $\mathfrak{G}(f) \in WO_{\alpha}.$ \square 228

Definition 3.5. Let $\langle \rho_r : r \in \mathbb{R} \rangle$ be some standard coding of strategies $\rho : {}^{<\omega}\omega \to \omega$ on ω by reals. Let 229 $\Xi_r: \mathbb{R} \to \mathbb{R}$ be the Lipschitz continuous function corresponding to the strategy ρ_r . (That is, for each $f \in {}^{\omega}\omega$, 230 $\Xi_r(f) \in {}^{\omega}\omega$ is defined by recursion by $\Xi_r(f)(n) = \rho_r(\langle f(0), \Xi_r(f)(0), ..., f(n-1), \Xi_r(f)(n-1), f(n) \rangle)$.) Note 231 that $\langle \Xi_r : r \in \mathbb{R} \rangle$ is a coding of all Lipschitz continuous function by reals. 232

If $A, B \in \mathbb{R}$, then write $A \leq_L B$ if and only if there is an $r \in \mathbb{R}$ so that $A = \Xi_r^{-1}[B]$. The Wadge lemma 233 under AD asserts that for all $A, B \in \mathscr{P}(\mathbb{R}), A \leq_L B$ or $(\mathbb{R} \setminus B) \leq_L A$. 234

Martin-Monk showed that under AD and $\mathsf{DC}_{\mathbb{R}}$, \leq_L is a wellfounded relation. For each $A \in \mathscr{P}(\mathbb{R})$, let 235 $\operatorname{rk}_L(A) \in \operatorname{ON}$ be the rank of A in \leq_L . Let Θ be the supremum of the ordinals which are surjective images 236 of \mathbb{R} . It can be shown that Θ is the length of \leq_L and thus for all $A \in \mathscr{P}(\mathbb{R})$, $\mathrm{rk}_L(A) < \Theta$. 237

Fact 3.6. (Moschovakis coding lemma) Assume AD. Let Γ be a pointclass closed under $\exists^{\mathbb{R}}, \land$, and continuous 238 preimages. Let (P, \preceq) be a prewellordering in Γ . Let κ be the length of (P, \preceq) and $\varphi: P \to \kappa$ be the associated 239 surjective norm. If $R \subseteq P \times \mathbb{R}$, then there is an $S \in \Gamma$ with the following property. 240

• $S \subset R$ 241

• For all $\alpha < \kappa$, there exists a $p \in P$ and $x \in \mathbb{R}$ so that $\varphi(p) = \alpha$ and R(p, x) if and only if there exists 242

$$a \ p \in P \ and \ x \in \mathbb{R} \ so \ that \ \varphi(p) = \alpha \ and \ S(p, x).$$

The following is a useful coarse consequence of the Moschovakis coding lemma. 244

Fact 3.7. If κ is a surjective image of \mathbb{R} (i.e. $\kappa < \Theta$), then \mathbb{R} surjects onto $\mathscr{P}(\kappa)$. 245

Fix the following notation which will be used in the discussion that follows: Let X be a surjective image 246 of \mathbb{R} . Fix $\pi : \mathbb{R} \to X$. Let $\delta \leq \lambda < \Theta$. By Fact 3.7, there is a surjection $\varpi : \mathbb{R} \to \mathscr{P}(\lambda)$. If $B \subseteq \mathbb{R}$, let 247 $T_B = \{(x, f) : (\exists z \in B) (x = \pi(z^{[0]}) \land f = \varpi(z^{[1]})\}.$ Let $\langle A_\alpha : \alpha < \nu \rangle$ be such that for all $\alpha < \nu, A_\alpha \subseteq X.$ (In 248 this section, ν will either be ω or ω_1 .) In the below applications, $|A_{\alpha}| \leq |^{<\delta}\lambda|$ or $|A_{\alpha}| \leq |^{\delta}\lambda|$ for all $\alpha < \nu$. 249 Elements of ${}^{<\delta}\lambda$ or ${}^{\delta}\lambda$ can be identified as elements of $\mathscr{P}(\lambda \times \lambda)$ or of $\mathscr{P}(\lambda)$ (after coding pairs). As an 250 example, if $A \subseteq X$ and $\Phi: A \to {}^{<\delta}\lambda$, then the graph of Φ is T_B where $B = \{z \in \mathbb{R} : \Phi(\pi(z^{[0]})) = \varpi(z^{[1]})\}$. 251

Theorem 3.8. Assume AD. Suppose X is a surjective image of \mathbb{R} . Let $\delta \leq \lambda$ be cardinals so that $1 \leq \delta < \Theta$ 252 and $\omega \leq \lambda < \Theta$. Let $\langle A_n : n \in \omega \rangle$ be a sequence so that for all $n \in \omega$, $A_n \subseteq X$. Assume one of the following 253 three settings. 254

- (1) $|A_{\alpha}| \leq |^{<\delta}\lambda|$ for all $n \in \omega$. (2) $|A_{\alpha}| \leq |^{\delta}\lambda|$ for all $n \in \omega$. 255
- 256
- (3) $|A_{\alpha}| \leq |[\lambda]^{\delta}|$ for all $n \in \omega$. 257

Assume that there is a $Z \in \mathscr{P}(\mathbb{R})$ so that for all $n \in \omega$, there exists an $r \in \mathbb{R}$ so that $T_{\Xi_r^{-1}[Z]}$ is a graph of 258 an injection of A_n into $\langle \delta \lambda \rangle$ in (1) (into $\delta \lambda \rangle$ in (2) or $[\lambda]^{\delta}$ in (3)). Then, respectively, the following hold. 259

- $(1) |\bigcup_{n \in \omega} A_n| \le |^{<\delta} \lambda|.$
- 261 (2) $\bigcup_{n\in\omega} A_n \leq |\delta\lambda|.$
- 262 (3) $|\bigcup_{n\in\omega}A_n| \leq |[\lambda]^{\delta}|.$

Proof. Assume the setting of (1) that for all $n \in \omega$, $|A_n| \leq |{}^{<\delta}\lambda|$. Let $R \subseteq \omega \times \mathbb{R}$ be defined by R(n,r) if and 263 only if $T_{\Xi_r^{-1}[Z]}$ is the graph of an injection of A_n into $\langle \delta \lambda \rangle$. (Recall that $\Xi_r^{-1}[Z]$ is the subset of \mathbb{R} Lipchitz 264 reducible to Z via the Lipschitz continuous function Ξ_r and $T_{\Xi_r^{-1}[Z]}$ was defined before the statement of 265 Theorem 3.8.) By $\mathsf{AC}^{\mathbb{R}}_{\omega}$, there is a sequence $\langle r_n : n \in \omega \rangle$ so that for all $n \in \omega$, $R(n, r_n)$. Thus for all $n \in \omega$, $T_{\Xi_{r_n}^{-1}[Z]}$ is the graph of an injection A_n into $\langle \delta \lambda$. Let $\Phi_n : A_n \to \langle \delta \lambda$ be the injection whose graph is $T_{\Xi_{r_n}^{-1}[Z]}$. 266 267 For each $x \in \bigcup_{n \in \omega} A_n$, let $\iota(x)$ be the least n so that $x \in A_n$. Since $\omega \leq \lambda$, let $\varsigma : \omega \times \lambda \to \lambda$ be a bijection. 268 Define Φ : $\bigcup_{n \in \omega} A_n \to {}^{<\delta}\lambda$ by letting $\Phi(x) \in [\lambda]^{|\Phi_{\iota(x)}(x)|}$ be defined by $\Phi(x)(\gamma) = \varsigma(\iota(x), \Phi_{\iota(x)}(x)(\gamma))$. 269 Suppose $x \neq y$. If $\iota(x) \neq \iota(y)$, then $\Phi(x) \neq \Phi(y)$ since ς is a bijection. If $\iota(x) = \iota(y)$ with common value 270 $n \in \omega$, then $\Phi_n(x) \neq \Phi_n(y)$ since Φ_n is an injection. Then again $\Phi(x) \neq \Phi(y)$ since ς is an injection. This 271 establishes that Φ is an injection. 272

In the setting of (2) in which for all $n \in \omega$, $|A_n| \leq |^{\delta}\lambda|$, the proof is essentially the same.

In the setting of (3) in which for all $n \in \omega$, $|A_n| \leq |[\lambda]^{\delta}|$, observe that the bijection $\varsigma : \omega \times \lambda \to \lambda$ may be chosen with the property that for all $n \in \omega$ and $\alpha < \beta < \lambda$, $\varsigma(n, \alpha) < \varsigma(n, \beta)$. (For instance, ς derived from the Gödel pairing function would have such property.) Then the resulting function $\Phi(x)$ defined as above would belong to $[\lambda]^{\delta}$.

Theorem 3.9. Assume AD. Suppose X is a surjective image of \mathbb{R} . Let $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ be a sequence so that for all $\alpha < \omega_1$, $A_{\alpha} \subseteq X$. Let δ and λ be cardinals such that $\omega_1 \leq \delta \leq \lambda < \Theta$. Assume one of the following three settings.

281 (1) $\operatorname{cof}(\delta) \ge \omega_1$ and for all $\alpha < \omega_1$, $|A_{\alpha}| \le |{}^{<\delta}\lambda|$.

- 282 (2) For all $\alpha < \omega_1, |A_{\alpha}| \leq |^{\delta}\lambda|.$
- 283 (3) $\operatorname{cof}(\lambda) \ge \omega_1$, and for all $\alpha < \omega_1$, $|A_{\alpha}| \le |[\lambda]^{\delta}|$.

Assume that there is a $Z \in \mathscr{P}(\mathbb{R})$ so that for all $\alpha < \omega_1$, there exists an $r \in \mathbb{R}$ so that $T_{\Xi_r^{-1}[Z]}$ is the graph of an injection of A_{α} into $[\lambda]^{<\delta}$ in (1) (into ${}^{\delta}\lambda$ in (2) or into $[\lambda]^{\delta}$ in (3)). Then, respectively, the following hold.

- 287 (1) $|\bigcup_{\alpha < \omega_1} A_{\alpha}| \le |^{<\delta} \lambda|.$
- $(2) |\bigcup_{\alpha < \omega_1} A_{\alpha}| \le |^{\delta} \lambda|.$
- $(3) |\bigcup_{\alpha < \omega_1} A_{\alpha}| \le |[\lambda]^{\delta}|.$

290 Proof. Assume the setting of (1) that for all $\alpha < \omega_1$, $|A_{\alpha}| \leq |^{<\delta}\lambda|$ where $\operatorname{cof}(\delta) \geq \omega_1$. Since $|^{<\delta}\lambda \setminus \{\emptyset\}| = |^{<\delta}\lambda|$, 291 injections from A_{α} into ${}^{<\delta}\lambda \setminus \{\emptyset\}$ will be considered to simplify notation.

Let WO $\subseteq \mathbb{R}$ be the Π_1^1 set of reals coding wellorderings and ot : WO $\rightarrow \omega_1$ be the associated surjective 292 norm given by the order type function. Define $R \subseteq WO \times \mathbb{R}$ by R(w,r) if and only if $T_{\Xi_r^{-1}[Z]}$ is the graph 293 of an injection of $A_{\text{ot}(w)}$ into $\langle \delta \lambda \setminus \{\emptyset\}$. (WO, ot) is a prewellordering which belongs to the pointclass Σ_2^1 294 which is closed under continuous preimage, \wedge , and $\exists^{\mathbb{R}}$. By the Moschovakis coding lemma (Fact 3.6), there 295 is a Σ_2^1 set $S \subseteq R$ so that for all $\alpha < \omega_1$, there is a $w \in WO_{\alpha}$ and $r \in \mathbb{R}$ so that S(w, r). Let $\leq_{\Pi_1^1} \in \Pi_1^1$ and 296 $\leq_{\Sigma_1^1} \in \Sigma_1^1$ be the two norm relations which witness that (WO, ot) is a Π_1^1 -norm. Let $\tilde{S}(w,r)$ if and only if 297 $w \in WO \land (\exists v)(v \leq_{\Sigma_1^1} w \land w \leq_{\Sigma_1^1} v \land S(v, r))$. $\tilde{S} \in \Sigma_2^1$ and dom $(\tilde{S}) = WO$. Since Σ_2^1 has the scale property, 298 let $\Lambda : WO \to \mathbb{R}$ be a uniformization with the property that for all $w \in WO$, $\tilde{S}(w, \Lambda(w))$. Thus for all 299 $w \in WO, R(w, \Lambda(w))$. For all $w \in WO, T_{\Xi_{\Lambda(w)}^{-1}[Z]}$ is the graph of an injection of $A_{\operatorname{ot}(w)}$ into $\langle \delta \rangle \setminus \{\emptyset\}$. For 300 each $w \in WO$, let $\Phi_w : A_{\operatorname{ot}(w)} \to {}^{<\delta}\lambda \setminus \{\emptyset\}$ be the injection whose graph is $T_{\Xi_{\Lambda(w)}^{-1}[Z]}$. 301

For each $x \in \bigcup_{\alpha < \omega_1} A_{\alpha}$, let $\iota(x)$ be the least $\alpha < \omega_1$ so that $x \in A_{\alpha}$. Note that $|{}^{<\omega}\omega_1| = |\omega_1|$. Let $\sigma : \omega_1 \times {}^{<\omega}\omega_1 \times \delta \times \lambda \to \lambda$ be a bijection. Define

$$\Upsilon(x) = \{\sigma(\iota(x), p, \eta, \zeta) : (\exists \epsilon < \delta)(\forall_p^{*,\iota(x)}f)(\epsilon = \operatorname{dom}(\Phi_{\mathfrak{G}(f)}(x)) \land \eta < \epsilon \land \Phi_{\mathfrak{G}(f)}(x)(\eta) = \zeta)\}.$$

302 Observe that $\Upsilon(x) \in \mathscr{P}(\lambda)$.

Fix $x \in \bigcup_{\alpha < \omega_1} A_{\alpha}$. Let $K_x = \{p \in {}^{<\omega}\iota(x) : (\exists \eta, \zeta)(\sigma(\iota(x), p, \eta, \zeta) \in \Upsilon(x))\}$. If $p \in K_x$, then there 303 is a unique $\epsilon < \delta$ so that $(\forall_p^{*,\iota(x)}f)(\operatorname{dom}(\Phi_{\mathfrak{G}(f)}(x)) = \epsilon)$. To see this, suppose $\epsilon, \hat{\epsilon} < \delta$ are such that 304 $(\forall_p^{*,\iota(x)}f)(\operatorname{dom}(\Phi_{\mathfrak{G}(f)}(x)) = \epsilon) \text{ and } (\forall_p^{*,\iota(x)}f)(\operatorname{dom}(\Phi_{\mathfrak{G}(f)}(x)) = \hat{\epsilon}). \text{ Let } A_0 = \{f \in N_p^{\iota(x)} : \operatorname{dom}(\Phi_{\mathfrak{G}(f)}(x)) = \epsilon\}$ 305 ϵ and $A_1 = \{f \in N_p^{\iota(x)} : \operatorname{dom}(\Phi_{\mathfrak{G}(f)}(x)) = \hat{\epsilon}\}$. A_0 and A_1 are comeager subsets of $N_p^{\iota(x)}$. Thus $A_0 \cap A_1 \neq \emptyset$. 306 Let $h \in A_0 \cap A_1$. Then $\epsilon = \operatorname{dom}(\Phi_{\mathfrak{G}(h)}(x)) = \hat{\epsilon}$. Let ϵ_p^x be this unique ϵ associated to x and p. Let $U_{x,p} = \{\eta < \epsilon_p^x : (\exists \zeta)(\sigma(\iota(x), p, \eta, \zeta) \in \Upsilon(x))\}$. Note that $|U_{x,p}| \le |\epsilon_p^x|$. If $\eta \in U_{x,p}$, there is a unique ζ such that $\sigma(\iota(x), p, \eta, \zeta) \in \Upsilon(x)$. To see this, suppose ζ_1, ζ_2 so that $\sigma(\iota(x), p, \eta, \zeta_1), \sigma(\iota(x), p, \eta, \zeta_2) \in \Upsilon(x)$. Then 307 308 309 $B_0 = \{ f \in N_p^{\iota(x)} : \Phi_{\mathfrak{G}(f)}(x)(\eta) = \zeta_1 \} \text{ and } B_1 = \{ f \in N_p^{\iota(x)} : \Phi_{\mathfrak{G}(f)}(x)(\eta) = \zeta_2 \} \text{ are comeager in } N_p^{\iota(x)}.$ 310 $B_0 \cap B_1$ is comeager in $N_p^{\iota(x)}$. Let $h \in B_0 \cap B_1$. Then $\zeta_1 = \Phi_{\mathfrak{G}(h)}(x)(\eta) = \zeta_2$. Let $\zeta_{p,\eta}^x$ be this unique ζ . 311 Thus $\Upsilon(x) = \{\sigma(\iota(x), p, \eta, \zeta_{p,\eta}^x) : p \in K_x \land \eta \in U_{x,p}\}$. Thus $|\Upsilon(x)| \leq |\bigcup_{p \in K_x} U_{x,p}| \leq \sup\{|\epsilon_p^x| : p \in K_x\} < \delta$ 312 since $|K_x| \leq |^{<\omega}\iota(x)| = \omega$ because $\iota(x) < \omega_1$ and $cof(\delta) > \omega$. Thus $\Upsilon(x)$ has cardinality less than δ and 313 314

hence $\Upsilon(x) \in \mathscr{P}_{\delta}(\lambda)$. It has been shown that $\Upsilon : \bigcup_{\alpha < \omega_1} A_{\alpha} \to \mathscr{P}_{\delta}(\lambda)$. Next, one will show that for all $x \in \bigcup_{\alpha < \omega_1} A_{\alpha}, \Upsilon(x) \neq \emptyset$. Let $\alpha = \iota(x)$. Let $E_1 : \operatorname{surj}_{\alpha} \to \delta$ be defined by $E_1(f) = \operatorname{dom}(\Phi_{\mathfrak{G}(f)}(x))$. Since wellordered unions of meager subsets of ω_{α} is a meager subset of ω_{α} and $\operatorname{surj}_{\alpha}$ is a comeager subset of ω_{α} , there is some $\epsilon < \delta$ so that $E_1^{-1}[\{\epsilon\}]$ is nonmeager. Let $E_2 : E_1^{-1}[\{\epsilon\}] \to \lambda$ be defined by $E_2(f) = \Phi_{\mathfrak{G}(f)}(x)(0)$. Again since $E_1^{-1}[\{\epsilon\}]$ is nonmeager and wellordered unions of meager sets are meager, there is some $\zeta < \lambda$ so that $E_2^{-1}[\{\zeta\}]$ is nonmeager. By the Baire property, there is a $p \in {}^{<\omega}\alpha$ so that $E_2^{-1}[\{\zeta\}]$ is comeager in N_p^{α} . Then $\sigma(\alpha, p, 0, \zeta) \in \Upsilon(x)$. $\Upsilon(x) \neq \emptyset$.

