

# Compactness of $\omega_1$

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We say that  $\kappa$  is *supercompact/strongly compact* if  $\kappa$  is  *$\lambda$ -supercompact/ $\lambda$ -strongly compact* for all  $\lambda$ . Clearly, supercompact  $\rightarrow$  strongly compact  $\rightarrow$  measurable.

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Recall the definition of an ultrafilter/measure.

### Definition

$\mu$  is a measure on a set  $X$  if

$$\mu : \mathcal{P}(X) \rightarrow \{0, 1\}$$

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## Compactness ultrafilters

Let  $\kappa$  be a cardinal. Let  $X$  be a set such that  $|X| \geq \kappa$ . We write

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Strong compactness was introduced by Keisler and Tarski (1963/64) and it turns out that under ZFC, the two notions of strong compactness are equivalent. Without the Axiom of Choice, this is not true.

## Compactness ultrafilters (cont.)

Let  $\kappa, X$  be as above. Let  $\mu$  be a fine,  $\kappa$ -complete measure on  $\mathcal{P}_\kappa(X)$ . Let  $(A_x : x \in X)$  be a sequence of sets in  $\mu$ . Then

$$\Delta_x A_x = \{\sigma : \sigma \in \bigcap_{x \in \sigma} A_x\}.$$

We say that  $\mu$  is *normal* if and only if for every sequence  $(A_x : x \in X)$  as above,

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**Open problem:** (ZFC) Is strong compactness equiconsistent with supercompactness?



## $X$ -strong compactness of $\omega_1$ versus $X$ -supercompactness of $\omega_1$

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## Some examples

Recall  $AD_X$  is the statements that infinite games of perfect information on  $X$  is determined. So for  $A \subseteq X^\omega$ , the game  $G_A$  is determined under  $AD_X$ . AD is  $AD_\omega$ .

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It is easy to check that  $\mu$  is countably complete and fine. So  $\omega_1$  is  $\mathbb{R}$ -strongly compact.

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What about measures on  $\mathcal{P}_{\omega_1}(X)$  for  $X$  "bigger" than  $\mathbb{R}$ ?

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For  $\alpha < \Theta$ , let  $\Gamma_\alpha = \{A : w(A) < \alpha\}$ , where  $w(A)$  is the Wadge rank of  $A$ . Let  $\mu_\alpha$  be the measure on  $\mathcal{P}_{\omega_1}(\Gamma_\alpha)$  induced by the Solovay measure (unique by Woodin).

Define  $\mu$  on  $\mathcal{P}_{\omega_1}(\mathcal{P}(\mathbb{R}))$  as:

$$A \in \mu \Leftrightarrow \forall_\nu^* \alpha \forall_{\mu_{f(\alpha)}}^* \sigma \sigma \in A.$$

The measure  $\mu$  is countably complete and fine.

## Some examples (cont.)

Assume  $\text{AD}_{\mathbb{R}} + \text{DC}$ . Let

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So we get  $\omega_1$  is  $\mathcal{P}(\mathbb{R})$ -strongly compact. To get a normal measure on  $\mathcal{P}_{\omega_1}(\mathcal{P}(\mathbb{R}))$ , we seem to need  $\Theta$  is measurable. It is known that  $\text{AD}_{\mathbb{R}} + \text{DC}$  is not enough.

## Classical constructions of models with $\omega_1$ being $\mathbb{R}$ -compact

Suppose  $V \models \text{ZFC} +$  there is a measurable cardinal. Let  $\kappa$  is a measurable witnessed by  $\mu$ ,  $j : V \rightarrow M$  be the  $\mu$ -ultrapower map, and  $G \subseteq \text{Col}(\omega, < \kappa)$ .

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Though, for example, if  $V = L[\mu]$ , the minimal model of a measurable cardinal, then  $L(\mathbb{R}, F)$  fails to satisfy AD.

## With or without AD

Without AD,

### Theorem

*The following are equiconsistent.*

- $\omega_1$  is  $\mathbb{R}$ -strongly compact;
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By Woodin, the above are equiconsistent with "ZFC +  $\exists \omega$  many Woodin cardinals".  
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### Corollary

" $\text{AD} + \omega_1$  is  $\mathbb{R}$ -supercompact" is strictly stronger (consistencywise) than " $\text{AD} + \omega_1$  is  $\mathbb{R}$ -strongly compact".

