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## THE BAIRE ORDER OF THE FUNCTIONS CONTINUOUS ALMOST EVERYWHERE. II

R. DANIEL MAULDIN

**ABSTRACT.** Let  $S$  be a complete and separable metric space and  $\mu$  a  $\sigma$ -finite, complete Borel measure on  $S$  with  $\mu(S) > 0$ . Let  $\Phi$  be the family of all real-valued functions defined on  $S$  whose set of points of discontinuity is of  $\mu$ -measure 0. Let  $B_\alpha(\Phi)$  be the functions of Baire's class  $\alpha$  generated by  $\Phi$ . It is shown that  $B_1(\Phi) = B_2(\Phi)$  if and only if  $\mu$  is a purely atomic measure whose set of atoms forms a scattered subset of  $S$  and that if  $B_1(\Phi) \neq B_2(\Phi)$ , then the Baire order of  $\Phi$  is  $\omega_1$ ; in other words, if  $0 \leq \alpha < \omega_1$ , then  $B_\alpha(\Phi) \neq B_{\alpha+1}(\Phi)$ . This answers a generalized version of a problem raised by Sierpinski and Felsztyn. An example is given of a normal space with Borel order 2 and Baire order  $\omega_1$ .

Sierpinski and Felsztyn in the first volume of *Fundamenta Mathematicae* raised the following problem:

(\*) Is there a function of Baire's class 2 on the unit interval which is not the pointwise limit of a sequence of functions each continuous almost everywhere [5]?

There is a discussion of this problem in the appendix of the 1937 edition of the first volume. This problem was solved by Zalcwasser and Kantorovitch. Also, see [4].

In Theorem 4 of [4], the author shows that for each countable ordinal  $\alpha$ , there is a function of Baire's class  $\alpha + 1$  which is not in the  $\alpha$  class generated by the functions continuous almost everywhere. Therefore, the answer to (\*) and to a generalized version of (\*) is yes.

This paper contains a number of generalizations of results contained in [4].

**Definitions and notation.** If  $X$  is a topological space and  $\mu$  is a complete Borel measure on  $X$ ,  $A$  is a subset of  $X$ , and  $B$  is a subset of  $A$ , then

- (a)  $\Phi(A, \mu)$  will denote the family of all real-valued functions defined on  $A$  whose set of points of discontinuity is of  $\mu$ -measure zero, and
- (b)  $\Phi(A, B)$  will denote the family of all real-valued functions defined

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on  $A$  which are continuous at each point of  $B$ .

If  $X$  is a set and  $\Phi$  is a family of real-valued functions defined on  $X$ , then  $B_0(\Phi)$  will denote  $\Phi$  and for each ordinal  $\alpha$ ,  $\alpha > 0$ ,  $B_\alpha(\Phi)$  will denote the family of all pointwise limits of sequences from  $\bigcup_{\gamma < \alpha} B_\gamma(\Phi)$ . Of course,  $B_{\omega_1}(\Phi) = \bigcup_{\alpha < \omega_1} B_\alpha(\Phi)$  and thus,  $B_{\omega_1}(\Phi) = B_{\omega_1+1}(\Phi)$ . The first ordinal  $\alpha$  for which  $B_\alpha(\Phi) = B_{\alpha+1}(\Phi)$  will be called the Baire order of  $\Phi$ .

The unit interval will be denoted by  $I$ .

Recall that a subset  $M$  of a topological space is said to be scattered if there is no subset of  $M$  which is dense in itself. Also, in this paper the Borel sets form the  $\sigma$ -algebra generated by the open sets and a measure  $\mu$  is regular means  $\mu(E) = \sup\{\mu(F): F = \bar{F} \subseteq E\} = \inf\{\mu(U): U \text{ is open and } E \subseteq U\}$ , for each  $\mu$ -measurable set  $E$ .

**Theorem 1.** *Suppose  $\mu$  is a finite, positive complete Borel measure on  $I$  and  $\mu(I) > 0$ . If  $\mu$  is not a purely atomic measure whose set of atoms forms a scattered set, then the Baire order of  $\Phi(I, \mu)$  is  $\omega_1$ .*

**Proof.** Let  $M$  be the set of all atoms of the measure  $\mu$ . Either (1) the countable set  $M$  contains a dense in itself subset  $K$ , or (2)  $\mu(I - M) > 0$ . If the first case holds, then  $\bar{K}$  is a perfect subset of  $I$  such that if an open set  $U$  meets  $\bar{K}$ , then  $\mu(\bar{K} \cap U) > 0$ . If the second case holds, then there is a perfect set lying in  $I - M$  such that if an open set meets  $P$ , then  $\mu(P \cap U) > 0$ .

It is easy to check that one may now proceed exactly as in [4], and conclude that the Baire order of  $\Phi(I, \mu)$  is  $\omega_1$ .

**Theorem 2.** *Let  $K$  be a subset of a metric space  $S$  and let  $D$  and  $A$  be  $G_\delta$  subsets of  $S$  containing  $K$  with  $K \subseteq D \subseteq A$ . Then*

(a) *if  $\alpha > 0$ , each function in  $B_\alpha(\Phi(D, K))$  has an extension to a function in  $B_\alpha(\Phi(A, K))$ ,*

(b) *the Baire order of  $\Phi(D, K)$  is no more than the Baire order of  $\Phi(A, K)$ ,*

(c) *if the Baire order of  $\Phi(D, K)$  is  $> 0$ , then  $\Phi(A, K)$  and  $\Phi(D, K)$  have the same order.*

**Proof.** (a) If  $f \in B_\alpha(\Phi(D, K))$  and  $\alpha > 0$ , then by Theorem 3 of [2], there is a function  $g$  of Baire's class  $\alpha$  (in other words,  $g \in B_\alpha(\Phi(D, D))$ ) such that  $M = \{x | f(x) \neq g(x)\}$ , is a subset of an  $F_\sigma$  set,  $W$ , with respect to  $D$  and  $W$  does not intersect  $K$ .