Next, to show Υ is an injection. Suppose $x \neq y$. First, suppose $\iota(x) \neq \iota(y)$. Above, it was shown 321 that $\Upsilon(x) \neq \emptyset$. Let $\sigma(\iota(x), p, \eta, \zeta) \in \Upsilon(x)$. Since σ is an injection and all elements of $\Upsilon(y)$ take the form 322 $\sigma(\iota(y), \hat{p}, \hat{\eta}, \hat{\zeta}), \Upsilon(x) \neq \Upsilon(y)$. Next, suppose that $\iota(x) = \iota(y)$ and denote this common ordinal by α . Let 323 $D = \{f \in \mathsf{surj}_{\alpha} : \operatorname{dom}(\Phi_{\mathfrak{G}(f)}(x)) \neq \operatorname{dom}(\Phi_{\mathfrak{G}(f)}(y))\}$. First suppose D is nonmeager. Consider $\varpi : D \to \delta \times \delta$ 324 by $\varpi(f) = (\operatorname{dom}(\Phi_{\mathfrak{G}(f)}(x)), \operatorname{dom}(\Phi_{\mathfrak{G}(f)}(y)))$. Since a wellordered union of measure sets is measure and D 325 is not meager, there is some $\epsilon_1, \epsilon_2 < \delta$ so that $\varpi^{-1}[\{(\epsilon_1, \epsilon_2)\}]$ is nonmeager. Without loss of generality, 326 suppose $\epsilon_1 < \epsilon_2$. Define $\varsigma : \varpi^{-1}[\{(\epsilon_1, \epsilon_2)\}] \to \lambda$ by $\varsigma(f) = \Phi_{\mathfrak{G}(f)}(y)(\epsilon_1)$. Since $\varpi^{-1}[\{(\epsilon_1, \epsilon_2)\}]$ is nonmeaser 327 and wellordered union of meager sets is meager, there is a $\zeta \in \lambda$ so that $\varsigma^{-1}[\{\zeta\}]$ is nonmeager. By the 328 Baire property, let $p \in {}^{<\omega}\alpha$ be such that $\varsigma^{-1}[\{\zeta\}]$ is comeager in N_p^{α} . Then $\sigma(\alpha, p, \epsilon_1, \zeta) \in \Upsilon(y)$. However, $\sigma(\alpha, p, \epsilon_1, \zeta) \notin \Upsilon(x)$ since $(\forall_p^{*,\alpha} f)(\operatorname{dom}(\Phi_{\mathfrak{G}(f)}(x)) = \epsilon_1)$. In this case, $\Upsilon(x) \neq \Upsilon(y)$. Finally, suppose ${}^{\omega}\alpha \setminus D$ 329 330 is comeager. Let $\Sigma : {}^{\omega}\alpha \setminus D \to \delta$ be defined by $\Sigma(f) = \operatorname{dom}(\Phi_{\mathfrak{G}(f)}(x)) = \operatorname{dom}(\Phi_{\mathfrak{G}(f)}(y))$. Since ${}^{\omega}\alpha \setminus D$ is 331 comeager, there is some $\epsilon < \delta$ so that $\Sigma^{-1}[\{\epsilon\}]$ is nonmeager. Note that since $\Phi_{\mathfrak{G}(f)}$ is an injection for all 332 $f \in \operatorname{surj}(\alpha), \Phi_{\mathfrak{G}(f)}(x) \neq \Phi_{\mathfrak{G}(f)}(y).$ Define $\Pi : \Sigma^{-1}[\{\epsilon\}] \to \epsilon$ be defined by $\Pi(f)$ is the least $\eta < \epsilon$ so that 333 $\Phi_{\mathfrak{G}(f)}(x)(\eta) \neq \Phi_{\mathfrak{G}(f)}(y)(\eta)$. Since $\Sigma^{-1}[\{\epsilon\}]$ is nonmeaser, there is an $\eta < \epsilon$ so that $\Pi^{-1}[\{\eta\}]$ is nonmeaser. 334 Let $\Gamma : \Pi^{-1}[\{\eta\}] \to \lambda \times \lambda$ be defined by $\Gamma(f) = (\Phi_{\mathfrak{G}(f)}(x)(\eta), \Phi_{\mathfrak{G}(f)}(y)(\eta))$. Since $\Pi^{-1}[\{\eta\}]$ is nonmeaser, 335 there are $\zeta_1, \zeta_2 \in \lambda$ with $\zeta_1 \neq \zeta_2$ so that $\Gamma^{-1}[\{(\zeta_1, \zeta_2)\}]$ is nonmeager. Since all subsets of $\omega \alpha$ have the 336 Baire property, there is a $p \in \langle \omega \alpha \rangle$ so that $\Gamma^{-1}[\{(\zeta_1, \zeta_2)\}]$ is comeager in N_p^{α} . Then $\sigma(\alpha, p, \eta, \zeta_1) \in \Upsilon(x)$ and 337 $\sigma(\alpha, p, \eta, \zeta_1) \notin \Upsilon(y)$. Thus $\Upsilon(x) \neq \Upsilon(y)$. It has been shown that $\Upsilon: \bigcup_{\alpha < \omega_1} A_\alpha \to \mathscr{P}_{\delta}(\lambda)$ is an injection. 338 Fact 2.2 shows $|{}^{<\delta}\lambda| = |\mathscr{P}_{\delta}(\lambda)|.$ 339

Next assume the setting of (2). The following will sketch the necessary modifications. By the same argument as above, for each $w \in WO$, there is an injection $\Phi_w : A_{ot(w)} \to {}^{\delta}\lambda$. Let

$$K_x = \{(p,\eta) : p \in {}^{<\omega}\iota(x) \land \eta < \delta \land (\exists \zeta < \lambda)(\forall_p^{*,\iota(x)}f)(\Phi_{\mathfrak{G}(f)}(x)(\eta) = \zeta)\}$$

For each $(p,\eta) \in K_x$, by the argument provided above, there is a unique ζ so that $(\forall_p^{*,\iota(x)}f)(\Phi_{\mathfrak{G}(f)}(x)(\eta) = \zeta)$. Thus for each $(p,\eta) \in K_x$, let $\zeta_{p,\eta}^x$ be this unique ζ . Note that $K_x \subseteq {}^{<\omega}\iota(x) \times \delta \subseteq {}^{<\omega}\omega_1 \times \delta$. Let $\tau : {}^{<\omega}\omega_1 \times \delta \to \delta$ be a bijection. Let $\mu : \omega_1 \times \lambda \to \lambda$ be a bijection. Define $\Upsilon : X \to {}^{\delta}\lambda$ by

$$\Upsilon(x)(\alpha) = \begin{cases} \mu(\iota(x), 0) & \tau^{-1}(\alpha) \notin K_x \\ \mu(\iota(x), \zeta_{p,\eta}^x) & \tau^{-1}(\alpha) \in K_x \wedge \tau^{-1}(\alpha) = (p, \eta) \end{cases}$$

Finally, one will to show Υ is an injection. Suppose $x, y \in \bigcup_{\alpha < \omega_1} A_\alpha$ and $x \neq y$. If $\iota(x) \neq \iota(y)$, then $\Upsilon(x) \neq \Upsilon(y)$ since μ is a bijection. Now suppose $\iota(x) = \iota(y)$ and let α denote this common ordinal. For all $f \in \operatorname{surj}_{\alpha}, \Phi_{\mathfrak{G}(f)}(x) \neq \Phi_{\mathfrak{G}(f)}(y)$. Let $\Sigma : \operatorname{surj}_{\alpha} \to \delta$ be defined by $\Sigma(f)$ is the least $\eta < \delta$ so that $\Phi_{\mathfrak{G}(f)}(x)(\eta) \neq \Phi_{\mathfrak{G}(f)}(y)(\eta)$. Since $\operatorname{surj}_{\alpha}$ is comeager in ${}^{\omega}\alpha$ and wellordered unions of meager sets are meager, there is an $\eta < \delta$ so that $\Sigma^{-1}[\{\eta\}]$ is nonmeager. Let $\Pi : \Sigma^{-1}[\{\eta\}] \to \lambda \times \lambda$ be defined by $\Pi(f) = (\Phi_{\mathfrak{G}(f)}(x)(\eta), \Phi_{\mathfrak{G}(f)}(y)(\eta))$. Since $\Sigma^{-1}[\{\eta\}]$ is nonmeager, there is some $\zeta_1, \zeta_2 < \lambda$ so that $\zeta_1 \neq \zeta_2$ and $\Pi^{-1}[\{(\zeta_1, \zeta_2)\}]$ is nonmeager. By the Baire property, let $p \in {}^{<\omega}\alpha$ so that $\Pi^{-1}[\{(\zeta_1, \zeta_2)\}]$ is comeager in N_p^{ρ} . Let $\beta = \tau(p, \eta)$. Then $\Upsilon(x)(\beta) = \mu(\alpha, \zeta_1) \neq \mu(\alpha, \zeta_2) = \Upsilon(y)(\beta)$. Thus $\Upsilon(x) \neq \Upsilon(y)$. It has been shown that Υ is an injection.

Assume the setting of (3). Let K_x , $\zeta_{p,\eta}^x$, and $\tau : {}^{<\omega}\omega_1 \times \delta \to \delta$ be defined as in (2). The bijection 349 $\mu: \omega_1 \times \lambda \to \lambda$ can be chosen with the property that for all $\nu < \omega_1$ and $\gamma < \lambda$, $\sup\{\mu(\nu, \beta): \beta < \gamma\} < \lambda$. Let 350
$$\begin{split} & \Upsilon \text{ be defined as above in (2). For } x \in X, \gamma < \delta, \text{ and } p \in \mathcal{I}_{\gamma,p} \text{ out for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly that for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly that for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly that for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly that for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly that for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly that for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly that for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly that for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly that for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly that for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly that for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ and } r \in \mathcal{I}_{\gamma,p} \text{ be properly for all } r \in \mathcal{I}_{\gamma,p} \text{ be propr$$
351 352 353 354 in $N_p^{\iota(x)}$, $\bigcap_{\eta \in P_{\gamma,p}^x} Y_{p,\gamma,\eta}^x$ is comeager in $N_p^{\iota(x)}$ and is in particular nonempty. Let $f \in \bigcap_{\eta \in P_{\gamma,p}^x} Y_{p,\gamma,\eta}^x$. Then 355 $\sup(\Phi_{\mathfrak{G}(f)}(x) \upharpoonright \gamma) \geq \sup\{\zeta_{p,\eta}^x : \eta \in P_{\gamma,p}^x\} = \sup(F_{p,\gamma}^x) = \lambda. \text{ Then since } \gamma < \delta, \ \Phi_{\mathfrak{G}(f)}(x)(\gamma) \geq \lambda \text{ and hence}$ 356 $\Phi_{\mathfrak{G}(f)}(x) \notin [\lambda]^{\delta}. \text{ Contradiction. Thus for all } p \in {}^{<\omega}\iota(x), \sup(F_{p,\gamma}^x) < \lambda. \text{ Since } \operatorname{cof}(\lambda) > \omega \text{ and } |{}^{<\omega}\iota(x)| = \omega,$ 357 $\sup\{\sup(F_{p,\gamma}^x) : p \in {}^{<\omega}\iota(x)\} < \lambda. \text{ Note that } \sup(\Upsilon(x) \upharpoonright \gamma) \leq \sup\{\mu(\iota(x),\zeta) : \zeta \in \bigcup_{p \in {}^{<\omega}\iota(x)} F_{p,\gamma}^x\} \leq L^{-1}$ 358 $\sup\{\mu(\iota(x),\zeta): \zeta < \sup\{\sup\{F_{p,\gamma}^x): p \in {}^{<\omega}\iota(x)\}\} < \lambda$ (by the property of chosen bijection μ). This shows 359 that $\Upsilon: \bigcup_{\alpha < \omega_1} A_\alpha \to IB(\delta, \lambda)$. Υ is an injection by the same argument as in (2). The result now follows 360 from Fact 2.3. 361

Theorem 3.10. Assume AD, $\mathsf{DC}_{\mathbb{R}}$, and $\operatorname{cof}(\Theta) > \omega_1$. Let X be a surjective image of \mathbb{R} . Let $\langle A_\alpha : \alpha < \omega_1 \rangle$ be a sequence so that for all $\alpha < \omega_1$, $A_\alpha \subseteq X$. Let δ and λ be cardinals so that $\omega_1 \leq \delta \leq \lambda < \Theta$. Assume one of the following three settings.

365 (1) $\operatorname{cof}(\delta) \ge \omega_1$ and for all $\alpha < \omega_1$, $|A_{\alpha}| \le |{}^{<\delta}\lambda|$.

- 366 (2) For all $\alpha < \omega_1$, $|A_{\alpha}| \leq |^{\delta}\lambda|$.
- 367 (3) $\operatorname{cof}(\lambda) \ge \omega_1$ and for all $\alpha < \omega_1, |A_{\alpha}| \le |[\lambda]^{\delta}|.$
- 368 Then, respectively, the following hold.
- $(1) |\bigcup_{\alpha < \omega_1} A_{\alpha}| \le |^{<\delta} \lambda|.$
- 370 (2) $|\bigcup_{\alpha<\omega_1}^{\alpha}A_{\alpha}| \leq |^{\delta}\lambda|.$
- 371 (3) $|\bigcup_{\alpha < \omega_1} A_{\alpha}| \le |[\lambda]^{\delta}|.$

Proof. For each $\alpha < \omega_1$, let β_{α} be the least β so that there is some $B \in \mathscr{P}(\mathbb{R})$ with $\operatorname{rk}_L(B) = \beta$ and T_B is the graph of an injection of A_{α} into ${}^{<\delta}\lambda$. Since $\operatorname{cof}(\Theta) > \omega_1$, $\sup\{\beta_{\alpha} : \alpha < \omega_1\} < \Theta$. Let $Z \in \mathscr{P}(\mathbb{R})$ so that $\operatorname{rk}_L(Z) = \sup\{\beta_{\alpha} : \alpha < \omega_1\}$. The result now follows from Theorem 3.9.

Theorem 3.11. Assume AD, $DC_{\mathbb{R}}$, and $cof(\Theta) > \omega$. Suppose X is a surjective image of \mathbb{R} . Let $1 \le \delta < \Theta$ and $\omega \le \lambda < \Theta$. Let $\langle A_n : n \in \omega \rangle$ be a sequence so that for all $n \in \omega$, $A_\alpha \subseteq X$. Assume one of the following three settings.

- 378 (1) $|A_{\alpha}| \leq |{}^{<\delta}\lambda|$ for all $n \in \omega$.
- 379 (2) $|A_{\alpha}| \leq |^{\delta}\lambda|$ for all $n \in \omega$.
- 380 (3) $|A_{\alpha}| \leq |[\lambda]^{\delta}|$ for all $n \in \omega$
- 381 Then, respectively, the following hold.

 $(1) |\bigcup_{n \in \omega} A_n| \le |^{<\delta} \lambda|.$

- $(2) |\bigcup_{n \in \omega} A_n| \le |^{\delta} \lambda|.$
- $(3) |\bigcup_{n \in \omega} A_n| \le |[\lambda]^{\delta}|.$

Proof. The argument is similar to the proof of Theorem 3.10 using Theorem 3.8.

Woodin defined an extension of AD called AD^+ which includes (1) $DC_{\mathbb{R}}$, (2) all sets of reals are ∞ -Borel, and (3) ordinal determinacy (For every $\lambda < \Theta$, continuous function $\pi : {}^{\omega}\lambda \to \mathbb{R}$, and $A \subseteq \mathbb{R}$, the game on λ with payoff $\pi^{-1}[A]$ is determined). It is open whether AD and AD⁺ are equivalent. Basic information about aspects of AD⁺ can be found in [3], [6], [19], and [17].

- Fact 3.12. (Woodin) Suppose AD^+ and $V = L(\mathscr{P}(\mathbb{R}))$. Then either $AD_{\mathbb{R}}$ holds or there is a set of ordinals J so that $V = L(J, \mathbb{R})$.
- **Fact 3.13.** If AD^+ , $\neg AD_{\mathbb{R}}$, and $V = L(\mathscr{P}(\mathbb{R}))$, then Θ is regular.

Proof. By Fact 3.12, there is a set of ordinals J so that $V = L(J, \mathbb{R})$. All sets in $L(J, \mathbb{R})$ are ordinal definable from J and an $r \in \mathbb{R}$. For each $r \in \mathbb{R}$ and $\alpha < \Theta$, if there is an $OD_{\{J,r\}}$ surjection $\varpi : \mathbb{R} \to \alpha$, then let $\varpi_{\alpha,r} : \mathbb{R} \to \alpha$ be the least such surjection according to the canonical wellordering of $OD_{\{J,r\}}$. For each $\alpha < \Theta$, let $\pi_{\alpha} : \mathbb{R} \to \alpha$ be defined by

$$\pi_{\alpha}(x) = \begin{cases} \varpi_{x^{[0]}}(x^{[1]}) & \text{if there is an } OD_{\{J, x^{[0]}\}} \text{ surjection of } \mathbb{R} \text{ onto } \alpha \\ 0 & \text{otherwise.} \end{cases}$$

³⁹³ π_{α} is a surjection. This define the sequence $\langle \pi_{\alpha} : \alpha < \Theta \rangle$ so that $\pi_{\alpha} : \mathbb{R} \to \alpha$ is a surjection for each $\alpha < \Theta$. ³⁹⁴ Now suppose $\operatorname{cof}(\Theta) < \Theta$. Let $\tau : \mathbb{R} \to \operatorname{cof}(\Theta)$ be a surjection. Define $\sigma : \mathbb{R} \to \Theta$ by $\sigma(x) = \pi_{\tau(x^{[0]})}(x^{[1]})$. σ ³⁹⁵ is a surjection onto Θ which is impossible.

Let $1 \leq n < \omega$ and $A \subseteq \mathbb{R}^n$ (again \mathbb{R} refers to $\omega \omega$). A is Suslin if and only if there is an ordinal λ and a tree $T \subseteq \omega^n \times \lambda$ so that $A = \{(x_1, ..., x_n) \in \mathbb{R}^n : (\exists f \in \omega \lambda) ((x_1, ..., x_n, f) \in [T]\}$. $A \subseteq \mathbb{R}^n$ is coSuslin if and only if $\mathbb{R}^n \setminus A$ is Suslin.

Fact 3.14. (Woodin) Assume AD^+ and $AD_{\mathbb{R}}$. All sets of reals are Suslin.

A transitive set M is said to be Suslin and coSuslin if and only if there is a surjection $\pi : \mathbb{R} \to M$ so that the equivalence relation $E_{\pi} \subseteq \mathbb{R} \times \mathbb{R}$ on \mathbb{R} and the relation $F_{\pi} \subseteq \mathbb{R} \times \mathbb{R}$ defined below are Suslin and coSuslin:

 $x E_{\pi} y \Leftrightarrow \pi(x) = \pi(y)$ and $(x, y) \in F_{\pi} \Leftrightarrow \pi(x) \in \pi(y)$.

Note that M is in bijection with \mathbb{R}/E_{π} . Let $\tilde{F}_{\pi} \subseteq \mathbb{R}/E_{\pi} \times \mathbb{R}/E_{\pi}$ be defined by $([x]_{E_{\pi}}, [y]_{E_{\pi}}) \in \tilde{F}_{\pi}$ if and only if $(x, y) \in F_{\pi}$. Then (M, \in) is \in -isomorphic to $(\mathbb{R}/E_{\pi}, \tilde{F}_{\pi})$. In other words, M is Suslin and CoSuslin if it has a natural coding on \mathbb{R} which is Suslin and coSuslin.

Let S be the union of the collection of all transitive set which are Suslin and coSuslin. (S, \in) is a \in -structure. In general, one says a set X is Suslin and coSuslin if and only if $X \in S$.

A05 Woodin showed that AD^+ implies the following reflection property.

Fact 3.15. (Woodin; [22]) (Σ_1 -reflection into Suslin and coSuslin) Assume AD^+ and $V = L(\mathscr{P}(\mathbb{R}))$. $S \prec_{\Sigma_1}$ (V, \in). (That is, S is a Σ_1 -elementary substructure of the universe V.)

Theorem 3.16. Assume AD^+ . Let X be a surjective image of \mathbb{R} . Let $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ be a sequence so that for all $\alpha < \omega_1$, $A_{\alpha} \subseteq X$. Let δ and λ be cardinals so that $\omega_1 \leq \delta \leq \lambda < \Theta$. Assume one of the following three settings.

- 411 (1) $\operatorname{cof}(\delta) \ge \omega_1$ and for all $\alpha < \omega_1$, $|A_{\alpha}| \le |{}^{<\delta}\lambda|$.
- 412 (2) For all $\alpha < \omega_1, |A_{\alpha}| \leq |^{\delta}\lambda|$.