## Canonical models of $\omega_1$ is $\mathbb{R}$ -supercompact

Under  $AD_{\mathbb{R}}$ , Woodin (early 1980's) has shown that the Solovay measure on  $\mathcal{P}_{\omega_1}(\mathbb{R})$  is unique and asked about uniqueness of models of the form  $L(\mathbb{R}, \mu) \models$  “ $\mu$  is a supercompact measure on  $\mathcal{P}_{\omega_1}(\mathbb{R})$  (under AD).”

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Assume  $\text{AD}_{\mathbb{R}} + \text{DC}$ . Recall that working in a minimal model of  $\text{AD}_{\mathbb{R}} + \text{DC}$  (so  $\text{cof}(\Theta) = \omega_1$ ), we can construct a countably complete, fine measure on  $\mathcal{P}_{\omega_1}(\mathcal{P}(\mathbb{R}))$  by "integrating the Solovay measure along a cofinal, continuous function  $f : \omega_1 \rightarrow \Theta$ ".

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From  $\text{ZF} + \text{DC} + \text{"}\omega_1 \text{ is } \mathcal{P}(\mathbb{R})\text{-supercompact"}$ , one obtains the sharp for a model of  $\text{AD}_{\mathbb{R}} + \text{DC}$ .



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To see this, note that from the proof of the above theorem, we get a model  $L(\Omega^*, \mathbb{R}) \models AD_{\mathbb{R}} + DC$ , where  $\Omega^* \subseteq \mathcal{P}(\mathbb{R})$ . Fix a countably complete, fine, normal measure  $\mu$  on  $\mathcal{P}_{\omega_1}(\Omega^*)$ . Then note that by normality,

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### Definition ( $AD + DC_{\mathbb{R}}$ )

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Here are some determinacy theories in increasing strength: (1)  $AD$ , (2)  $AD^+ + \Theta > \theta_0$ , (3)  $AD_{\mathbb{R}}$ , (4)  $AD_{\mathbb{R}} + DC$ , (5)  $AD_{\mathbb{R}} + \Theta$  is regular, (6)  $AD_{\mathbb{R}} + \Theta$  is measurable, (7)  $AD_{\mathbb{R}} + \Theta$  is Mahlo, (8)  $AD^+ + \Theta = \theta_{\alpha+1} + \theta_\alpha$  is the largest Suslin cardinal (LSA).

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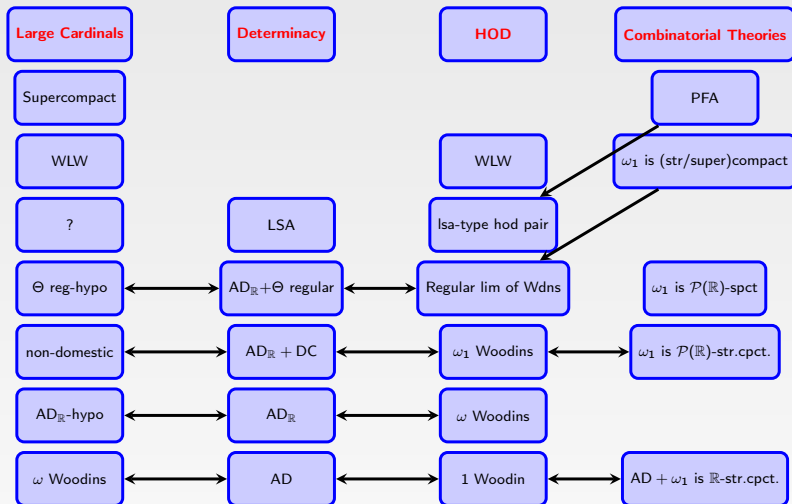
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# Hierarchies





## The Chang<sup>+</sup> model

For each  $\lambda \geq \omega$ , let  $\mathcal{F}_\lambda$  be the club filter on  $\mathcal{P}_{\omega_1}(\lambda^\omega)$ , and define the Chang<sup>+</sup> model

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Thank you!