413 (3)
$$\operatorname{cof}(\lambda) \ge \omega_1$$
 and for all $\alpha < \omega_1, |A_{\alpha}| \le |[\lambda]^{\delta}|$

414 Then, respectively, the following hold.

415 (1) $|\bigcup_{\alpha < \omega_1} A_{\alpha}| \le |^{<\delta} \lambda|.$

416 (2) $|\bigcup_{\alpha \le \omega_1}^{\alpha} A_{\alpha}| \le |^{\delta} \lambda|.$

417 (3)
$$\bigcup_{\alpha < \omega_1} A_{\alpha} \leq |[\lambda]^{\delta}|.$$

Proof. Consider the setting of (1). Let $\varsigma : \mathbb{R} \to X$ be a surjection. Define an equivalence relation E on \mathbb{R} by 418 $x \in y$ if and only if $\varsigma(x) = \varsigma(y)$. Note that X is in bijection with \mathbb{R}/E . For each $\alpha < \omega_1$, let $K_\alpha = \varsigma^{-1}[A_\alpha]$ 419 and $E_{\alpha} = E \upharpoonright K_{\alpha}$. Then $K_{\alpha}/E_{\alpha} \subseteq \mathbb{R}/E$ and A_{α} is in bijection with K_{α}/E_{α} . Injections of A_{α} into $\langle \delta \rangle$ 420 induce injections of K_{α}/E_{α} into $\langle \delta \overline{\lambda} \rangle$. Let $\pi : \mathbb{R} \to \mathbb{R}/E$ be defined by $\pi(x) = [x]_E$. Let $\varpi : \mathbb{R} \to \mathscr{P}(\lambda)$ 421 be a surjection given by Fact 3.7. Then injections between K_{α}/E_{α} and $[\lambda]^{<\delta}$ can be coded by sets of reals 422 through the coding $B \mapsto T_B$ described above. This shows that X and $\langle A_\alpha : \alpha < \omega_1 \rangle$ with the property 423 stated in setting (1) are in bijection with objects \mathbb{R}/E and $\langle K_{\alpha}/E_{\alpha} : \alpha < \omega_1 \rangle$ with the properties in setting 424 (1) which belong to $L(\mathscr{P}(\mathbb{R}))$. It suffices to prove the theorem in $L(\mathscr{P}(\mathbb{R}))$. 425

With this discussion in mind, one will now assume AD^+ , $V = L(\mathscr{P}(\mathbb{R}))$, and that X and $\langle A_\alpha : \alpha < \omega_1 \rangle$ 426 belong to $L(\mathscr{P}(\mathbb{R}))$ with the properties stated in (1). If $cof(\Theta) > \omega_1$, then the result follows from Theorem 427 3.10. Suppose $cof(\Theta) \leq \omega_1$. Thus Θ is singular and hence $AD_{\mathbb{R}}$ holds by Fact 3.13. Assume for the sake of 428 contradiction that there is a set X and a sequence $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ satisfying (1) and $\neg (|\bigcup_{\alpha < \omega_1} A_{\alpha}| \le |^{<\delta}\lambda|)$. 429 Let $Y = \bigcup_{\alpha < \omega_1} A_{\alpha}$ and thus $\neg(|Y| \le |^{<\delta} \lambda|)$. Since all sets of reals are Suslin and coSuslin by Fact 3.14 since 430 AD^+ and $AD_{\mathbb{R}}$ holds, the sets Y, δ , and λ are Suslin and coSuslin and hence belong to S. 431

Let ψ be the following sentence with δ , λ , and Y as a parameter: $\delta \leq \lambda < \Theta$ and there exists a sequence 432 $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ so that $Y = \bigcup_{\alpha < \omega_1} \tilde{A}_{\alpha}$ and for all $\alpha < \omega_1, |A_{\alpha}| \leq |^{<\delta} \lambda|$. (Θ is an abbreviation for the ordinal 433 defined as the supremum of the ordinals which are surjective images of \mathbb{R} .) Let \mathfrak{T} be some sufficiently strong 434 finite fragment of ZF. Let φ be the following Σ_1 -sentence with Y, δ , λ , and \mathbb{R} as parameters: There exists 435 a transitive set $M \models \mathfrak{T} + \mathsf{AD}$ so that $\mathbb{R} \subseteq M$ and $M \models \psi$. Let \preceq be a prewellordering of length λ whose 436 associated norm was used to define the surjection $\varpi : \mathbb{R} \to \mathscr{P}(\lambda)$ which appears in the coding described before 437 Theorem 3.8. Since $L(\mathscr{P}(\mathbb{R})) \models ``\mathfrak{T}$, AD, and ψ '' and using reflection on the hierarchy $\langle L_{\alpha}(\mathscr{P}(\mathbb{R})) : \alpha < ON \rangle$, 438 there is an ordinal $\alpha \geq \Theta$ such that $L_{\alpha}(\mathscr{P}(\mathbb{R})) \models \mathfrak{T}$, AD, and ψ^{n} . Thus $L(\mathscr{P}(\mathbb{R})) \models \varphi$ as witnessed by 439 $L_{\alpha}(\mathscr{P}(\mathbb{R}))$. By Σ_1 -reflection into Suslin and coSuslin (Fact 3.15), $\mathcal{S} \models \varphi$. Let $M \in \mathcal{S}$ be a transitive set 440 containing \mathbb{R} so that $M \models \psi$. Let $\langle \tilde{A}_{\alpha} : \alpha < \omega_1 \rangle$ with $Y = \bigcup_{\alpha < \omega_1} \tilde{A}_{\alpha}$ witness the existential quantifier in ψ . 441 Since for each $\alpha < \omega_1$, $M \models |\tilde{A}_{\alpha}| \le |^{<\delta} \lambda|$, $\mathbb{R} \subseteq M$, satisfies AD, and has the prewellordering \preceq used to code 442 injections of subsets of Y into $\langle \delta \lambda$, there is some $B \in \mathscr{P}(\mathbb{R}) \cap M$ so that T_B codes the graph of an injection 443 of A_{α} into $\langle \delta \lambda$. Since $M \in \mathcal{S}$ implies \mathcal{M} is a surjective image of \mathbb{R} , $\sup\{\operatorname{rk}_{L}(B) : B \in \mathscr{P}(\mathbb{R}) \cap M\} < \Theta^{V}$. In 444 the real world, let $Z \in \mathscr{P}(\mathbb{R})$ be such that $\operatorname{rk}_L(Z) \geq \sup\{\operatorname{rk}_L(B) : B \in \mathscr{P}(\mathbb{R}) \cap M\}$. Note that for all $\alpha < \omega_1$, 445 there is an $r \in \mathbb{R}$ so that $T_{\Xi_r^{-1}[Z]}$ codes the graph of an injection of \tilde{A}_{α} into $[\lambda]^{<\delta}$. Applying Theorem 3.9 in 446 the real world to $\langle \tilde{A}_{\alpha} : \alpha < \omega_1 \rangle$, one has that $|Y| = |\bigcup_{\alpha < \omega_1} \tilde{A}_{\alpha}| \le |^{<\delta} \lambda|$. This contradicts the assumption 447 that $\neg(|Y| \leq |\langle \delta \lambda|)$. 448

Theorem 3.17. Assume AD^+ . Suppose X is a surjective image of \mathbb{R} . Let $1 \leq \delta < \Theta$ and $\omega \leq \lambda < \Theta$. Let 449 $\langle A_n : n \in \omega \rangle$ be a sequence so that for all $n \in \omega$, $A_\alpha \subseteq X$. Assume one of the following three settings. 450

- (1) $|A_{\alpha}| \leq |{}^{<\delta}\lambda|$ for all $n \in \omega$. 451
- (2) $|A_{\alpha}| \leq |^{\delta}\lambda|$ for all $n \in \omega$. 452
- (3) $|A_{\alpha}| \leq |[\lambda]^{\delta}|$ for all $n \in \omega$ 453
- Then, respectively, the following hold. 454
- (1) $|\bigcup_{n\in\omega} A_n| \le |^{<\delta}\lambda|.$ 455
- 456
- (2) $|\bigcup_{n\in\omega} A_n| \le |^{\delta}\lambda|.$ (3) $|\bigcup_{n\in\omega} A_n| \le |[\lambda]^{\delta}|.$ 457

Proof. The proof follows the template of the proof of Theorem 3.16 using Theorem 3.8. 458

Theorem 3.18. Assume AD^+ (or AD, $DC_{\mathbb{R}}$, and $cof(\Theta) > \omega_1$). If $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ is a sequence such that $\bigcup_{\alpha < \omega_1} A_{\alpha} = [\omega_2]^{<\omega_2}$, then there is an $\alpha < \omega_1$ so that $\neg(|A_{\alpha}| \leq |[\omega_2]^{\omega_1}|)$. 459 460

Proof. Suppose $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ is a sequence such that $[\omega_2]^{<\omega_2} = \bigcup_{\alpha < \omega_1} A_{\alpha}$. Suppose for the sake of 461 contradiction that for all $\alpha < \omega_1$, $|A_{\alpha}| \leq |[\omega_2]^{\omega_1}|$. By Theorem 3.16, $|[\omega_2]^{<\omega_2}| \leq |[\omega_2]^{\omega_1}|$ which violates Fact 462 2.14.463

Theorem 3.18 is regarded as partial evidence that $[\omega_2]^{<\omega_2}$ is ω_1 -regular which means for any $\langle A_\alpha : \alpha < \omega_1 \rangle$ 464 such that $\bigcup_{\alpha < \omega_1} A_\alpha = [\omega_2]^{<\omega_2}$, there is an $\alpha < \omega_1$ so that $|A_\alpha| = |[\omega_2]^{<\omega_2}|$. This conjecture has recently 465 been solved by the author. [7] showed that under AD, $[\omega_2]^{<\omega_2}$ has ω_1 -regular cardinality. However, it is still 466 not known if $\mathscr{P}(\omega_2)$ is ω_1 -regular or even 2-regular. The following is some evidence. 467

Theorem 3.19. Assume AD^+ (or AD, $DC_{\mathbb{R}}$, and $cof(\Theta) > \omega_1$). If $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ is a sequence such that 468 $\bigcup_{\alpha < \omega_1} A_{\alpha} = \mathscr{P}(\omega_2), \text{ then there is an } \alpha < \omega_1 \text{ so that } \neg (|A_{\alpha}| \le |[\omega_2]^{<\omega_2}|).$ 469

Proof. Suppose $\langle A_{\alpha} : \alpha < \omega_1 \rangle$ is a sequence such that $\mathscr{P}(\omega_2) = \bigcup_{\alpha < \omega_1} A_{\alpha}$. Suppose for the sake of contradiction that for all $\alpha < \omega_1$, $|A_{\alpha}| \leq |[\omega_2]^{<\omega_2}|$. By Theorem 3.16, $|\mathscr{P}(\omega_2)| \leq |[\omega_2]^{<\omega_2}|$ which violates 470 471 Fact 2.10. 472

Since under AD, ω_3 is singular with $\operatorname{cof}(\omega_3) = \omega_2$, Fact 2.9 cannot be used to show $[\omega_3]^{<\omega_3}$ or even $[\omega_3]^{\omega_2}$ have smaller cardinality than $\mathscr{P}(\omega_3)$. However [4] shows that $|[\omega_3]^{\omega_2}| < |[\omega_3]^{<\omega_3}| \le |\mathscr{P}(\omega_3)|$ under AD⁺ by the following result.

476 Fact 3.20. ([4]) Assume AD⁺.

(1) (ABCD Conjecture) Let α , β , γ , and δ be cardinals such that $\omega \leq \alpha \leq \beta < \Theta$ and $\omega \leq \gamma \leq \delta < \Theta$. $|\alpha \beta| \leq |\gamma \delta|$ if and only if $\alpha \leq \gamma$ and $\beta \leq \delta$.

(2) If $\kappa < \Theta$ is a cardinal and $\epsilon < \kappa$, then $|\epsilon \kappa| < |\epsilon \kappa|$.

It is still open if $|[\omega_3]^{<\omega_3}| < |\mathscr{P}(\omega_3)|$. The following result implies that if one decomposes $[\omega_3]^{<\omega_3}$ or $\mathscr{P}(\omega_3)$ into ω_1 -many pieces $\langle A_{\alpha} : \alpha < \omega_1 \rangle$. Then at least one piece A_{α} does not inject into $[\omega_3]^{\omega_2}$.

482 Theorem 3.21. Assume AD^+ (or AD, $DC_{\mathbb{R}}$, and $cof(\Theta) > \omega_1$).

 $\begin{array}{ll} {}_{483} & (1) \ If \ \omega_1 \leq \kappa < \Theta \ is \ a \ regular \ cardinal \ and \ \langle A_{\alpha} : \alpha < \omega_1 \rangle \ is \ a \ sequence \ such \ that \ \bigcup_{\alpha < \omega_1} A_{\alpha} = \mathscr{P}(\kappa), \\ {}_{484} & then \ there \ is \ an \ \alpha < \omega_1 \ so \ that \ \neg(|A_{\alpha}| \leq |[\kappa]^{<\kappa}|). \end{array}$

 $\begin{array}{ll} \text{487} & (3) \ \text{If } \omega_1 \leq \epsilon < \kappa < \Theta \ \text{and} \ \langle A_\alpha : \alpha < \omega_1 \rangle \ \text{is a sequence such that } \bigcup_{\alpha < \omega_1} A_\alpha = \mathscr{P}(\kappa), \ \text{then there is an} \\ \text{488} & \alpha < \omega_1 \ \text{so that } \neg (|A_\alpha| \leq |^{\epsilon} \kappa|). \end{array}$

Proof. (1) If $|A_{\alpha}| \leq |[\kappa]^{<\kappa}| = |^{<\kappa}\kappa|$, then $|\mathscr{P}(\kappa)| = |^{<\kappa}\kappa|$ by Theorem 3.16. Since AD^+ implies boldface GCH below Θ , this would contradict Fact 2.9.

491 (2) If $|A_{\alpha}| \leq |\epsilon \kappa|$, then $|\epsilon \kappa| = |\epsilon \kappa|$ by Theorem 3.16. This would contradict Fact 3.20.

492 The proof of (3) is similar.

493

4. Decomposition into a Suslin Cardinal Many Pieces

This section will consider a decomposition of sets into κ many pieces where κ is a Suslin cardinal. Kechris 494 and Woodin ([16]) developed a more general generic coding function on Suslin cardinals (or more generally 495 reliable ordinals). In the previous section, the wellordered additivity of the meager ideal had a prominent 496 role in many arguments. For $\kappa > \omega$, there is no clear analog of this for ${}^{\omega}\kappa$ and its generic coding function. 497 However, if $S \subseteq \kappa$ is a countable set, then ${}^{\omega}S$ is homeomorphic to \mathbb{R} and thus under AD, the meager ideal on 498 $^{\omega}S$ (with its usual topology) will satisfy the full wellow additivity. The idea will be to do an argument 499 similar to the previous section for each countable $S \subseteq \kappa$ and then take an ultrapower by a supercompact 500 measure on $\mathscr{P}_{\omega_1}(\kappa)$, the set of all countable subsets of κ . One will need to impose conditions regarding 501 the ultrapower maps of the supercompact measure to successfully generalize these arguments. However, one 502 will still be able establish the analog of the main result of the previous section (concerning decomposition of 503 $\mathscr{P}(\omega_2) = \mathscr{P}(\boldsymbol{\delta}_2^1)$ into $\omega_1 = \boldsymbol{\delta}_1^1$ many pieces) for decomposition of $\mathscr{P}(\omega_{\omega+2}) = \mathscr{P}(\boldsymbol{\delta}_4^1)$ into $\omega_{\omega+1} = \boldsymbol{\delta}_3^1$ many 504 pieces. 505

Definition 4.1. An ordinal λ is reliable if and only if there is a scale $\vec{\varphi} = \langle \varphi_n : n \in \omega \rangle$ on a set $W \subseteq \mathbb{R}$ such that the following holds.

508 (1) For all $n \in \omega$, $\varphi_n : W \to \lambda$ and $\varphi_0 : W \to \lambda$ is a surjection.

(2) The relation $S_0(x, y)$ defined by $x, y \in W \land \varphi_0(x) \leq \varphi_0(y)$ and $S_1(x, y)$ defined by $x, y \in W \land \varphi_0(x) < \varphi_0(y)$ are Suslin subsets of \mathbb{R}^2 .

⁵¹¹ $\vec{\varphi}$ with the above property will be called the reliability witness for λ .

If $\sigma \subseteq \lambda$ is countable and $\xi \in \sigma$, then σ is said to be ξ -honest (relative to $\vec{\varphi}$) if and only if there is a $w \in W$ so that $\varphi_0(w) = \xi$ and for all $n \in \omega$, $\varphi_n(\xi) \in \sigma$. Such a $w \in W$ will be called a ξ -honest witness for σ (relative to $\vec{\varphi}$). A countable $\sigma \subseteq \lambda$ is honest (relative to $\vec{\varphi}$) if and only if for all $\xi \in \sigma$, σ is ξ -honest.

Fact 4.2. Suppose λ is a reliable ordinal with reliability witness $\vec{\varphi}$ which is a scale on a set $W \subseteq \mathbb{R}$. For each $\xi < \lambda$, there is a countable set σ so that σ is ξ -honest relative to $\vec{\varphi}$.

⁵¹⁷ Proof. Let $w \in W$ so that $\varphi_0(w) = \xi$ which is possible since $\varphi_0 : W \to \lambda$ is surjective. Let $\sigma = \{\varphi_n(w) : n \in \omega\}$. σ is ξ -honest with w as its ξ -honest witness.

It is generally not possible to uniformly associate ξ to a countable ξ -honest set (relative to a reliability witness). However if λ is a reliable ordinal of uncountable cofinality, then one can at least uniformly associate an ordinal less than λ which is ξ -honest which will be sufficient for applications here.

Fact 4.3. Suppose λ is a reliable ordinal with reliability witness $\vec{\varphi}$ and $cof(\lambda) > \omega$. For each $\xi < \lambda$, there is a $\xi' < \lambda$ so that for all γ with $\xi' \leq \gamma < \lambda$, γ is ξ -honest relative to $\vec{\varphi}$.

Proof. By Fact 4.2, there is a countable $\bar{\sigma} \subseteq \lambda$ which is ξ -honest. $\xi' = \sup(\sigma) < \lambda$ since $\operatorname{cof}(\lambda) > \omega$. Suppose γ is such that $\xi' \leq \gamma < \kappa$. Since $\bar{\sigma} \subseteq \gamma, \gamma$ is ξ -honest. \Box

Definition 4.4. Let X be a set. Let $\mathscr{P}_{\omega_1}(X) = \{\sigma \in \mathscr{P}(X) : |\sigma| \leq \omega\}$ (which is the set of countable subsets of X). Let ν be an ultrafilter on $\mathscr{P}_{\omega_1}(X)$. ν is a fine ultrafilter on $\mathscr{P}_{\omega_1}(X)$ if and only if for each $x \in X$, $A_x = \{\sigma \in \mathscr{P}_{\omega_1}(X) : x \in \sigma\} \in \nu$. ν is a normal ultrafilter on $\mathscr{P}_{\omega_1}(X)$ if and only if for every $\Phi : \mathscr{P}_{\omega_1}(X) \to \mathscr{P}_{\omega_1}(X)$ such that $\{\sigma \in \mathscr{P}_{\omega_1}(X) : \emptyset \neq \Phi(\sigma) \subseteq \sigma\} \in \nu$, there is an $x \in X$ so that $\{\sigma \in \mathscr{P}_{\omega_1}(X) : x \in \Phi(\sigma)\} \in \nu$. ν is a supercompact measure on X if and only if ν is a countably complete, fine, and normal measure on $\mathscr{P}_{\omega_1}(X)$.

Fact 4.5. (Harrington-Kechris; [10]) Assume AD. If κ less than or equal to a Suslin cardinal, then there is a supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$.

(Woodin; [26]) Assume AD. If κ is less than or equal to a Suslin cardinal, then the supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$ is unique.

Fact 4.6. Assume AD. Suppose $\vec{\varphi}$ is a sequence of norms on $W \subseteq \mathbb{R}$ which is a reliability witness for λ . Let ν be a countably complete and fine measure on $\mathscr{P}_{\omega_1}(\lambda)$. Let $\xi < \lambda$. Then $\{\sigma \in \mathscr{P}_{\omega_1}(\lambda) : \sigma \text{ is } \xi \text{-honest}\} \in \nu$.

Final Proof. Pick any $w \in W$ so that $\varphi_0(w) = \xi$ (which is possible since φ_0 surjects onto λ). By fineness of ν , $A_n = \{\sigma \in \mathscr{P}_{\omega_1}(\lambda) : \varphi_n(w) \in \sigma\} \in \nu$. By countably compleness of ν , $\bigcap_{n \in \omega} A_n \in \nu$. Since ν is a filter, $\bigcap_{n \in \omega} A_n \subseteq \{\sigma \in \mathscr{P}_{\omega_1}(\lambda) : \sigma \text{ is } \xi\text{-honest}\} \in \nu$.

Fact 4.7. Assume AD. Suppose $\vec{\varphi}$ is a sequence of norms on $W \subseteq \mathbb{R}$ is a reliability witness for λ . Let ν be a supercompact measure on $\mathscr{P}_{\omega_1}(\lambda)$. Then $A = \{\sigma \in \mathscr{P}_{\omega_1}(\lambda) : \sigma \text{ is honest}\} \in \nu$.

Proof. Suppose $A \notin \nu$. Let $\tilde{A} = \mathscr{P}_{\omega_1}(\lambda) \setminus A$. Since ν is an ultrafilter, $\tilde{A} \in \nu$. Let $\Phi : \mathscr{P}_{\omega_1}(\lambda) \to \mathscr{P}_{\omega_1}(\lambda)$ be defined by $\Phi(\sigma) = \{\xi \in \sigma : \sigma \text{ is not } \xi\text{-honest}\}$. Note that for all $\sigma \in \tilde{A}, \ \emptyset \neq \Phi(\sigma) \subseteq \sigma$. So $\tilde{A} \subseteq \{\sigma \in \mathscr{P}_{\omega_1}(\lambda) : \emptyset \neq \Phi(\sigma) \subseteq \sigma\}$ and therefore $\{\sigma \in \mathscr{P}_{\omega_1}(\lambda) : \emptyset \neq \Phi(\sigma) \subseteq \sigma\} \in \nu$. By normality, there is a $\eta \in \lambda$ so that $B = \{\sigma \in \mathscr{P}_{\omega_1}(\lambda) : \eta \in \Phi(\sigma)\} \in \nu$. Pick a $w \in W$ so that $\varphi_0(w) = \eta$. For each $n \in \omega$, $C_n = \{\sigma \in \mathscr{P}_{\omega_1}(\lambda) : \varphi_n(w) \in \sigma\} \in \nu$ by fineness. Then $C = \bigcap_{n \in \omega} C_n \in \nu$ by countably completeness. Then $D = B \cap C \in \nu$. Pick any $\sigma \in D$. w is a η -honest witness for σ since for all $n \in \omega, \varphi_n(w) \in \sigma$. Thus σ is η -honest. However, $\eta \in \Phi(\sigma)$ means that σ is not η -honest. Contradiction. \Box

Recall the notation $x^{[n]}$ from Definition 3.1 for $x \in \mathbb{R}$ and $n \in \omega$.

Fact 4.8. (Kechris-Woodin; [16] Lemma 1.1, [13] Theorem 6.1) Assume AD. Let λ be a reliable ordinal with $\vec{\varphi}$ be a sequence of norms on a set $W \subseteq \mathbb{R}$ being a reliability witness. Then there is a Lipschitz continuous function $\mathfrak{G} : {}^{\omega}\lambda \to \mathbb{R}$ so that the following holds.

554 (1) For all $n \in \omega$ and $f \in {}^{\omega}\lambda$, $\mathfrak{G}(f)^{[n]} \in W$ and $\varphi_0(\mathfrak{G}(f)^{[n]}) \leq f(n)$.

555 (2) For all $n \in \omega$ and $f \in {}^{\omega}\lambda$, if $f[\omega]$ is f(n)-honest, then $\varphi_0(\mathfrak{G}(f)^{[n]}) = f(n)$.

Thus if $f[\omega]$ is honest, then for all $n \in \omega$, $\varphi_0(\mathfrak{G}(f)^{[n]}) = f(n)$. For each $n \in \omega$, let $\mathfrak{G}_n : {}^{\omega}\lambda \to W$ be defined to by $\mathfrak{G}_n(f) = \mathfrak{G}(f)^{[n]}$.

A function \mathfrak{G} with the above property is called a generic coding function for λ relative to the reliability witness $\vec{\varphi}$.

Theorem 4.9 will only need the concept of ξ -honest for a particular ordinal $\xi < \lambda$ and will never need full honesty. Thus one will only directly use Fact 4.6 concerning fine and countably complete measures on $\mathscr{P}_{\omega_1}(\lambda)$ rather than Fact 4.7 which involves supercompact measures on $\mathscr{P}_{\omega_1}(\lambda)$. However, it is convenient to use the uniqueness of the supercompact measure (Fact 4.5) to uniformly find long sequences of supercompact measures on various ordinals. Theorem 4.9 will just need codes for f(0) rather than all of f so the function $\mathfrak{G}_{0}: {}^{\omega}\lambda \to W$ will be used directly rather than \mathfrak{G} . The full generic coding function will be used later to analyze the ultrapower of the supercompact measure.

Again, use the notation defined before Theorem 3.8: Suppose $\pi : \mathbb{R} \to X$. Let $\delta \leq \lambda < \Theta$ and $\varpi : \mathbb{R} \to \mathscr{P}(\lambda)$. If $B \subseteq \mathbb{R}$, let $T_B = \{(x, f) : (\exists z \in B)(x = \pi(z^{[0]}) \land f = \varpi(z^{[1]}))\}$. If $A \subseteq X$ and $\Phi : A \to {}^{<\delta}\lambda$, then there is some $B \in \mathscr{P}(\mathbb{R})$ so that the graph of Φ is T_B .

Theorem 4.9. Assume AD. Let X be a surjective image of \mathbb{R} . Let κ be a reliable cardinal. Let $\kappa \leq \delta \leq \lambda < \Theta$ be a cardinals with $\operatorname{cof}(\delta) > \omega$. For each $\alpha \leq \kappa$, let ν_{α} be the unique supercompact measure on $\mathscr{P}_{\omega_1}(\alpha)$. Suppose one of the two cases occurs.

573 (1) $j_{\nu_{\kappa}}(\delta) = \delta$ and $j_{\nu_{\kappa}}(\lambda) = \lambda$.

574 (2) For all $\alpha < \kappa$, $j_{\nu_{\alpha}}(\delta) = \delta$ and $j_{\nu_{\alpha}}(\lambda) = \lambda$.

⁵⁷⁵ Let $\langle A_{\alpha} : \alpha < \kappa \rangle$ be a sequence so that there exists a $Z \in \mathscr{P}(\mathbb{R})$ with the property that for all $\alpha \in \kappa$, $A_{\alpha} \subseteq X$, ⁵⁷⁶ $|A_{\alpha}| \leq |{}^{<\delta}\lambda|$, and there is an $r \in \mathbb{R}$ so that $T_{\Xi_{r}^{-1}[Z]}$ is the graph of an injection of A_{α} into ${}^{<\delta}\lambda$. Then ⁵⁷⁷ $|\bigcup_{\alpha < \kappa} A_{\alpha}| \leq |{}^{<\delta}\lambda|$.

Proof. Let $\vec{\varphi} = \langle \varphi_n : n \in \omega \rangle$ be a scale on $W \subseteq \mathbb{R}$ which serves as a reliability witness for κ . If case (1) holds, for each $\alpha < \kappa$, let $\xi(\alpha) = \kappa$. If case (2) holds, let $\xi(\alpha)$ be the least ξ which is α -honest relative to $\vec{\varphi}$. Regardless of the case, $j_{\nu_{\xi(\alpha)}}(\delta) = \delta$ and $j_{\xi(\alpha)}(\lambda) = \lambda$ for all $\alpha < \kappa$.

Define $R \subseteq W \times \mathbb{R}$ by R(w,r) if and only if $T_{\Xi_r^{-1}[Z]}$ is the graph of an injection of $A_{\varphi_0(w)}$ into ${}^{<\delta}\lambda \setminus \{\emptyset\}$. Let Γ be a scaled pointclass containing the Suslin relations W and S_0 (from Definition 4.1 for φ_0) and closed under $\exists^{\mathbb{R}}$ and \land . By applying the Moschovakis coding lemma to R, φ_0 , and Γ , there is a relation $\overline{R} \subseteq W \times \mathbb{R}$ so that $\overline{R} \subseteq R$, $\overline{R} \in \Gamma$, and for all $\alpha < \kappa$, there is a $w \in W$ with $\varphi_0(w) = \alpha$ and $r \in \mathbb{R}$ so that $\overline{R}(w, r)$. Let $\tilde{R} \subseteq W \times \mathbb{R}$ be defined by $\tilde{R}(w, r)$ if and only if $w \in W \land (\exists v)(S_0(v, w) \land S_0(w, v) \land \overline{R}(v, r))$. $\tilde{R} \in \Gamma$ and dom $(\tilde{R}) = W$. Since Γ is a scaled pointclass, let $\Lambda : W \to \mathbb{R}$ be a uniformization with the property that for all $w \in W$, $\tilde{R}(w, \Lambda(w))$. Thus for all $w \in W$, $R(w, \Lambda(w))$. For all $w \in W$, $T_{\Xi_{\Lambda(w)}^{-1}[Z]}$ is the graph of an

injection of $A_{\varphi_0(w)}$ into ${}^{<\delta}\lambda \setminus \{\emptyset\}$. For each $w \in W$, let $\Phi_w : A_{\varphi_0(w)} \to {}^{<\delta}\lambda \setminus \{\emptyset\}$ be the injection whose graph is $T_{\Xi_{\Lambda(w)}^{-1}}[Z]$.

For each $x \in \bigcup_{\alpha < \kappa} A_{\alpha}$, let $\iota(x)$ be the least $\alpha < \kappa$ so that $x \in A_{\alpha}$. Let $\tau : {}^{<\omega}\kappa \times \delta \times \lambda \to \lambda$ be a bijection. If σ is a countable set and $p \in {}^{<\omega}\sigma$, then let $N_p^{\sigma} = \{f \in {}^{\omega}\sigma : p \subseteq f\}$. ${}^{\omega}\sigma$ is given the product of the discrete topology on σ which equivalently is generated by $\{N_p^{\sigma} : p \in {}^{<\omega}\sigma\}$ as a basis. For any countable σ , ${}^{\omega}\sigma$ is homeomorphic to ${}^{\omega}\omega$ and has the Baire property for its topology. For $p \in {}^{<\omega}\sigma$ and φ a formula, $(\forall_p^{*,\sigma}f)\varphi(f)$ abbreviates $\{f \in N_p^{\sigma} : \varphi(f)\}$ is comeager in N_p^{σ} . For all $x \in \bigcup_{\alpha < \kappa} A_{\alpha}$ and $\sigma \in \mathscr{P}_{\omega_1}(\xi(\iota(x)))$ with $\iota(x) \in \sigma$, let

$$\Upsilon^x(\sigma) = \{\tau(p,\eta,\zeta) : p \in {}^{<\omega}\sigma \land (\exists \epsilon < \delta)(\forall_{\langle \iota(x) \rangle \ \hat{}^p}^{*,\sigma}f)(\epsilon = \operatorname{dom}(\Phi_{\mathfrak{G}_0(f)}(x)) \land \eta < \epsilon \land \Phi_{\mathfrak{G}_0(f)}(x)(\eta) = \zeta)\}.$$

Since τ maps into λ , one has that $\Upsilon^{x}(\sigma) \in \mathscr{P}(\lambda)$. Thus for each $x \in \bigcup_{\alpha \in \kappa} A_{\alpha}$, $\Upsilon^{x} : \mathscr{P}_{\omega_{1}}(\xi(\iota(x))) \to \mathscr{P}(\lambda)$. Note that the hypothesis that $\prod_{\sigma \in \mathscr{P}_{\omega_{1}}(\xi(\iota(x)))} \lambda/\nu_{\xi(\iota(x))} = j_{\nu_{\xi(\iota(x))}}(\lambda) = \lambda$ implicitly implies that this ultrapower is wellfounded. Define $\Upsilon(x)$ to be the set of all ordinals γ such that there exist (equivalently, for all) functions $f : \mathscr{P}_{\omega_{1}}(\xi(\iota(x))) \to ON$ with $[f]_{\nu_{\xi(\iota(x))}} = \gamma, \{\sigma \in \mathscr{P}_{\omega_{1}}(\xi(\iota(x))) : f(\sigma) \in \Upsilon^{x}(\sigma)\} \in \nu_{\xi(\iota(x))}$. (Although this ultrapower does not satisfy Loś' Theorem, Υ is intuitively defined by $\Upsilon(x) = [\Upsilon^{x}]_{\nu_{\xi(\iota(x))}}$.) <u>Claim 1</u>: For all $x \in \bigcup_{\alpha < \kappa} A_{\alpha}, \Upsilon(x) \subseteq \lambda$.

To see Claim 1: Suppose $\gamma \in \Upsilon(x)$ and $f : \mathscr{P}_{\omega_1}(\xi(\iota(x))) \to ON$ with $[f]_{\nu_{\xi(\iota(x))}} = \gamma$. Thus $\{\sigma \in \mathscr{P}_{\omega_1}(\xi(\iota(x))) : f(\sigma) \in \Upsilon^x(\sigma) \subseteq \mathscr{P}(\lambda)\} \in \nu_{\xi(\iota(x))}$. Thus $[f]_{\nu_{\xi(\iota(x))}} < j_{\nu_{\xi(\iota(x))}}(\lambda) = \lambda$. Thus $\gamma < \lambda$. This shows $\gamma \in \lambda$. Claim 1 has been established.

599 <u>Claim 2</u>: For all $x \in \bigcup_{\alpha < \kappa} A_{\alpha}, \Upsilon(x) \neq \emptyset$.

To see Claim 2: Since $\xi(\iota(x))$ is an $\iota(x)$ -honest ordinal, $A = \{\sigma \in \mathscr{P}_{\omega_1}(\xi(\iota(x))) : \sigma \text{ is } \iota(x)\text{-honest}\} \in \mathcal{V}_{\xi(\iota(x))}$. Pick any $\sigma \in A$. Let $\operatorname{surj}_{\sigma}^{\iota(x)} = \{f \in {}^{\omega}\sigma : f[\omega] = \sigma \land f(0) = \iota(x)\}$ which is a comeager subset of $N_{\langle \iota(x) \rangle}^{\sigma}$. For all $f \in \operatorname{surj}_{\sigma}^{\iota(x)}$, $f[\omega] = \sigma$ is $\iota(x)$ -honest or equivalently f(0)-honest. By Fact 4.8, $\varphi_0(\mathfrak{G}_0(f)) = \iota(x)$ and therefore, $\Phi_{\mathfrak{G}_0(f)} : A_{\iota(x)} \to {}^{<\delta}\lambda$. For all $\epsilon < \delta$, let $B_{\epsilon} = \{f \in \operatorname{surj}_{\sigma}^{\iota(x)} : \operatorname{dom}(\Phi_{\mathfrak{G}_0(f)}(x)) = \epsilon\}$. One has that $\operatorname{surj}_{\sigma}^{\iota(x)} = \bigcup_{\epsilon < \delta} B_{\epsilon}$. Since wellordered union of meager sets is meager and $\operatorname{surj}_{\sigma}^{\iota(x)}$ is a comeager subset of $N_{\langle \iota(x) \rangle}^{\sigma}$, there is some $\bar{\epsilon}$ so that $B_{\bar{\epsilon}}$ is nonmeager. (Note that $\bar{\epsilon} > 0$ since $\Phi_{\mathfrak{G}_0(f)} : A_{\iota(x)} \to {}^{<\delta}\lambda \setminus \{\emptyset\}$.) For each $\zeta < \lambda$, let $C_{\zeta} = \{f \in B_{\bar{\epsilon}} : \Phi_{\mathfrak{G}_0(f)}(x)(0) = \zeta\}$. $B_{\bar{\epsilon}} = \bigcup_{\zeta < \lambda} C_{\zeta}$. Again since wellordered union of meager subsets of ${}^{\omega}\sigma$ are meager and $B_{\bar{\epsilon}}$ is nonmeager, there is $\bar{\zeta}$ so that $C_{\bar{\zeta}}$ is nonmeager. Since ${}^{\omega}\sigma$ has the Baire property, there is a $\bar{p} \in {}^{<\omega}\sigma$ so that $B_{\bar{\epsilon}}$ is comeager in $N^{\sigma}_{\langle \iota(x) \rangle^{\hat{\gamma}}p}$. Then $\tau(\bar{p}, 0, \bar{\zeta}) \in \Upsilon^x(\sigma)$. This shows that for all $\sigma \in A$, $\Upsilon^x(\sigma) \neq \emptyset$. Let $h : A \to \lambda$ be defined by $h(\sigma) = \min(\Upsilon^x(\sigma))$. Then $[h]_{\nu_{\xi(\iota(x))}} \in \Upsilon(x)$. This establishes Claim 2.

611 Claim 3: For all $x \in \bigcup_{\alpha < \kappa} A_{\alpha}$ and $\sigma \in \mathscr{P}_{\omega_1}(\xi(\iota(x))), |\Upsilon^x(\sigma)| < \delta$.

To see Claim 3: Let $B = \{p \in {}^{<\omega}\sigma : (\exists \epsilon)(\forall_{\langle \iota(x) \rangle}^{*,\sigma}pf)(\epsilon = \operatorname{dom}(\Phi_{\mathcal{G}_0(f)}(x)))\}$. For each $p \in B$, there is a unique $\epsilon_p < \delta$ so that $(\forall_{\langle \iota(x) \rangle}^{*,\sigma}pf)(\epsilon_p = \operatorname{dom}(\Phi_{\mathfrak{G}_0(f)}))$. Thus ϵ_p surjects onto $K_p^{\sigma} = \{\tau(p,\eta,\zeta) : \tau(p,\eta,\zeta) \in \Upsilon^x(\sigma)\}$ since if $\tau(p,\eta,\zeta) \in K_p^{\sigma}$, then $\eta < \epsilon_p$ and ζ is uniquely determined from p and η . Hence $|K_p^{\sigma}| \le |\epsilon_p| < \delta$. Since $B \subseteq {}^{<\omega}\sigma$ is countable, $\Upsilon^x(\sigma) = \bigcup_{p \in B} K_p^{\sigma}$, and $\operatorname{cof}(\delta) > \omega$, one has that $|\Upsilon^x(\sigma)| < \delta$.

616 <u>Claim 4</u>: For all $x \in \bigcup_{\alpha < \kappa} A_{\alpha}$, $|\Upsilon(x)| < \delta$ and thus $\Upsilon(x) \in \mathscr{P}_{\delta}(\lambda)$.

To see Claim 4: Suppose $\gamma \in \Upsilon(x)$ and $[f]_{\nu_{\xi(\iota(x))}} = \gamma$. For each $\sigma \in \mathscr{P}_{\omega_1}(\xi(\iota(x)))$, let $h_f(\sigma)$ be the ordertype of $f(\sigma)$ in $\Upsilon^x(\sigma)$. By Claim 3, $h_f : \mathscr{P}_{\omega_1}(\xi(\iota(x))) \to \delta$. Let $\Sigma^x(\gamma) = [h_f]_{\nu_{\xi(\iota(x))}}$ and note that $\Sigma^x(\gamma)$ is independent of the choice of representative f. Let $g^x : \mathscr{P}_{\omega_1}(\xi(\iota(x))) \to \delta$ be defined by $g^x(\sigma) = \operatorname{ot}(\Upsilon^x(\sigma))$. Note that $g^x(\sigma) < \delta$ by Claim 3. Thus $\Sigma^x(\gamma) = [h_f]_{\nu_{\xi(\iota(x))}} < [g^x]_{\nu_{\xi(\iota(x))}} < j_{\nu_{\xi(\iota(x))}}(\delta) = \delta$. Thus $\Sigma^x : \Upsilon(x) \to [g^x]_{\nu_{\xi(\iota(x))}}$ where $[g^x]_{\nu_{\xi(\iota(x))}} < \delta$. Now suppose $\gamma_0 < \gamma_1$ and $\gamma_0, \gamma_1 \in \Upsilon(x)$. Let f_0 and f_1 be such that $[f_0]_{\nu_{\xi(\iota(x))}} = \gamma_0$ and $[f_1]_{\nu_{\xi(\iota(x))}} = \gamma_1$. Thus $\{\sigma \in \mathscr{P}_{\omega_1}(\xi(\iota(x))) : f_0(\sigma) < f_1(\sigma)\} \in \nu_{\xi(\iota(x))}$. Thus $\Sigma^x(\gamma_0) = [h_{f_0}]_{\nu_{\xi(\iota(x))}} < [h_{f_1}]_{\nu_{\xi(\iota(x))}} = \Sigma^x(\gamma_1)$. Thus $\Sigma^x : \Upsilon(x) \to [g^x]_{\nu_{\xi\iota(x)}}$ is an order-preserving map. Thus $(\Upsilon(x)) < \delta$ and hence $\Upsilon(x) \in \mathscr{P}_{\delta}(\lambda)$. This shows Claim 4.

625 Define
$$\chi : \bigcup_{\alpha \leq \kappa} A_{\alpha} \to \kappa \times \mathscr{P}_{\delta}(\lambda)$$
 by $\chi(x) = (\iota(x), \Upsilon(x))$

626 <u>Claim 5</u>: $\chi : \bigcup_{\alpha < \kappa} A_{\alpha} \to \kappa \times \mathscr{P}_{\delta}(\lambda)$ is an injection.

To see Claim 5: Suppose $x_0, x_1 \in \bigcup_{\alpha < \kappa} A_{\alpha}$ and $x_0 \neq x_1$. First suppose $\iota(x_0) \neq \iota(x_1)$. Then $\chi(x_0) = \iota(x_0) \neq \iota(x_1)$. 627 $(\iota(x_0), \Upsilon(x_0)) \neq (\iota(x_1), \Upsilon(x_1)) = \chi(x_1)$. Now assume $\iota(x_0) = \iota(x_1)$ and let α be this common ordinal. 628 Let $A = \{ \sigma \in \mathscr{P}_{\omega_1}(\xi(\alpha)) : \sigma \text{ is } \alpha \text{-honest} \}$ and note that $A \in \nu_{\xi(\alpha)}$. Let A_0 be the set of $\sigma \in A$ so that 629 $E_{\sigma}^{\alpha} = \{ f \in \mathsf{surj}_{\sigma}^{\alpha} : \operatorname{dom}(\Phi_{\mathfrak{G}_0(f)}(x_0)) = \operatorname{dom}(\Phi_{\mathfrak{G}_0(f)}(x_1)) \} \text{ is nonmeager in } {}^{\omega}\sigma. \text{ Let } A_1 = \mathsf{surj}_{\sigma}^{\alpha} \setminus A_0. \text{ Since } A_0 = \mathsf{surj}_{\sigma}^{\alpha} \setminus A_0 = \mathsf{surj}_{\sigma}^{\alpha} \setminus$ 630 $A = A_0 \cup A_1$ and $A \in \nu_{\xi(\alpha)}$, exactly one of $A_0 \in \nu_{\xi(\alpha)}$ or $A_1 \in \nu_{\xi(\alpha)}$. Suppose $A_0 \in \nu_{\xi(\alpha)}$. Fix $\sigma \in A_0$ so 631 E^{α}_{σ} is nonmeaser. Let $F^{\sigma}_{\epsilon} = \{f \in E^{\alpha}_{\sigma} : \operatorname{dom}(\Phi_{\mathfrak{G}_0(f)}(x_0)) = \epsilon = \operatorname{dom}(\Phi_{\mathfrak{G}_0(f)}(x_1))\}$. Since $E^{\alpha}_{\sigma} = \bigcup_{\epsilon < \delta} F^{\alpha}_{\sigma}$ 632 and E^{α}_{σ} is nonmeaser in σ , let $\bar{\epsilon}_{\sigma} < \delta$ be the least ϵ so that F^{σ}_{ϵ} is nonmeaser. Since for all $f \in F^{\sigma}_{\bar{\epsilon}_{\sigma}}$, 633 $\Phi_{\mathfrak{G}_0(f)}: A_{\alpha} \to {}^{<\delta}\lambda$ is an injection, $\Phi_{\mathfrak{G}_0(f)}(x_0) \neq \Phi_{\mathfrak{G}_0(f)}(x_1)$. For each $\eta < \bar{\epsilon}_{\sigma}$, let H_{η}^{σ} be the set of $f \in F_{\bar{\epsilon}_{\sigma}}^{\sigma}$ 634 so that η is least η' so that $\Phi_{\mathfrak{G}_0(f)}(x_0)(\eta') \neq \Phi_{\mathfrak{G}_0(f)}(x_1)(\eta')$. Since $F_{\bar{\epsilon}_{\sigma}}^{\sigma} = \bigcup_{\eta < \bar{\epsilon}_{\sigma}} H_{\eta}^{\sigma}$, let $\bar{\eta}_{\sigma}$ be the least η so that H_{η}^{σ} is nonneager. For each pair (ζ_0, ζ_1) of distinct ordinals in λ , let $K_{\zeta_0, \zeta_1}^{\sigma}$ be the set of $f \in H_{\bar{\eta}_{\sigma}}^{\sigma}$ 635 636 so that $\Phi_{\mathfrak{G}_0(f)}(x_0)(\bar{\eta}_{\sigma}) = \zeta_0$ and $\Phi_{\mathfrak{G}_0(f)}(x_1)(\bar{\eta}_{\sigma}) = \zeta_1$. Since $H^{\sigma}_{\bar{\eta}_{\sigma}} = \bigcup \{K^{\sigma}_{\zeta_0,\zeta_1} : \zeta_0, \zeta \in \lambda \land \zeta_0 \neq \zeta_1\}$, let 637 $(\bar{\zeta}_0^{\sigma}, \bar{\zeta}_1^{\sigma})$ be least pair (ζ_0, ζ_1) so that $K_{\zeta_0, \zeta_1}^{\sigma}$ is nonmeager. Since $\omega \sigma$ has the Baire property, let \bar{p}_{σ} be the 638 least $p \in {}^{<\omega}\sigma$ (under a uniformly defined wellordering of ${}^{<\omega}\sigma$) so that $K^{\sigma}_{\bar{\zeta}^{\sigma}_{0},\bar{\zeta}^{\sigma}_{1}}$ is comeager in N^{σ}_{p} . Then 639 640 641 $\chi(x_0) = (\alpha, \Upsilon(x_0)) \neq (\alpha, \Upsilon(x_1)) = \chi(x_1)$. Now suppose $A_1 \in \nu_{\xi(\alpha)}$. Let $\sigma \in A_1$. Then E_{σ}^{α} is measure in ${}^{\omega}\sigma$. 642 Let $I_{\sigma}^{\alpha} = \operatorname{surj}_{\sigma}^{\alpha} \setminus E_{\sigma}^{\alpha}$ which is comeager in ${}^{\omega}\sigma$. For each pair of $\epsilon_0 \neq \epsilon_1$ less than δ , let $J_{\epsilon_0,\epsilon_1}^{\sigma}$ be the set of $f \in I_{\sigma}^{\alpha}$ 643 so that $\operatorname{dom}(\Phi_{\mathfrak{G}_0(f)}(x_0)) = \epsilon_0$ and $\operatorname{dom}(\Phi_{\mathfrak{G}_0(f)}(x_1)) = \epsilon_1$. Then $I^{\alpha}_{\sigma} = \bigcup \{J^{\sigma}_{\epsilon_0,\epsilon_1} : \epsilon_0, \epsilon_1 < \delta \land \epsilon_0 \neq \epsilon_1\}$. Let 644 $(\bar{\epsilon}_0^{\sigma}, \bar{\epsilon}_1^{\sigma})$ be the least pair (ϵ_0, ϵ_1) with $\epsilon_0 \neq \epsilon_1$ so that $J_{\epsilon_0, \epsilon_1}^{\sigma}$ is nonmeager. Without loss of generality, suppose 645 $\bar{\epsilon}_0^{\sigma} < \bar{\epsilon}_1^{\sigma}$. For each $\zeta < \lambda$, let $Q_{\zeta}^{\sigma} = \{f \in J_{\bar{\epsilon}_0^{\sigma}, \bar{\epsilon}_1^{\sigma}}^{\sigma} : \Phi_{\mathfrak{G}_0(f)}(x_1)(\bar{\epsilon}_0) = \zeta\}$. $J_{\bar{\epsilon}_0^{\sigma}, \bar{\epsilon}_1^{\sigma}}^{\sigma} = \bigcup_{\zeta < \lambda} Q_{\zeta}^{\sigma}$. Let $\bar{\zeta}_{\sigma}$ be least ζ so that Q_{ζ}^{σ} is nonmeager. Since ${}^{\omega}\sigma$ has the Baire property, let \bar{p}_{σ} be the least $p \in {}^{<\omega}\sigma$ so that $Q_{\bar{\zeta}_{\sigma}}^{\sigma}$ is comeager in 646 647 N_p^{σ} . Let $h(\sigma) = \tau(\bar{p}_{\sigma}, \bar{\epsilon}_0^{\sigma}, \bar{\zeta}_{\sigma})$. For all $\sigma \in A_1, h(\sigma) \in \Upsilon^{x_1}(\sigma)$ however $h(\sigma) \notin \Upsilon^{x_0}(\sigma)$. Thus $[h]_{\nu_{\xi(\alpha)}} \in \Upsilon(x_1)$ 648 and $[h]_{\nu_{\mathcal{E}(\alpha)}} \notin \Upsilon(x_0)$. So $\Upsilon(x_0) \neq \Upsilon(x_1)$. Therefore, $\chi(x_0) = (\alpha, \Upsilon(x_0)) \neq (\alpha, \Upsilon(x_1)) = \chi(x_1)$. Claim 5 has 649 been established. 650

Since $|\mathscr{P}_{\delta}(\lambda)| = |{}^{<\delta}\lambda|$ by Fact 2.2 and $|\mathscr{P}_{\delta}(\lambda)| = |\kappa \times \mathscr{P}_{\delta}(\lambda)|$, one has that there is an injection of $\bigcup_{\alpha < \kappa} A_{\alpha}$ into ${}^{<\delta}\lambda$.

Theorem 4.10. Assume AD and $DC_{\mathbb{R}}$. Suppose X is a surjective image of \mathbb{R} . Let κ be a reliable cardinal. Assume $cof(\Theta) > \kappa$. Let δ and λ be cardinals such that $\kappa \leq \delta \leq \lambda < \Theta$ and $cof(\delta) > \omega$. For each $\alpha \leq \kappa$, let ν_{α} be the unique supercompact measure on $\mathscr{P}_{\omega_1}(\alpha)$. Suppose one of the two cases occurs.

- 656
- (1) $j_{\nu_{\kappa}}(\delta) = \delta$ and $j_{\nu_{\kappa}}(\lambda) = \lambda$. (2) For all $\alpha < \kappa$, $j_{\nu_{\alpha}}(\delta) = \delta$ and $j_{\nu_{\alpha}}(\lambda) = \lambda$. 657

Let $\langle A_{\alpha} : \alpha < \kappa \rangle$ be a sequence so that for all $\alpha \in \kappa$, $A_{\alpha} \subseteq X$, and $|A_{\alpha}| \leq |{}^{<\delta}\lambda|$. Then $|\bigcup_{\alpha < \kappa} A_{\alpha}| \leq |{}^{<\delta}\lambda|$. 658

Proof. The proof follows from Theorem 4.9 in a manner similar to how Theorem 3.10 follows from Theorem 659 3.9. \square 660

Theorem 4.11. Assume AD^+ . Suppose X is a surjective image of \mathbb{R} . Let κ be a reliable cardinal which 661 is below a Suslin cardinal. Let $\kappa \leq \delta \leq \lambda < \Theta$ be cardinals with $cof(\delta) > \omega$. For each $\alpha \leq \kappa$, let ν_{α} be the 662 unique supercompact measure on $\mathscr{P}_{\omega_1}(\alpha)$. Suppose one of the cases occurs. 663

(1) $j_{\nu_{\kappa}}(\delta) = \delta$ and $j_{\nu_{\kappa}}(\lambda) = \lambda$. 664

665 (2) For all
$$\alpha < \kappa$$
, $j_{\nu_{\alpha}}(\delta) = \delta$ and $j_{\nu_{\alpha}}(\lambda) = \lambda$.

Let $\langle A_{\alpha} : \alpha < \kappa \rangle$ be a sequence so that for all $\alpha \in \kappa$, $A_{\alpha} \subseteq X$, and $|A_{\alpha}| \leq |{}^{<\delta}\lambda|$. Then $|\bigcup_{\alpha < \kappa} A_{\alpha}| \leq |{}^{<\delta}\lambda|$. 666

Proof. This result follows from Theorem 4.9 and Theorem 4.10 as in the proof of Theorem 3.16. 667

It is implicit in the assumption that $j_{\nu_{\alpha}}(\lambda) = \lambda$ that the ultrapower $\prod_{\mathscr{P}_{\alpha,\gamma}(\alpha)} \lambda/\nu_{\alpha}$ is wellfounded. This 668 is addressed in Fact 4.21. Then next few results will work toward showing $j_{\nu_{\alpha}}(\delta_4^1) = \delta_4^1$ which is due 669 to Becker [1] Theorem 4.2. One will need an explicit characterization of the supercompact measure on 670 $\mathscr{P}_{\omega_1}(\kappa)$ when κ is a reliable ordinal. Various constructions of a supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$ can be 671 found in Solovay [21], Harrington-Kechris [10], and Becker [1]. By Woodin's result [26] concerning the 672 uniqueness of the supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$, they all define the same measure. Here, one will use 673 a construction of the supercompact measure from generic codings presented in [13]. However, one uses the 674 "ordinal determinacy" clause of AD^+ to get the necessary determinacy of certain games with moves on the 675 ordinal. Many results below have AD^+ as a hypothesis but had previously been proved under AD using the 676 determinacy of certain real games given by [10] Harrington-Kechris. The generic coding methods seems more 677 suitable for generalization as Becker-Jackson [2] and Jackson [12] showed certain cardinals (for instance the 678 projective ordinals δ_n^1 have higher degree of supercompactness (i.e. are δ_1^2 -supercompact). 679

Fact 4.12. Let κ be an ordinal, ν be a supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$, and $f: {}^{<\omega}\kappa \to \kappa$ be a function. 680 Then $\{\sigma \in \mathscr{P}_{\omega_1}(\kappa) : f[{}^{<\omega}\sigma] \subseteq \sigma\} \in \nu.$ 681

Proof. Let $A = \{ \sigma \in \mathscr{P}_{\omega_1}(\kappa) : f[{}^{<\omega}\sigma] \subseteq \sigma \}$. For the sake of contradiction, suppose $A \notin \nu$. Let $\tilde{A} =$ 682 $\mathscr{P}_{\omega_1}(\kappa) \setminus A$ and note that $\tilde{A} \in \nu$ since ν is an ultrafilter. Fix a wellordering \prec of ${}^{<\omega}\kappa$. If $\sigma \in \tilde{A}$, then there 683 is a $p \in {}^{<\omega}\kappa$ so that $f(p) \notin \sigma$. Let p_{σ} be the least such p according to \prec . By the countably additivity of 684 ν , there is an \bar{n} so that $B = \{\sigma \in \bar{A} : |p_{\sigma}| = n\} \in \nu$. If $\bar{n} = 0$, then $p_{\sigma} = \emptyset$ for all $\sigma \in B$. By fineness, 685 $C = \{\sigma \in B : f(\emptyset) \in \sigma\} \in \nu$. For all $\sigma \in C$, $f(p_{\sigma}) = f(\emptyset) \in \sigma$ which contradicts the definition of p_{σ} . 686 Now suppose $\bar{n} > 0$. For each $k < \bar{n}$, let $\Phi_k : B \to \mathscr{P}_{\omega_1}(\kappa)$ be defined by $\Phi_k(\sigma) = \{p_\sigma(k)\}$. For all $k < \bar{n}$, 687 $\{\sigma \in B : \emptyset \neq \Phi_k(\sigma) \subseteq \sigma\} \in \nu$. By normality, there is an $\alpha_k \in \kappa$ so that $D_k = \{\sigma \in B : \alpha_k \in \Phi_k(\sigma)\} \in \nu$. Let 688 $\bar{p} \in \bar{n}\kappa$ be defined by $\bar{p}(k) = \alpha_k$. Thus $E = \{\sigma \in B : p_\sigma = \bar{p}\} = \bigcap_{k < \bar{n}} D_k \in \nu$ by the countably completeness 689 of ν . By fineness, $F = \{\sigma \in D : f(\bar{p}) \in \sigma\} \in \nu$. For all $\sigma \in F$, $f(p_{\sigma}) = f(\bar{p}) \in \sigma$ which contradicts the 690 definition of p_{σ} . This completes the proof. 691

Definition 4.13. Formally a strategy on κ is a function ρ : ${}^{<\omega}\kappa \to \kappa$. If ρ_0 and ρ_1 are two strategies, then 692 $\rho_0 * \rho_1 \in {}^{\omega}\kappa$ is defined by recursion as follows: If n is even, then $(\rho_0 * \rho_1)(n) = \rho_0(\rho_0 * \rho_1 \upharpoonright n)$. If n is odd, 693 then $(\rho_0 * \rho_1)(n) = \rho_1(\rho_0 * \rho_1 \upharpoonright n)$. If $f \in {}^{\omega}\kappa$, then let ρ_f^1 be the strategy defined by $\rho_f^1(2n) = f(n)$ and 694 $\rho_f^1(2n+1) = 0$ for all $n \in \omega$. If $f \in {}^{\omega}\kappa$, then let ρ_f^2 be the strategy defined by $\rho_f^2(2n) = 0$ and $\rho_f^2(2n+1) = 0$ 695 $f(n). \text{ If } f \in {}^{\omega}\kappa, \text{ let } f_{\text{even}} \in {}^{\omega}\kappa \text{ and } f_{\text{odd}} \in {}^{\omega}\kappa \text{ be defined by } f_{\text{even}}(n) = f(2n) \text{ and } f_{\text{odd}}(n) = f(2n+1). \text{ If } \rho \text{ is a strategy, then let } \Xi^{1}_{\rho}, \Xi^{2}_{\rho} : {}^{\omega}\kappa \to {}^{\omega}\kappa \text{ be defined by } \Xi^{1}_{\rho}(f) = (\rho * \rho_{f}^{2})_{\text{even}} \text{ and } \Xi^{2}_{\rho}(f) = (\rho_{f}^{1} * \rho)_{\text{odd}}.$ Fix a bijection $\pi^{\kappa,2} : \kappa \to \kappa \times \kappa$. Let $\pi^{\kappa,2}_{0}, \pi^{\kappa,2}_{1} : \kappa \to \kappa$ be defined by $\pi^{\kappa,2}_{0}(\alpha) = \beta$ and $\pi^{\kappa,2}_{1}(\alpha) = \gamma$ where $\pi^{\kappa,2}(\alpha) = (\beta, \gamma). \text{ If } \rho$ is a strategy on κ , let $\chi^{\kappa}_{\rho} = \pi^{\kappa,2}_{0} \circ \rho$ and $\tau^{\kappa}_{\rho} = \pi^{\kappa,2}_{1} \circ \rho.$ 696 697

698 690

Definition 4.14. Let κ be a reliable ordinal with reliability witness $\vec{\varphi}$ which is a scale on $W \subseteq \mathbb{R}$. Let 700 $\rho: {}^{<\omega}\kappa \to \kappa$ be a strategy on κ . Let K_{ρ} be the set of $\sigma \in \mathscr{P}_{\omega_1}(\kappa)$ so that σ is honest relative to the reliability 701 witness $\vec{\varphi}$ and $\rho[{}^{<\omega}\sigma] \subseteq \sigma$. 702

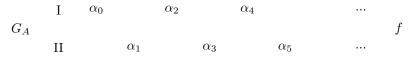
Fact 4.15. Let κ be a reliable ordinal with reliability witness $\vec{\varphi}$ which is a scale on $W \subseteq \mathbb{R}$. Let $\rho : {}^{<\omega}\kappa \to \kappa$ be a strategy on κ . Then $K_{\rho} \in \nu_{\kappa}$.

⁷⁰⁵ *Proof.* This follows from Fact 4.7 and Fact 4.12.

Generic coding can be used to define the unique supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$ when κ is a reliable ordinal. The game will be provided next and used to show that sets of the form K_{ρ} for strategies ρ on κ form a basis for the supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$.

Fact 4.16. Assume AD^+ . Let κ be a reliable ordinal with reliability witness $\vec{\varphi}$ which is a scale on $W \subseteq \mathbb{R}$. Let ν_{κ} be the unique supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$. Let $A \subseteq \mathscr{P}_{\omega_1}(\kappa)$. $A \in \nu_{\kappa}$ if and only if there is a strategy $\rho : {}^{<\omega}\kappa \to \kappa$ so that $K_{\rho} \subseteq A$.

Proof. Fix $A \subseteq \mathscr{P}_{\omega_1}(\kappa)$. Define the game G_A on κ as following.



Player 1 and 2 alternate playing ordinals from κ . Player 1 plays the ordinals α_{2n} and Player 2 plays the ordinals α_{2n+1} for all $n \in \omega$. Player 1 wins G_A if and only if $\{\varphi_0(\mathfrak{G}_n(f)) : n \in \omega\} \in A$. Let ν_{κ}^* be the set of all $A \subseteq \mathscr{P}_{\omega_1}(\kappa)$ so that Player 1 has a winning strategy in G_A . Let $B \subseteq {}^{\omega}\omega$ be $B = \{r \in {}^{\omega}\omega :$ $(\forall n)(r^{[n]} \in W) \land \{\varphi_0(r^{[n]}) : n \in \omega\} \in A\}$. The payoff set for G_A is $\mathfrak{G}^{-1}[B]$. Since $\mathfrak{G} : {}^{\omega}\kappa \to {}^{\omega}\omega$ is continuous, the "ordinal determinacy" clause of AD^+ implies that G_A is determined. It can be shown that ν_{κ}^* is a supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$. (Thus one can define the unique supercompact measure ν_{κ} on $\mathscr{P}_{\omega_1}(\kappa)$ to be ν_{κ}^* .)

If there is strategy ρ on κ so that $K_{\rho} \subseteq A$, then $A \in \nu_{\kappa}$ since $K_{\rho} \in \nu_{\kappa}$ by Fact 4.15. Now suppose $A \in \nu_{\kappa} = \nu_{\kappa}^{*}$. Let ρ be a Player 1 winning strategy in G_{A} . Let $\sigma \in K_{\rho}$ which means that σ is honest and $\rho[{}^{<\omega}\sigma] \subseteq \sigma$. Let $g: \omega \to \sigma$ be a surjection. Let $f = \rho * \rho_{g}^{2}$ be the run of player 1 playing the terms of gagainst Player 1 using ρ . Since $\rho[{}^{<\omega}\sigma] \subseteq \sigma$ and $g[\omega] = \sigma$, one has that $f[\omega] = \sigma$. Since $f[\omega] = \sigma$ is honest, by the properties of the generic coding function (Fact 4.8), $\varphi_{0}(\mathfrak{G}_{n}(f)) = f(n)$. Thus $\{\varphi_{0}(\mathfrak{G}_{n}(f)) : n \in \omega\} = \sigma$. Since ρ is a Player 1 winning strategy, $\sigma = \{\varphi_{0}(\mathfrak{G}_{n}(f)) : n \in \omega\} \in A$. Since $\sigma \in K_{\rho}$ was arbitrary, $K_{\rho} \subseteq A$.

Fact 4.17. Suppose κ be an ordinal, $\lambda < \kappa$, and ν is a supercompact measure on κ . Let $\Pi : \mathscr{P}_{\omega_1}(\kappa) \to \mathscr{P}_{\omega_1}(\lambda)$ be defined by $\Pi(\sigma) = \sigma \cap \lambda$. Then the Rudin-Keisler pushforward $\mu = \Pi_* \nu$ defined by $A \in \mu$ if and only if $\Pi^{-1}[A] \in \nu$ is a supercompact measure on $\mathscr{P}_{\omega_1}(\lambda)$.

Proof. It is straightforward to see that μ is an ultrafilter and countably complete. Suppose $\alpha \in \lambda$. Let $A = \{\tau \in \mathscr{P}_{\omega_1}(\lambda) : \alpha \in \tau\}$. By the fineness of ν , $B = \{\sigma \in \mathscr{P}_{\omega_1}(\kappa) : \alpha \in \kappa\} \in \nu$. Note that $B = \Pi^{-1}[A]$. By definition $A \in \mu$. Thus μ is fine. Let $\Phi : \mathscr{P}_{\omega_1}(\lambda) \to \mathscr{P}_{\omega_1}(\lambda)$ be such that $C = \{\tau \in \mathscr{P}_{\omega_1}(\lambda) : \emptyset \neq \Phi(\tau) \subseteq \tau\} \in \mu$. Define $\Psi : \mathscr{P}_{\omega_1}(\kappa) \to \mathscr{P}_{\omega_1}(\kappa)$ by $\Psi(\sigma) = \Phi(\sigma \cap \lambda)$ and note that Ψ actually maps into $\mathscr{P}_{\omega_1}(\lambda)$. The normality of ν , there is an $\alpha \in \kappa$ so that $E = \{\sigma \in \mathscr{P}_{\omega_1}(\kappa) : \alpha \in \Psi(\sigma)\} \in \nu$. Note that $\alpha \in \lambda$. Let $F = \{\tau \in \mathscr{P}_{\omega_1}(\lambda) : \alpha \in \Phi(\tau)\}$. Note that $E = \Pi^{-1}[F]$ and hence $F \in \mu$. This shows that μ is normal.

Using the proof of Fact 4.17, one can provide an explicit characterization of the supercompact measure on $\mathscr{P}_{\omega_1}(\lambda)$ when λ less than or equal to a Suslin cardinal using the generic coding on a reliable ordinal greater than or equal to λ .

Fact 4.18. Assume AD^+ . Let λ be less than or equal to a Suslin cardinal and let κ be any reliable cardinal greater than or equal to λ . Let $\vec{\varphi}$ be a reliability witness for κ . For any strategy ρ on κ , let $K^{\lambda}_{\rho} = \{\sigma \cap \lambda : \sigma \in K_{\rho}\}$. For any $A \subseteq \mathscr{P}_{\omega_1}(\lambda), A \in \nu_{\lambda}$ if and only if there is a strategy ρ on κ so that $K^{\lambda}_{\rho} \subseteq A$.

Proof. Let $\Pi : \mathscr{P}_{\omega_1}(\kappa) \to \mathscr{P}_{\omega_1}(\lambda)$ be defined by $\Pi(\sigma) = \sigma \cap \lambda$. By Fact 4.17 and the uniqueness of the supercompact measure on $\mathscr{P}_{\omega_1}(\lambda)$, one has that $\nu_{\lambda} = \Pi_* \nu_{\kappa}$. Suppose $A \in \nu_{\lambda}$. Then $\Pi^{-1}[A] \in \nu_{\kappa}$. By Fact 4.16, there is a strategy ρ on κ so that $K_{\rho} \subseteq \Pi^{-1}[A]$. Thus $K_{\rho}^{\lambda} = \{\sigma \cap \lambda : \sigma \in K_{\rho}\} = \{\Pi(\sigma) : \sigma \in K_{\rho}\} \subseteq A$.

Now suppose there is a strategy ρ so that $K^{\lambda}_{\rho} \subseteq A$. Since $\Pi^{-1}[K^{\lambda}_{\rho}] \supseteq K_{\rho}$, $\Pi^{-1}[K^{\lambda}_{\rho}] \in \nu_{\kappa}$. So $K^{\lambda}_{\rho} \in \nu_{\lambda}$. 745 Thus $A \in \nu_{\lambda}$. 746

The following is straightforward. 747

Fact 4.19. Suppose κ is an ordinal, $|\kappa| \leq \lambda < \kappa^+$, and ν is a supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$. Let 748 $\pi: \kappa \to \lambda$ be a bijection. Let $\Pi: \mathscr{P}_{\omega_1}(\kappa) \to \mathscr{P}_{\omega_1}(\lambda)$ be defined by $\Pi(\sigma) = \pi[\sigma]$. Then the Rudin-Keisler 749 pushforward $\mu = \prod_* \nu$ defined by $A \in \mu$ if and only if $\Pi^{-1}[A] \in \nu$ is a supercompact measure on $\mathscr{P}_{\omega_1}(\lambda)$. 750

Fact 4.20. Assume AD and $DC_{\mathbb{R}}$. For any κ less than or equal to a Suslin cardinal, let ν_{κ} denote the unique 751 supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$. If $\lambda < \kappa^+$, then ν_{λ} is Rudin-Keisler reducible to ν_{κ} . 752

Proof. If $\lambda < \kappa$, then Fact 4.17 defines a supercompact measure on $\mathscr{P}_{\omega_1}(\lambda)$ which is Rudin-Keisler reducible 753 to ν_{κ} . By Woodin uniqueness of the supercompact measure on $\mathscr{P}_{\omega_1}(\lambda)$, this measure must be ν_{λ} . Similarly, 754 if $\kappa \leq \lambda < \kappa^+$, then Fact 4.19 defines a supercompact measure on $\mathscr{P}_{\omega_1}(\lambda)$ which is Rudin-Keiser below ν_{κ} . 755 Again by uniqueness, this must be ν_{λ} . \square 756

Using this explicit characterization of the supercompact measure, it will be shown next that the ultrapower 757 ordinals below Θ by the supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$ when κ is below a Suslin cardinal is wellfounded 758 under AD^+ . 759

Fact 4.21. Assume AD^+ . Let κ less than or equal to a Suslin cardinal. Let ν_{κ} be the unique supercompact 760 measure on $\mathscr{P}_{\omega_1}(\kappa)$. Let $(\nu_{\kappa})^{L(\mathscr{P}(\mathbb{R}))}$ be the unique supercompact measure on $\mathscr{P}_{\omega_1}(\kappa)$ in $L(\mathscr{P}(\mathbb{R}))$. Let 761

$$762 \quad \lambda < \Theta. \text{ Then } \nu_{\kappa} = (\nu_{\kappa})^{L(\mathscr{P}(\mathbb{R}))}, \prod_{\mathscr{P}_{\omega_{1}}(\kappa)} \lambda/\nu_{\kappa} = \left(\prod_{\mathscr{P}_{\omega_{1}}(\kappa)} \lambda/\nu_{\kappa}\right)^{L(\mathscr{P}(\mathbb{R}))}, \text{ and } \prod_{\mathscr{P}_{\omega_{1}}(\kappa)} \lambda/\nu_{\kappa} \text{ is wellfounded.}$$

Proof. Since κ and λ are less than Θ , there are surjections $\pi_0 : \mathbb{R} \to \kappa$ and $\pi_1 : \mathbb{R} \to \lambda$. Thus $\pi_2 : \mathbb{R} \to \mathscr{P}_{\omega_1}(\kappa)$ 763 defined by $\pi_2(r) = \{\pi_0(r^{[n]}) : n \in \omega\}$ is a surjection. For each $A \subseteq \mathbb{R}$, let $C_A = \{\pi_2(r) : r \in A\}$. For 764 any $X \subseteq \mathscr{P}_{\omega_1}(\kappa)$, there is an $A \in \mathscr{P}(\mathbb{R})$ so that $C_A = X$. Let $\pi_3 : \mathbb{R} \to \mathscr{P}_{\omega_1}(\kappa) \times \lambda$ be defined by 765 $\pi_3(r) = (\pi_2(r^{[0]}), \pi_1(r^{[1]}))$. π_3 is a surjection. For any $A \in \mathscr{P}(\mathbb{R})$, let $D_A = \{\pi_3(r) : r \in A\}$. Thus for any 766 $f: \mathscr{P}_{\omega_1}(\kappa) \to \lambda$, there is an $A \in \mathscr{P}(\mathbb{R})$ so that D_A is the graph of f. The prewellorderings corresponding 767 to π_0 and π_1 are subsets of \mathbb{R} . Thus $L(\mathscr{P}(\mathbb{R}))$ can recover C_A and D_A from $A \in \mathscr{P}(\mathbb{R})$. This shows that 768

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$$\mathscr{P}_{\omega_1}(\kappa) = (\mathscr{P}_{\omega_1}(\kappa))^{L(\mathscr{P}(\mathbb{R}))}$$
 and $\prod_{\mathscr{P}_{\omega_1}(\kappa)} \lambda = \left(\prod_{\mathscr{P}_{\omega_1}(\kappa)} \lambda\right)^{k}$

Note that since κ is less than or equal to a Suslin cardinal in the real world, κ is still less than or equal 770 to a Suslin cardinal in $L(\mathscr{P}(\mathbb{R}))$. Since the Suslin cardinals are unbounded below the supremum of the 771 Suslin cardinals, there is a reliable ordinal (even a Suslin cardinal) $\bar{\kappa} \geq \kappa$. Since $\bar{\kappa}$ is a reliable ordinal, fix a 772 reliability witness $\vec{\varphi}$ on $W \subseteq \mathbb{R}$. Since $\vec{\varphi} = \langle \varphi_n : n \in \omega \rangle$ is a scale, $\vec{\varphi} \in L(\mathscr{P}(\mathbb{R}))$. For any strategy ρ on κ , let 773 K_{ρ} be the set of $\sigma \in \mathscr{P}_{\omega}(\bar{\kappa})$ such that $\rho[{}^{<\omega}\sigma] \subseteq \sigma$ and σ is honest relative to $\vec{\varphi}$. Let $K_{\rho}^{\kappa} = \{\sigma \cap \kappa : \sigma \in K_{\rho}\}$. 774 By Fact 4.18, $A \in \nu_{\kappa}$ if and only if there is a strategy τ on $\bar{\kappa}$ so that $K_{\tau}^{\kappa} \subseteq A$. Strategies on $\bar{\kappa}$ are essentially 775 subsets of $\bar{\kappa}$. By using the Moschovakis coding lemma applied in $L(\mathscr{P}(\mathbb{R}))$ using a surjection of \mathbb{R} onto $\bar{\kappa}$ in 776 $L(\mathscr{P}(\mathbb{R}))$ (for instance φ_0), one can show that the real world and $L(\mathscr{P}(\mathbb{R}))$ have the same set of strategies on 777 $\bar{\kappa}$. Note also that for any strategy ρ on $\bar{\kappa}$, $K_{\rho}^{\kappa} = (K_{\rho}^{\kappa})^{L(\mathscr{P}(\mathbb{R}))}$ since the notion of honesty is absolute. Using the explicit definition of ν_{κ} (having sets of the form K_{ρ}^{κ} as a basis) applied in the real world or $L(\mathscr{P}(\mathbb{R}))$, 778 779 one has that $\nu_{\kappa} = (\nu_{\kappa})^{L(\mathscr{P}(\mathbb{R}))}$. This with the previous observation that $\prod_{\mathscr{P}_{\omega_1}(\kappa)} \lambda = \left(\prod_{\mathscr{P}_{\omega_1}(\kappa)} \lambda\right)$ 780 implies that $\prod_{\mathscr{P}_{\omega_1}(\kappa)} \lambda/\nu_{\kappa} = \left(\prod_{\mathscr{P}_{\omega_1}(\kappa)} \lambda/\nu_{\kappa}\right)^{L(\mathscr{P}(\mathbb{R}))}$. Since AD^+ holds in the real world, $L(\mathscr{P}(\mathbb{R})) \models \mathsf{AD}^+$. By the above, $\prod_{\mathscr{P}_{\omega_1}(\kappa)} \lambda/\nu_{\kappa}$ is wellfounded

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if and only if $\left(\prod_{\mathscr{P}_{\omega_1}(\kappa)} \lambda/\nu_{\kappa}\right)^{L(\mathscr{P}(\mathbb{R}))}$ is wellfounded. So work inside $L(\mathscr{P}(\mathbb{R}))$ and assume for the sake of contradiction that there is some κ less than or equal to a Suslin cardinal and ordinal $\lambda < \Theta$ so that $\prod_{\mathscr{P}_{\omega_1}(\kappa)} \lambda/2$ ν_{κ} is illfounded. For each $\alpha \leq \Theta$, let \mathcal{W}_{α} be the set of reals of Wadge rank less than α . Let φ be the sentence "there exist ordinals α and β so that $L_{\alpha}(\mathcal{W}_{\beta}) \models (\exists \kappa, \lambda)(\kappa \text{ is less than or equal to a Suslin cardinal } \land \lambda < \beta$ $\Theta \wedge \prod_{\mathscr{P}_{\omega_1}(\kappa)} \lambda / \nu_{\kappa}$ is illfounded)". By the reflection theorem and since $\mathscr{P}(\mathbb{R}) = \mathcal{W}_{\Theta}$, there is some α so that $L_{\alpha}(\mathcal{W}_{\Theta}) \models (\exists \kappa, \lambda)(\kappa \text{ is less than or equal to a Suslin cardinal } \land \lambda < \Theta \land \prod_{\mathscr{P}_{\omega, }(\kappa)} \lambda / \nu_{\kappa} \text{ is illfounded}).$ Thus

 $L(\mathscr{P}(\mathbb{R})) \models \varphi$ with witnesses α as above and $\beta = \Theta$. By the Σ_1 -reflection into Suslin-coSuslin (Fact 3.15), $S \prec_{\Sigma_1} L(\mathscr{P}(\mathbb{R}))$. There exists $\alpha < S$ and $\beta \in S$ so that

 $L_{\alpha}(\mathcal{W}_{\beta}) \models (\exists \kappa, \lambda)(\kappa \text{ is less than or equal to a Suslin cardinal } \land \lambda < \Theta \land \prod_{\mathscr{P}_{\omega_{1}}(\kappa)} \lambda/\nu_{\kappa} \text{ is illfounded}).$

Since $\alpha, \beta < \Theta, L_{\alpha}(\mathcal{W}_{\beta})$ is a surjective image of \mathbb{R} . Working in $L(\mathscr{P}(\mathbb{R})) \models \mathsf{DC}_{\mathbb{R}}$, one can find $\langle f_n : n \in \omega \rangle$ 782 so that $f_n \in L_{\alpha}(\mathcal{W}_{\beta}), f_n : \mathscr{P}_{\omega_1}(\kappa) \to \lambda$, and $L_{\alpha}(\mathcal{W}_{\beta}) \models [f_{n+1}]_{\nu_{\kappa}} < [f_n]_{\nu_{\kappa}}$ for each $n \in \omega$. For each $n \in \omega$, 783 $L_{\alpha}(\mathcal{W}_{\beta}) \models A_n = \{ \sigma \in \mathscr{P}_{\omega_1}(\kappa) : f_{n+1}(\sigma) < f_n(\sigma) \} \in \nu_{\kappa}.$ Note $L_{\alpha}(\mathcal{W}_{\beta}) \models \kappa$ is less than or equal to a Suslin 784 cardinal. Thus $L_{\alpha}(\mathcal{W}_{\beta})$ has a reliable ordinal $\bar{\kappa} \geq \kappa$. Pick a reliability witness φ for $\bar{\kappa}$ in $L_{\alpha}(\mathcal{W}_{\beta})$ and note 785 that it is a reliability witness for $\bar{\kappa}$ in $L(\mathscr{P}(\mathbb{R}))$. For any strategy ρ on $\bar{\kappa}$, define K_{ρ}^{κ} relative to this reliability 786 witness $\vec{\varphi}$. By applying the explicit definition of the supercompact measure on κ within $L_{\alpha}(\mathcal{W}_{\beta})$, for each 787 $n \in \omega$, there is a strategy ρ on $\bar{\kappa}$ so that $K_{\rho}^{\kappa} \subseteq A_n$. Again since there is surjection of \mathbb{R} onto $L_{\alpha}(\mathcal{W}_{\beta})$ in 788 $L(\mathscr{P}(\mathbb{R}))$, one can use $\mathsf{AC}^{\mathbb{R}}_{\omega}$ in $L(\mathscr{P}(\mathbb{R}))$ to find a sequence $\langle \rho_n : n \in \omega \rangle$ so that for each $n \in \omega$, $\rho_n \in L_{\alpha}(\mathcal{W}_{\beta})$ 789 is a strategy on $\bar{\kappa}$, and $K_{\rho_n}^{\kappa} \subseteq A_n$. Note for all $n \in \omega$, $K_{\rho_n}^{\kappa} \in \nu_{\kappa}$. Since $L(\mathscr{P}(\mathbb{R})) \models \nu_{\kappa}$ is countably compete, $\bigcap_{n \in \omega} K_{\rho_n}^{\kappa} \neq \emptyset$. Let $\sigma \in \bigcap_{n \in \omega} K_{\rho_n}^{\kappa} \subseteq \bigcap_{n \in \omega} A_n$. Then in $L(\mathscr{P}(\mathbb{R})), \langle f_n(\sigma) : n \in \omega \rangle$ is an infinite descending 790 791 sequence of ordinals below λ . Contradiction. 792

Fact 4.22. (Almost everywhere honest-enumeration uniformization) Assume AD^+ . Let κ be a reliable ordinal with reliability witness $\vec{\varphi}$ which is a scale on a set $W \subseteq \mathbb{R}$. Let $R \subseteq \mathscr{P}_{\omega_1}(\kappa) \times {}^{\omega}\omega$ be such that dom $(R) = \mathscr{P}_{\omega_1}(\kappa)$. There is a strategy ρ on κ with the following properties.

- 796 (1) For all $s \in {}^{<\omega}\kappa$ with |s| odd, $\tau^{\kappa}_{\rho}(s) \in \omega$.
- 797 (2) For all $f \in {}^{\omega}\kappa$ such that $f[\omega] \in K_{\chi^{\kappa}_{\varrho}}, R(f[\omega], \Xi^{2}_{\tau^{\kappa}_{\varrho}}(f)).$

Proof. Consider the game H_R on κ defined as follows.

Player 1 and Player 2 alternate playing ordinals from κ . Player 1 plays α_{2n} and Player 2 plays β_{2n+1} as in the picture above for each $n \in \omega$. Practically, one should regard Player 2 as playing a pair $\alpha_{2n+1} \in \kappa$ and $x_n \in \omega$ such that $\pi^{\kappa,2}(\alpha_{2n+1}, x_n) = \beta_{2n+1}$. Let $g = \langle \alpha_0, \beta_1, \alpha_2, \beta_3, ... \rangle$. Let $f = \langle \alpha_n : n \in \omega \rangle$ and $x = \langle x_n : n \in \omega \rangle$. Player 2 wins if and only if the conjunction of the following holds.

- For all $n \in \omega, x_n \in \omega$.
- $R(\{\varphi_0(\mathfrak{G}_n(f)): n \in \omega\}, x).$

804 This game is determined by AD^+ .

The claim is that Player 2 has a winning strategy in H_R . For the sake of contradiction, suppose ρ is a strategy for Player 1 in H_R . Let $\sigma \in \mathscr{P}_{\omega_1}(\kappa)$ have the following two properties.

- 807 (1) σ is honest relative to the reliability witness $\vec{\varphi}$.
 - (2) $\rho(\emptyset) \in \sigma$. For all $k \in \omega, \gamma_0, ..., \gamma_{2k+1} \in \sigma, n_0, ..., n_k \in \omega$,

$$\rho(\langle \gamma_0, \pi^{\kappa, 2}(\gamma_1, n_0), \gamma_2, \pi^{\kappa, 2}(\gamma_3, n_1), ..., \pi^{\kappa, 2}(\gamma_{2k+1}, n_k) \rangle) \in \sigma.$$

Let $x \in {}^{\omega}\omega$ be such that $R(\sigma, x)$. Let $h: \omega \to \sigma$ be a surjection onto σ . Let $\tilde{h}: \omega \to \kappa$ be defined by $\tilde{h}(n) = \pi^{\kappa,2}(h(n), x(n))$. Consider the run of H_R where Player 1 uses ρ and player 2 uses $\rho_{\tilde{h}}^2$. Let $g = \rho * \rho_{\tilde{h}}^2$. Let f(2n) = g(2n) and $f(2n+1) = \pi_0^{\kappa,2}(g(2n+1)) = h(n)$. By (2), for all $n \in \omega$, $f(2n) \in \sigma$. Since for all $n \in \omega$, f(2n+1) = h(n) and $h: \omega \to \sigma$ is a surjection, $f[\omega] = \sigma$. By (1), $f[\omega]$ is honest. By the properties of the generic coding function \mathfrak{G} (Fact 4.8), $\varphi_0(\mathfrak{G}_n(f)) = f(n)$. Thus $\sigma = \{\varphi_0(\mathfrak{G}_n(f)): n \in \omega\}$. Note that $x(n) = \pi_1^{\kappa,2}(g(2n+1))$ and $R(\sigma, x)$. This shows that Player 2 has won this run of H_R which contradicts ρ being a winning strategy for Player 1.

Thus by the determinacy of H_R , Player 2 has a winning strategy $\bar{\rho}$. By the first condition for Player and 2 winning, condition (1) must hold for $\bar{\rho}$. Now suppose $h \in \mathscr{P}_{\omega_1}(\kappa)$ is such that $h[\omega] \in K_{\chi_{\rho}^{\kappa}}$. Consider the run of H_R where Player 1 plays by ρ_h^1 and Player 2 plays by $\bar{\rho}$. Let $g = \rho_h^1 * \bar{\rho}$. Let $f : \omega \to \kappa$ be defined by f(2n) = g(2n) and $f(2n+1) = \pi_0^{\kappa,2}(g(2n+1))$. By the hypothesis that $h[\omega] \in K_{\chi_{\bar{\rho}}^{\kappa}}$, $f(2n+1) = \pi^{\kappa,0}(g(2n+1)) \in h[\omega]$. Thus $f[\omega] = \{f(n) : n \in \omega\} = h[\omega]$ which is an honest set by the hypothesis that $h[\omega] \in K_{\chi_{\bar{\rho}}^{\kappa}}$. By the properties of the generic coding function, $\varphi_0(\mathfrak{G}_n(f)) = f(n)$. Thus $h[\omega] = \{\varphi_0(\mathfrak{G}_n(f)) : n \in \omega\}$. Let $x \in {}^{\omega}\omega$ be defined by $x(n) = \pi_1^{\kappa,2}(g(2n+1))$. Since $\bar{\rho}$ is a Player 2 winning strategy, $R(\{\varphi_0(\mathfrak{G}_n(f)) : n \in \omega\}, x)$ holds or equivalently $R(h[\omega], x)$. Since $x = \Xi_{\tau_{\bar{\rho}}^{\kappa}}^2(h)$, one has that $R(h[\omega], \Xi_{\tau_{\bar{\sigma}}^{\kappa}}^2(h))$. This completes the proof. \Box

In the following, one will focus on the supercompact measure on $\mathscr{P}_{\omega_1}(\omega_{\omega})$. One will develop first a coding of strategies on ω_{ω} . The following objects will be fixed for the rest of the discussion concerning ω_{ω} .

Definition 4.23. Fix a Π_2^1 set W and a Δ_3^1 scale $\vec{\varphi}$ on W of length ω_{ω} which witnesses the reliability of ω_{ω} . (This can be obtained by applying the scale property for Π_3^1 on some complete Π_2^1 set. More explicitly, one can let $W = \{x^{\sharp} : x \in \mathbb{R}\}$ and let $\vec{\varphi}$ be a modification of the sharp scale so that $\varphi_0 : W \to \omega_{\omega}$ is a surjection.) Let \prec_n denote the prewellordering on W induced by $\varphi_n : W \to \omega_{\omega}$. Note that $\prec_n \in \Delta_3^1$ for all $n \in \omega$. Fix a bijection $\pi^{\omega_{\omega}, <\omega} : \omega_{\omega} \to {}^{<\omega}(\omega_{\omega})$. Fix $U \subseteq \mathbb{R} \times \mathbb{R} \times \mathbb{R}$ which is universal for Σ_3^1 subsets of \mathbb{R}^2 . Let scode be the set of $x \in \mathbb{R}$ so that the following holds.

(1) For all $s \in {}^{<\omega}\omega_{\omega}$, there exist $y, v \in \mathbb{R}$ such that $y \in W$, $\pi^{\omega_{\omega}, <\omega}(\varphi_0(y)) = s$, and U(x, y, v).

(2) For all $y, z \in W$, for all $v, w \in \mathbb{R}$, if $\varphi_0(y) = \varphi_0(z)$, U(x, y, v), and U(x, z, w), then $v, w \in W$ and $\varphi_0(v) = \varphi_0(w)$.

For any $x \in \text{scode}$, $s \in {}^{<\omega}(\omega_{\omega})$, and $\alpha \in \omega_{\omega}$, let $\rho_x(s) = \alpha$ if and only if there is a $y \in W$ and $v \in W$ so that $\pi^{\omega_{\omega}, <\omega}(\varphi_0(y)) = s$, $\varphi_0(v) = \alpha$, and U(x, y, v). By the two properties of $x \in \text{scode}$, ρ_x is a well-defined function from ${}^{<\omega}(\omega_{\omega})$ into ω_{ω} (that is, ρ_x is a strategy on ω_{ω}).

Let scode^{*} be the set of $x \in \mathbb{R}$ so that the following holds.

 $x \in scode.$

(b) For all $s \in {}^{<\omega}(\omega_{\omega})$ so that |s| is odd, for all $v \in \mathbb{R}$, if U(x, y, v), then $\pi_1^{\omega_{\omega}, 2}(\varphi_0(v)) \in \omega$.

841 Note that if $x \in \mathsf{scode}^*$, then $\Xi^2_{\tau^{\kappa}_{\alpha}} : {}^{\omega}\kappa \to {}^{\omega}\omega$.

Fact 4.24. For all strategies $\rho : {}^{<\omega}(\omega_{\omega}) \to \omega_{\omega}$, there is an $x \in \text{scode so that } \rho = \rho_x$.

Proof. Define $R \subseteq W \times W$ by R(y, v) if and only if $\rho(\pi^{\omega_{\omega}, <\omega}(\varphi_0(y))) = \varphi_0(v)$. Applying the Moschovakis coding lemma to the pointclass Σ_3^1 with the prewellordering φ_0 , there is an $S \subseteq R$ with $S \in \Sigma_3^1$ so that for all $\beta \in \omega_{\omega}$, there exists a $y \in W$ with $\varphi_0(y) = \beta$ and $v \in \mathbb{R}$ so that S(y, v). Since $\pi^{\omega_{\omega}, <\omega} : \omega_{\omega} \to {}^{<\omega}(\omega_{\omega})$ is a bijection, this can be expressed also as: for all $s \in {}^{<\omega}(\omega_{\omega})$, there exist $y \in W$ and $v \in \mathbb{R}$ so that $\pi^{\omega_{\omega}, <\omega}(\varphi_0(y)) = s, S(y, v)$. Since $U \subseteq \mathbb{R} \times \mathbb{R} \times \mathbb{R}$ is universal for Σ_3^1 subsets of \mathbb{R}^2 , there is some $x \in \mathbb{R}$ so that $U_x = S$. By the previous observation and the fact that $U_x = S \subseteq R$, one has properties (1) and (2) of Definition 4.23 and that $\rho_x = \rho$.

One will need to make several complexity computations in order to use the Kunen-Martin theorem to bound the ultrapower $j_{\nu\omega_{\omega}}$. The closure of Δ_4^1 , Σ_4^1 , and Π_4^1 under ω_{ω} -length unions will be helpful in making several complexity computations. This result, due to Harrington and Kechris, has analogs for other scaled pointclasses. For the results here, one can make even better complexity calculations using the Kechris-Martin theorem ([14] Corollary 1.6) to show Σ_3^1 and Π_3^1 are closed under ω_{ω} -length unions and intersections. Jackson has extended the Kechris-Martin theorem throughout the projective hierarchy using the description theory ([13] Section 4.4). However, these arguments are not known to generalize much further.

Fact 4.25. (Harrington-Kechris; [10] Corollary 2.2) Assume AD. For all $n \in \omega$, for all $\kappa < \delta_n^1$, Π_{n+1}^1 , Σ_{n+1}^1 , and Δ_{n+1}^1 are closed under κ -length union. In particular, Π_4^1 , Σ_4^1 , and Δ_4^1 are closed under ω_{ω} -length unions.

Proof. The last statement follows from the first using n = 3 and the fact that $\delta_3^1 = \omega_{\omega+1}$.

Fact 4.26. (Martin, Moschovakis; [15] Theorem 8.4) Assume AD. For all $n \in \omega$, Δ_{2n+1}^1 is closed under κ -length unions and intersections for all $\kappa < \delta_{2n+1}^1$. In particular, Δ_3^1 is closed under ω_{ω} -length unions and

863 intersections.

Fact 4.27. Assume AD. scode and scode^{*} are Δ_4^1 .

Proof. For each $s \in {}^{<\omega}(\omega_{\omega})$, let A_s be the set $x \in \mathbb{R}$ so that there exist $y, v \in \mathbb{R}$ so that $y \in W$, $\varphi_0(y) = (\pi^{\omega_{\omega}, <\omega})^{-1}(s)$, and U(x, y, v). Note that A_s is Σ_3^1 since W is Π_2^1, φ_0 is a Δ_3^1 -norm, and U is Σ_3^1 . In particular, A_s is Δ_4^1 . Let $A = \bigcap \{A_s : s \in {}^{<\omega}(\omega_{\omega})\}$ which is Δ_4^1 since Δ_4^1 is closed under ω_{ω} -length intersection by Fact 4.25. (A is actually Σ_3^1 since Σ_3^1 is closed under ω_{ω} -length intersections by the Kechris-Martin theorem.) Note that A is the set of $x \in \mathbb{R}$ which satisfies Definition 4.23 property (1). Let B be the set of x which satisfies Definition 4.23 property (2). Since $W \in \Pi_2^1, U \in \Sigma_3^1$, and φ_0 is a Δ_3^1 norm, one has that B is Π_3^1 . Since scode $= A \cap B$, scode $\subseteq \Delta_4^1$.

Let $X = \{\alpha \in \omega_{\omega} : \pi_1^{\omega_{\omega},2}(\alpha) \in \omega\}$. For each $\alpha \in X$ and $s \in {}^{<\omega}(\omega_{\omega})$ with |s| odd, let $C_{\alpha,s}$ be the set of x so that for all $y, v \in \mathbb{R}$, if $v \in W$, $\varphi_0(y) = (\pi^{\omega_{\omega},<\omega})^{-1}(s)$, and U(x, y, v), then $\varphi_0(v) = \alpha$. Note that $C_{\alpha,s}$ is Π_3^1 . Let $C = \bigcap \{\bigcup \{C_{\alpha,s} : \alpha \in X\} : s \in {}^{<\omega}(\omega_{\omega}) \land |s| \text{ is odd} \}$. Since Δ_4^1 is closed under ω_{ω} -length intersections and unions, $C \in \Delta_4^1$. Since scode^{*} = scode $\cap C$, scode^{*} is Δ_4^1 .

876 Lemma 4.28. Assume AD.

(1) Let String $\subseteq \omega \times \mathbb{R} \times \mathbb{R}$ be defined by String(n, r, y) if and only if $y \in W$, for all m < n, $r^{[m]} \in W$, and $\pi^{\omega_{\omega}, <\omega}(\varphi_0(y)) = \langle \varphi_0(r^{[0]}), ..., \varphi_0(r^{[n-1]}) \rangle$ (that is, $\pi^{\omega_{\omega}, <\omega}(\varphi_0(y))$ is the length n-string $\langle \varphi_0(r^{[0]}), ..., \varphi_0(r^{[n-1]}) \rangle$). String is Δ_3^1 .

- (2) Let IntPart $\subseteq \mathbb{R} \times \omega$ be defined by IntPart(v, n) if and only if $v \in W$ and $\pi_1^{\omega_{\omega}, 2}(\varphi_0(v)) = n$. IntPart $\in \Delta_3^1$.
- (3) Let $\mathsf{ONPart} \subseteq \mathbb{R} \times \mathbb{R}$ be defined by $\mathsf{ONPart}(v, w)$ if and only if $v \in W$ and $\pi_0^{\omega_\omega, 2}(\varphi_0(v)) = \varphi_0(w)$. ONPart $\in \mathbf{\Delta}_3^1$.
- (4) There is a Δ_3^1 relation NormCompare $\subseteq \omega \times \omega \times \mathbb{R} \times \mathbb{R}$ so that for all $m, n \in \omega$ and $v, w \in \mathbb{R}$, NormCompare(m, n, v, w) if and only if $v, w \in W$ and $\varphi_m(v) = \varphi_n(w)$ (where $\vec{\varphi} = \langle \varphi_n : n \in \omega \rangle$ come from the fixed reliability witness).
- (5) There is a Σ_3^1 set Honest $\subseteq \mathbb{R}$ so that Honest(r) if and only if for all $n \in \omega$, $r^{[n]} \in W$ and $\{\varphi_0(r^{[n]}) : n \in \omega\}$ is honest relative to the reliability witness $\vec{\varphi}$.
- (6) There is a Σ_3^1 relation $\operatorname{Run}_{\Sigma_3^1} \subseteq \mathbb{R} \times \mathbb{R}$ and a Π_3^1 relation $\operatorname{Run}_{\Pi_3^1}$ so that if $x \in \operatorname{scode}$, then $\operatorname{Run}_{\Sigma_3^1}(x, r)$ if and only if $\operatorname{Run}_{\Pi_3^1}(x, r)$ if and only if $\langle \varphi_0(r^{[n]}) : n \in \omega \rangle$ is a run according to ρ_x used as a strategy for Player 2.
- (7) There is a Σ_3^1 relation $\mathsf{Closed}_{\Sigma_3^1} \subseteq \mathbb{R} \times \mathbb{R}$ and Π_3^1 relation $\mathsf{Closed}_{\Pi_3^1} \subseteq \mathbb{R} \times \mathbb{R}$ with the property that whenever $x \in \mathsf{scode}$, $\mathsf{Closed}_{\Sigma_3^1}(x, r)$ if and only if $\mathsf{Closed}_{\Pi_3^1}(x, r)$ if and only if for all $n \in \omega$, $r^{[n]} \in W$ and for all for all $s \in {}^{<\omega}(\{\varphi_0(r^{[n]}) : n \in \omega\}), \rho_x(s) \in \{\varphi_0(r^{[n]}) : n \in \omega\}.$
- (8) There is a Σ_3^1 relation $\mathsf{fClosed}_{\Sigma_3^1} \subseteq \mathbb{R} \times \mathbb{R}$ and Π_3^1 relation $\mathsf{fClosure}_{\Pi_3^1} \subseteq \mathbb{R} \times \mathbb{R}$ with the property that whenever $x \in \mathsf{scode}$, $\mathsf{fClosed}_{\Sigma_3^1}(x,r)$ if and only if $\mathsf{fClosed}_{\Pi_3^1}(x,r)$ if and only if for all $n \in \omega$, $r^{[n]} \in W$ and for all $s \in {}^{<\omega}(\{\varphi_0(r^{[n]}) : n \in \omega\}), \chi_{\rho_x}^{\omega_\omega}(s) \in \{\varphi_0(r^{[n]}) : n \in \omega\}.$
- 898 Proof.
- (1) For each $s \in {}^{<\omega}(\omega_{\omega})$, let A_s be the set of (|s|, r, y) such that $y \in W$, $\varphi_0(y) = (\pi^{\omega_{\omega}, <\omega})^{-1}(s)$, and for all $m < n, r^{[m]} \in W$ and $\varphi_0(r^{[m]}) = s(m)$. Note that $A_s \in \Delta_3^1$ and String $= \bigcup \{A_s : s \in {}^{<\omega}(\omega_{\omega})\}$. String $\in \Delta_3^1$ since Δ_3^1 is closed under ω_{ω} -length unions by Fact 4.26.
- (2) For each $\alpha \in \omega_{\omega}$ and $n \in \omega$, let $V_{\alpha,n} = \{(v,n) : v \in W \land \varphi_0(v) = (\pi^{\omega_{\omega},2})^{-1}((\alpha,n))\}$. Since φ_0 is a Δ_3^1 -norm, $V_{\alpha,n} \in \Delta_3^1$. Then $\mathsf{IntPart} = \bigcup \{V_{\alpha,n} : \alpha \in \omega_{\omega} \land n \in \omega\}$ which is Δ_3^1 since Δ_3^1 is closed under ω_{ω} -length unions.
- (3) For each $\alpha, \beta < \omega_{\omega}$, let $(v, w) \in A_{\alpha,\beta}$ if and only if $\varphi_0(v) = \pi^{\omega_{\omega},2}(\alpha,\beta)$ and $\beta = \varphi_0(w)$. $A_{\alpha,\beta}$ is Δ_3^1 . (3) ONPart = $\bigcup \{A_{\alpha,\beta} : \alpha, \beta < \omega_{\omega}\}$ which is Δ_3^1 since Δ_3^1 is closed under ω_{ω} -length unions.
- 907 (4) Let $m, n \in \omega$ and $\alpha < \omega_{\omega}$. If α is greater than or equal to the rank of either φ_m or φ_n , then let 908 $A_{m,n,\alpha} = \emptyset$. If α less than the rank of both φ_m and φ_n , then let $A_{m,n,\alpha} = \{(m, n, v, w) : \varphi_m(v) = 0\}$ 909 $\alpha \land \varphi_n(w) = \alpha\}$. $A_{m,n,\alpha}$ is Δ_3^1 since all the norms in $\vec{\varphi}$ are Δ_3^1 norms. Then NormCompare = 910 $\bigcup \{A_{m,n,\alpha} : m, n \in \omega \land \alpha < \omega_{\omega}\}$ which is Δ_3^1 since Δ_3^1 is closed under ω_{ω} -length unions.
- (5) Note that $r \in \text{Honest}$ if and only if for all $n \in \omega$, there exists $w \in W$ so that $\varphi_0(w) = \varphi_0(r^{[n]})$ and for all $k \in \omega$, there exists $j \in \omega$ such that NormCompare $(0, k, r^{[j]}, w)$. Since NormCompare is Δ_3^1 , Honest is Σ_3^1 .

914	(6) Let $\operatorname{Run}_{\Sigma_3^1}(x,r)$ if and only if for all $n \in \omega$, $r^{[n]} \in W$ and there exist $y, v \in \mathbb{R}$ so that $\operatorname{String}(2n+1,r,y)$,
915	$U(x, y, v)$, and $\varphi_0(v) = \varphi_0(r^{[2n+1]})$. $Run_{\Sigma_3^1}$ is Σ_3^1 and if $x \in scode$, then $Run_{\Sigma_3^1}(x, r)$ has the intended
916	meaning stated above.
917	Let $\operatorname{Run}_{\Pi_3^1}(x,r)$ if and only if for all $n \in \omega$, $r^{[n]} \in W$ and for all $y, v \in \mathbb{R}$, if $\operatorname{String}(2n+1,r,y)$
918	and $U(x, y, v)$, then $\varphi_0(v) = \varphi_0(r^{[2n+1]})$. $Run_{\Pi_3^1}$ is Π_3^1 and if $x \in scode$, then $Run_{\Pi_3^1}(x, r)$ has the
919	intended meaning.
920	(7) This is a similar and simpler than the argument shown next for (8) .
921	(8) Define fClosed _{Π_2^1} (x, r) if and only if the conjunction of the following holds.
922	• For all $n \in \omega$, $r^{[n]} \in W$.
923	• For all $n \in \omega$, for all $t, y, v, v_0 \in \mathbb{R}$, if the conjunction of the following holds:
924	- For all $k < n$, there exists $i \in \omega$, $\varphi_0(t^{[k]}) = \varphi_0(r^{[i]})$
925	$- \operatorname{String}(n, t, y).$
926	$- \ U(x,y,v)$
927	$- ONPart(v, v_0).$
928	then there exists a $j \in \omega$, $\varphi_0(v_0) = \varphi_0(r^{\lfloor j \rfloor})$.
929	Note that $fClosed_{\Pi_3^1} \in \Pi_3^1$.
930	Define $fClosed_{\Sigma_3^1}(x, r)$ if and only if the conjunction of the following holds.
931	• For all $n \in \omega$, $r^{[n]} \in W$.
932	• For all $n \in \omega$ and function $\ell : n \to \omega$, there exist $j \in \omega$ and $t, y, v, v_0 \in \mathbb{R}$ so that the conjunction
933	of the following holds.
934	- For all $k < n, t^{[k]} = r^{[\ell(k)]}$.
935	- String (n,t,y) .
936	-U(x,y,v)
937	$- \operatorname{ONPart}(v, v_0).$
938	$-\varphi_0(v_0) = \varphi_0(r^{[j]}).$
939	Note that $fClosed_{\Sigma_3^1}$ is Σ_3^1 .
940	If $x \in \text{scode}$, then $fClosed_{\Sigma_3^1}$ and $fClosed_{\Pi_3^1}$ have the intended meanings.
941	
942	Fact 4.29. Assume AD. Suppose $x \in \text{scode}^*$. Let A be the set of $f \in {}^{\omega}(\omega_{\omega})$ so that $f[\omega] \in K_{\chi_{e_x}^{\omega_{\omega}}}$. Then
943	$\Xi^2_{\tau^{\omega\omega}_{ax}}[A] \text{ is } \Sigma^1_3 \text{ (note that since } x \in \text{scode}^*, \ \Xi^2_{\tau^{\omega\omega}_{ax}}[A] \text{ is a set of reals}).$
944	<i>Proof.</i> Observe that $u \in \Xi^2_{\tau^{\omega_{\omega}}_{\rho_x}}[A]$ if and only if there exist $r, t \in \mathbb{R}$ so that the conjunction of the following
945	holds
946	• fClosed $_{\Sigma_2^1}(x,r)$
947	• Honest (\vec{r}) .
948	• For all $n \in \omega$, $t^{[2n]} = r^{[n]}$.
949	• $\operatorname{Run}_{\Sigma^1_3}(x,t).$
950	• For all $n \in \omega$, $IntPart(t^{[2n+1]}, u(n))$.
951	The above expression is Σ_3^1 and it works because $x \in scode^*$ (and note that $scode^* \subseteq scode$).
052	Fact 4.30 (Steel: [23] [13] Theorem 9.98) Assume ΔD and DC_m . If $\kappa < \Theta$ is a limit ordinal, then there is

Fact 4.30. (Steel; [23], [13] Theorem 2.28) Assume AD and $DC_{\mathbb{R}}$. If $\kappa < \Theta$ is a limit ordinal, then there is 952 a surjective norm $\psi: P \to \kappa$ which is δ -Suslin bounded for all $\delta < \operatorname{cof}(\kappa)$, which means that for all $A \subseteq P$ 953 that are δ -Suslin, $\sup(\varphi[A]) < \kappa$. 954

Fact 4.31. Assume AD^+ . Let $\kappa < \Theta$ with $cof(\kappa) > \omega_{\omega}$. Let $\Phi : \mathscr{P}_{\omega_1}(\omega_{\omega}) \to \kappa$. Then there is an $A \in \nu_{\omega_{\omega}}$ 955 so that $\sup(\Phi[A]) < \kappa$. 956

Proof. Fix $\kappa < \Theta$ with $cof(\kappa) > \omega_{\omega}$. By Fact 4.30, let $\psi : P \to \kappa$ be a surjective ω_{ω} -Suslin bounded 957 prevellordering. Fix $\Phi : \mathscr{P}_{\omega_1}(\omega_{\omega}) \to \kappa$. Let $R \subseteq \mathscr{P}_{\omega_1}(\omega_{\omega}) \times \mathbb{R}$ be defined by $R(\sigma, p)$ if and only if 958 $\Phi(\sigma) = \psi(p)$. Applying Fact 4.22, there is a strategy ρ so that the following holds: 959

960 (1) For all odd length
$$s \in {}^{<\omega}(\omega_{\omega}), \tau_{\rho}^{\omega_{\omega}}(s) \in \omega$$
.

(2) For all $f \in {}^{\omega}(\omega_{\omega})$ so that $f[\omega] \in K_{\chi^{\omega_{\omega}}_{\rho}}, R(f[\omega], \Xi^{2}_{\tau^{\omega_{\omega}}_{\rho}}(f)).$ 961

By Fact 4.24, there is an $x \in \text{scode}$ so that $\rho_x = \rho$. Moreover, $x \in \text{scode}^*$ by condition (1) above. Let Bbe the set of $f \in {}^{\omega}(\omega_{\omega})$ so that $f[\omega] \in K_{\chi_{\rho_x}^{\omega_\omega}}$. By condition (2), for any $f \in B$, $R(f[\omega], \Xi^2_{\tau_{\rho_x}^{\omega_\omega}}(f))$ and thus $\Xi^2_{\tau_{\rho_x}^{\omega_\omega}}(f) \in P$ by the definition of R. Thus $\Xi^2_{\tau_{\rho_x}^{\omega_\omega}}[B] \subseteq P$ and $\Xi^2_{\tau_{\rho_x}^{\omega_\omega}}[B]$ is Σ_3^1 (and hence ω_{ω} -Suslin) by Fact 4.29. Since ψ is a ω_{ω} -Suslin bounded norm, there is a $\delta < \kappa$ so that $\psi[\Xi^2_{\rho_{x,1}}[B]] \subseteq \delta$. $K_{\chi_{\rho_x}^{\omega_\omega}} \in \nu_{\omega_\omega}$ by Fact 4.15. Let $\sigma \in K_{\chi_{\rho_x}^{\omega_\omega}}$. Let $f : \omega \to \sigma$ be any surjection and thus $f[\omega] = \sigma$. Note that $f \in B$. Therefore by (2), $R(\sigma, \Xi^2_{\tau_{\rho_x}^{\omega_\omega}}(f))$. This means $\Phi(\sigma) = \psi(\Xi^2_{\tau_{\rho_x}}(f))$. Since $\psi(\Xi^2_{\tau_{\rho_x}^{\omega_\omega}}(f)) \in \Xi^2_{\tau_{\rho_x}}[B]$, one has that $\psi(\Xi^2_{\tau_{\rho_x}^{\omega_\omega}}(f)) < \delta$. So $\Phi(\sigma) < \delta$. This shows that $\sup(\Phi[K_{\chi_{\rho_x}^{\omega_\omega}})] \leq \delta < \kappa$.

Definition 4.32. Let scode⁺ consists of those $x \in \mathbb{R}$ so that the following hold.

970 (1) $x \in \mathsf{scode}^*$.

(2) For all $f \in {}^{\omega}(\omega_{\omega})$ so that $f[\omega] \in K_{\chi_{\rho_x}^{\omega_{\omega}}}, \Xi^2_{\tau_{\rho_x}^{\omega_{\omega}}}(f) \in W$ (where recall W is the underlying set of norms that form the reliability witness $\vec{\varphi}$).

973 (3) For all
$$f_0, f_1 \in {}^{\omega}(\omega_{\omega})$$
 so that $f_0[\omega], f_1[\omega] \in K_{\chi_{\rho_x}^{\omega_{\omega}}}$ and $f_0[\omega] = f_1[\omega]$, then $\varphi_0(\Xi^2_{\tau_{\rho_x}^{\omega_{\omega}}}(f_0)) = \varphi_0(\Xi^2_{\tau_{\rho_x}^{\omega_{\omega}}}(f_1))$

If $x \in \text{scode}^+$, then let $\Phi_x : K_{\chi_{\rho_x}^{\omega_\omega}} \to \omega_\omega$ be defined by $\Phi_x(\sigma) = \varphi_0(\Xi_{\tau_{\rho_x}^{\omega_\omega}}^2(f))$ for any $f : \omega \to \sigma$ which is a surjection. The conditions of the definition of scode^+ imply that Φ_x is a well-defined function independent of the choice of f which surjects onto σ .

Fact 4.33. Assume AD^+ . For any $\Phi : \mathscr{P}_{\omega_1}(\omega_\omega) \to \omega_\omega$, there is an $x \in \text{scode}^+$ so that $[\Phi]_{\nu_{\omega_\omega}} = [\Phi_x]_{\nu_{\omega_\omega}}$.

Proof. This was shown in the proof of Fact 4.31. (Replace the $\psi : P \to \kappa$ of the proof of Fact 4.31 with $\varphi_0 : W \to \omega_{\omega}$.) (Moreover, if one inspects the payoff set for Player 2 in the game H_R for the relevant relation R from Fact 4.31, one can even strengthen Definition 4.32 condition (2) to say that for all $f \in {}^{\omega}(\omega_{\omega})$, $\Xi_{\tau_{ax}}^{2}(f) \in W$.)

982 Fact 4.34. Assume AD. scode⁺ is Δ_4^1 .

Proof. Note that $x \in \text{scode}^+$ if and only if the conjunction of the following hold.

• $x \in \text{scode}^*$. 984 • For all $r, t, u \in \mathbb{R}$, if the conjunction of the following hold: 985 - Honest(r). 986 - fClosed_{Σ_{1}^{1}}(x, r). 987 - For all $n \in \omega$, $t^{[2n]} = r^{[n]}$. 988 - For all $n \in \omega$, $IntPart(t^{[2n+1]}, u(n))$ 989 $-\operatorname{\mathsf{Run}}_{\Sigma_{2}^{1}}(x,t),$ 990 then $u \in \check{W}$. 991 • For all $r_0, t_0, u_0, r_1, t_1, u_1 \in \mathbb{R}$, if the conjunction of the following hold: 992 - Honest (r_0) and Honest (r_1) . 993 - fClosed_{Σ_{1}^{1}} (x, r_{0}) . fClosed_{Σ_{2}^{1}} (x, r_{1}) . 994 - For all $n \in \omega$, $(t_0)^{[2n]} = (r_0)^{[n]}$ and $(t_1)^{[2n]} = (r_1)^{[n]}$. 995 - For all $n \in \omega$, $IntPart((t_0)^{[2n+1]}, u_0(n))$ and $IntPart((t_0)^{[2n+1]}, u_0(n))$. 996 - $\operatorname{\mathsf{Run}}_{\Sigma_2^1}(x, t_0)$ and $\operatorname{\mathsf{Run}}_{\Sigma_2^1}(x, t_1)$, 997 - For all $m \in \omega$, there exists $n \in \omega$ so that $\varphi_0((r_0)^{[m]}) = \varphi_0((r_1)^{[n]})$. For all $m \in \omega$, there exists 998 $n \in \omega$ so that $\varphi_0((r_1)^{[m]}) = \varphi_0((r_0)^{[n]}).$ 999 then $\varphi_0(u_0) = \varphi_0(u_1)$. 1000

The first point is Δ_4^1 since scode^{*} $\in \Delta_4^1$. The second and third points are Π_3^1 . The entire expression is Δ_4^1 .

Fact 4.35. (Kunen-Martin Theorem) Assume $AC_{\omega}^{\mathbb{R}}$. Every κ -Suslin wellfounded relation on \mathbb{R} has length less than κ^+ .

Fact 4.36. (Becker; [1] Theorem 4.2) Assume AD^+ . Let $\alpha < \delta_3^1 = \omega_{\omega+1}$ and ν_{α} be the unique supercompact measure on $\mathscr{P}_{\omega_1}(\alpha)$. Then $j_{\nu_{\alpha}}(\delta_4^1) = j_{\nu_{\alpha}}(\omega_{\omega+2}) = \delta_4^1 = \omega_{\omega+2}$. 1007 Proof. Note that these ultrapowers are wellfounded by Fact 4.21. For all $\alpha < \delta_3^1 = \omega_{\omega+1}, \nu_{\alpha}$ is Rudin-Keisler 1008 reducible to $\nu_{\omega_{\omega}}$ by Fact 4.20 and therefore $j_{\nu_{\alpha}}(\delta_4^1) \leq j_{\nu_{\omega_{\omega}}}(\delta_4^1)$. Thus it suffices to show that $j_{\nu_{\omega_{\omega}}}(\delta_4^1) = \delta_4^1$. 1009 The representatives of ordinals below $j_{\nu_{\omega_{\omega}}}(\delta_4^1)$ are functions of the form $\Phi : \mathscr{P}_{\omega_1}(\omega_{\omega}) \to \delta_4^1$. Since δ_4^1 1010 is regular, Fact 4.31 implies that Φ is $\nu_{\omega_{\omega}}$ -almost equal to a function which is strictly bounded below δ_4^1 . 1011 Thus $j_{\nu_{\omega_{\omega}}}(\delta_4^1) = \sup\{j_{\nu_{\omega_{\omega}}}(\beta) : \beta < \delta_4^1\}$. To prove the theorem, it suffices to show that $j_{\nu_{\omega_{\omega}}}(\beta) < \delta_4^1$ for all 1012 $\beta < \delta_4^1$.

1013 Let $\beta < \delta_4^1 = \omega_{\omega+2}$. Since $\delta_3^1 = \omega_{\omega+1}$, let $\psi_\beta : \delta_3^1 \to \beta$ be a surjection. For each $\Phi : \mathscr{P}_{\omega_1}(\omega_\omega) \to \delta_3^1$, let 1014 $\tilde{\Phi} : \mathscr{P}_{\omega_1}(\omega_\omega) \to \beta$ be defined by $\tilde{\Phi}(\sigma) = \psi(\Phi(\sigma))$. For every $\Upsilon : \mathscr{P}_{\omega_1}(\omega_\omega) \to \beta$, there is a $\Phi : \mathscr{P}_{\omega_1}(\omega_\omega) \to \delta_3^1$ 1015 so that $\tilde{\Phi} = \Upsilon$. Thus $\Psi : j_{\nu\omega_\omega}(\delta_3^1) \to j_{\nu\omega_\omega}(\beta)$ defined by $\Psi([\Phi]_{\nu\omega_\omega}) = [\tilde{\Phi}]_{\nu\omega_\omega}$ for any $\Phi : \mathscr{P}_{\omega_1}(\omega_\omega) \to \delta_3^1$ is a 1016 well-defined surjection. Since δ_4^1 is a cardinal, it suffices to show that $j_{\nu\omega_\omega}(\delta_3^1) < \delta_4^1$.

Since δ_3^1 is regular, Fact 4.31 again implies $j_{\nu\omega\omega}(\delta_3^1) = \sup\{j_{\nu\omega\omega}(\gamma) : \gamma < \delta_3^1\}$. Since δ_4^1 is regular, it suffices to show that $j_{\nu\omega\omega}(\gamma) < \delta_4^1$ for all $\gamma < \delta_3^1$. Since $\delta_3^1 = \omega_{\omega+1}$, the same argument from the previous paragraph shows that $j_{\nu\omega\omega}(\omega\omega)$ surjects onto $j_{\nu\omega\omega}(\gamma)$ for all $\gamma < \delta_3^1$. Finally, it has been shown that to prove the theorem it suffices to show $j_{\nu\omega\omega}(\omega\omega) < \delta_4^1$.

Define a relation compare $\subseteq \mathbb{R} \times \mathbb{R}$ as follows: compare(x, y) if and only there exists a $z \in \mathbb{R}$ such that the conjunction of the following hold.

1023 (1) $x, y \in \mathsf{scode}^+$ and $z \in \mathsf{scode}$.

(2) For all $r, t_0, t_1, u_0, u_1 \in \mathbb{R}$, if the conjunction of the following hold: 1024 • Honest(r). 1025 • $\mathsf{Closed}_{\Sigma_2^1}(z,r)$, $\mathsf{fClosed}_{\Sigma_2^1}(x,r)$, and $\mathsf{fClosed}_{\Sigma_2^1}(y,r)$. 1026 • For all $n \in \omega$, $(t_0)^{[2n]} = (t_1)^{[2n]} = r^{[n]}$. 1027 • For all $n \in \omega$, $IntPart((t_0)^{[2n+1]}, u_0(n))$ and $IntPart((t_1)^{[2n+1]}, u_1(n))$. 1028 • $\operatorname{\mathsf{Run}}_{\Sigma_2^1}(x, t_0)$ and $\operatorname{\mathsf{Run}}_{\Sigma_2^1}(y, t_1)$. 1029 then $\varphi_0(u_0) < \varphi_0(u_1)$. 1030 Observe that (1) is Δ_4^1 and (2) is Π_3^1 . Thus compare is Σ_4^1 . 1031 Claim 1: compare(x, y) if and only if $x, y \in \text{scode}^+$ and $[\Phi_x]_{\nu_{\omega_x}} < [\Phi_y]_{\nu_{\omega_y}}$. 1032 To see Claim: (\Rightarrow) Let z witness the existential quantifier in $\tilde{\operatorname{compare}}(x, y)$. Note $K_{\chi_{\rho_x}^{\omega_\omega}} \cap K_{\gamma_{\rho_y}^{\omega_\omega}} \cap K_{\rho_z} \in \nu_{\omega_\omega}$. 1033 Let $\sigma \in K_{\chi_{\rho_x}^{\omega_\omega}} \cap K_{\chi_{\rho_y}^{\omega_\omega}} \cap K_{\rho_z}$. By definition, this means that σ is honest and closed under $\chi_{\rho_x}^{\omega_\omega}$, $\chi_{\rho_x}^{\omega_\omega}$, and ρ_z . 1034 Let $f: \omega \to \sigma$ be any surjection. Let $g_x = \rho_f^1 * \rho_x$ and $g_y = \rho_f^1 * \rho_y$. Let r, t_0, t_1 be such that for all $n \in \omega$, 1035

1041 (\Leftarrow) Suppose $[\Phi_x]_{\nu_{\omega_{\omega}}} < [\Phi_y]_{\nu_{\omega_{\omega}}}$. The set $A = \{\sigma \in \mathscr{P}_{\omega_1}(\omega_{\omega}) : \Phi_x(\sigma) < \Phi_y(\sigma)\} \in \nu_{\omega_{\omega}}$. By Fact 4.16, 1042 there is a strategy ρ so that $K_{\rho} \subseteq A$. By Fact 4.24, there is a $z \in$ scode so that $\rho_z = \rho$. By much of the 1043 same argument as before, z witnesses the existential to show that $\operatorname{compare}(x, y)$ holds. This establishes the 1044 claim.

Define an equivalence relation ~ on scode⁺ by $x \sim y$ if and only if $[\Phi_x]_{\nu_{\omega_{\omega}}} = [\Phi_y]_{\nu_{\omega_{\omega}}}$. Let $H = \text{scode}^+/2$ 1045 ~ be the set of equivalence classes of ~. For $X, Y \in H$, define X < Y if and only if for any $x \in X$ 1046 and $y \in Y$, $[\Phi_x]_{\nu_{\omega_{\omega}}} < [\Phi_y]_{\nu_{\omega_{\omega}}}$. Observe that (H, <) order embeds into $j_{\nu_{\omega_{\omega}}}(\omega_{\omega})$ by the well-defined map 1047 $\Lambda(X) = [\Phi_x]_{\nu_{\omega_{\omega}}}$ for any $x \in X$. This shows that (H, <) is a wellordering. Hence by using the claim, compare is a wellfounded relation whose length corresponds to the ordertype of (H, <). By Fact 4.33, every 1048 1049 $\Phi: \mathscr{P}_{\omega_1}(\omega_{\omega}) \to (\omega_{\omega})$ has an $x \in \mathsf{scode}^+$ so that $[\Phi]_{\nu_{\omega_{\omega}}} = [\Phi_x]_{\nu_{\omega_{\omega}}}$. This shows that the ordertype of (H, <)1050 is exactly $j_{\nu_{\omega_{\omega}}}(\omega_{\omega})$. Hence the length of compare is exactly $j_{\nu_{\omega_{\omega}}}(\omega_{\omega})$. Since compare is a wellfounded Σ_4^1 1051 and hence $\delta_3^1 = \omega_{\omega+1}$ Suslin relation, the Kunen-Martin theorem states that the length of compare is less than $(\delta_3^1)^+ = (\omega_{\omega+1})^+ = \omega_{\omega+2} = \delta_4^1$. Thus $j_{\nu_{\omega_{\omega}}}(\omega_{\omega}) < \delta_4^1$. This completes the proof. 1052 1053

Theorem 4.37. Assume AD^+ . Let $\langle A_{\alpha} : \alpha < \delta_3^1 \rangle$ be such that $\bigcup_{\alpha < \delta_3^1} A_{\alpha} = \mathscr{P}(\delta_4^1)$. Then there is an $\alpha < \delta_3^1$ so that $\neg (|A_{\alpha}| \le |^{<\delta_4^1} \delta_4^1|)$. Proof. Suppose $\mathscr{P}(\boldsymbol{\delta}_4^1) = \bigcup_{\alpha < \boldsymbol{\delta}_3^1} A_{\alpha}$ and $|A_{\alpha}| \le |^{<\boldsymbol{\delta}_4^1} \boldsymbol{\delta}_4^1|$ for all $\alpha < \boldsymbol{\delta}_3^1$. $\boldsymbol{\delta}_3^1$ is a Suslin cardinal and hence reliable. By Fact 4.36, the hypothesis of Theorem 4.11 holds. Thus $|\mathscr{P}(\boldsymbol{\delta}_4^1)| = |\bigcup_{\alpha < \boldsymbol{\delta}_3^1} A_{\alpha}| \le |^{<\boldsymbol{\delta}_4^1} \boldsymbol{\delta}_4^1|$. $\boldsymbol{\delta}_4^1$ is a weak partition cardinal and hence a measurable cardinal. Thus $\boldsymbol{\delta}_4^1$ does not inject into $\mathscr{P}(\gamma)$ for any $\gamma < \boldsymbol{\delta}_4^1$. So $|^{<\boldsymbol{\delta}_4^1} \boldsymbol{\delta}_4^1| < |\mathscr{P}(\boldsymbol{\delta}_4^1)|$ by Fact 2.9. Contradiction.

1060 This argument can be generalized to the suitable analog at higher projective ordinals.

1061 Theorem 4.38. Assume AD^+ . Let $n \in \omega$. Let $\langle A_{\alpha} : \alpha < \delta^1_{2n+1} \rangle$ be such that $\bigcup_{\alpha < \delta^1_{2n+1}} A_{\alpha} = \mathscr{P}(\delta^1_{2n+2})$. 1062 Then there is an $\alpha < \delta^1_{2n+1}$ so that $\neg (|A_{\alpha}| \le |^{<\delta^1_{2n+2}} \delta^1_{2n+2}|)$.

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