Let

$$\hat{f}(x) = \begin{cases} f(x), & x \in D, \\ g(x), & x \in A - D. \end{cases}$$

The set of all  $x$  such that  $\hat{f}(x) \neq \hat{g}(x)$  is  $M$ . Let  $W = \bigcup_{n=1}^{\infty} F_n$ , where for each  $n$ ,  $F_n$  is closed with respect to  $D$  and let  $\hat{F}_n$  be the closure of  $F_n$  in  $A$ . Then  $M \subset \hat{W} = \bigcup_{n=1}^{\infty} \hat{F}_n$  and  $\hat{W}$  is an  $F_{\sigma}$  set with respect to  $A$  which does not meet  $K$ . Thus, by Theorem 3 of [2],  $\hat{f} \in B_{\alpha}(\Phi(A, K))$ .

(b) It may be shown by transfinite induction, that for all  $\alpha, 0 \leq \alpha$ , if  $f \in B_{\alpha}(\Phi(A, K))$ , then the restriction of  $f$  to  $D$  is in the family  $B_{\alpha}(\Phi(D, K))$ . From this we see that if  $f$  is exactly of class  $B_{\alpha}(\Phi(D, K))$  ( $f \in B_{\alpha}(\Phi(D, K)) - \bigcup_{\gamma < \alpha} B_{\gamma}(\Phi(D, K))$ ), then no extension of  $f$  to  $A$  can be of lower class with respect to  $\Phi(A, K)$ . Thus, the Baire order of  $\Phi(D, K)$  is no more than the Baire order of  $\Phi(A, K)$ .

(c) Suppose the Baire order of  $\Phi(A, K)$  is greater than  $\gamma$ , the Baire order of  $\Phi(D, K)$ . Let  $f$  be a function of exactly class  $B_{\gamma+1}(\Phi(A, K))$  and let  $h$  be the restriction of  $f$  to  $D$ . Then  $h \in B_{\gamma+1}(\Phi(D, K))$  and therefore  $h \in B_{\gamma}(\Phi(D, K))$ . Since  $\gamma > 0$ , by part (a), there is an extension  $\hat{h}$  of  $h$  to  $A$  which is in  $B_{\gamma}(\Phi(A, K))$ . Let  $M = \{x | \hat{h}(x) \neq f(x)\}$ . The set  $M$  is a subset of  $A - D$ . But,  $A - D$  is an  $F_{\sigma}$  set with respect to  $A$  which does not meet  $K$ . It follows from Theorem 3 of [2], that  $f \in B_{\gamma}(\Phi(A, K))$ . This contradiction completes the argument for part (c).

**Theorem 3.** *Let  $A$  and  $D$  be  $G_{\delta}$  subsets of a metric space  $S$  with  $D \subseteq A$ . Let  $\mu$  be a finite regular complete Borel measure defined on  $A$ . If  $\mu(A - D) = 0$ , then*

- (a) *if  $\alpha > 0$ , each function in  $B_{\alpha}(\Phi(D, \mu))$  has an extension to a function in  $B_{\alpha}(\Phi(A, \mu))$ ,*
- (b) *the Baire order of  $\Phi(D, \mu)$  is no more than the Baire order of  $\Phi(A, \mu)$ , and*
- (c) *if the Baire order of  $\Phi(D, \mu)$  is  $> 0$ , then  $\Phi(A, \mu)$  and  $\Phi(D, \mu)$  have the same order.*

The proof of this theorem follows the corresponding proofs of Theorem 2.

**Theorem 4.** *Let  $R$  be the set of all rational numbers in  $I$ , let  $B$  be a  $G_{\delta}$  subset of  $I$  containing  $R$ . Then the Baire order of  $\Phi(B, R)$  is  $\omega_1$ .*

**Proof.** Let  $\mu$  be a finite, complete Borel measure on  $I$  such that  $\mu$  is purely atomic and  $R$  is the set of all atoms of  $\mu$ . Then, the family  $\Phi(I, R)$  is  $\Phi(I, \mu)$ . It is easy to see that the Baire order of  $\Phi(B, R)$  is not 0. There-

fore, by Theorem 2 (c), the Baire order of  $\Phi(B, R)$  is  $\omega_1$ .

**Theorem 5.** *Let  $K$  be a countable dense in itself subset of a complete and separable metric space  $S$  and let  $A$  be a  $G_\delta$  subset of  $S$  containing  $K$ . Then the Baire order of  $\Phi(A, K)$  is  $\omega_1$ .*

**Proof.** Let  $\phi$  be a homeomorphism of  $K$  with the set of all rational numbers in the unit interval  $I$  [1, p. 287]. Let  $\hat{\phi}$  be an extension of  $\phi$  defined on a  $G_\delta$  set  $B$  containing  $K$  to a  $G_\delta$  set,  $\hat{\phi}(B)$ , in  $I$  such that  $\hat{\phi}$  is a homeomorphism of  $B$  and  $\hat{\phi}(B)$  [1, p. 429].

It follows easily by transfinite induction that  $f \in B_\alpha(\Phi(A \cap B, K))$  if and only if  $f \circ \hat{\phi}^{-1} \in B_\alpha(\Phi(\hat{\phi}(A \cap B), R))$ . Therefore, the order of the family  $\Phi(A \cap B, K)$  is  $\omega_1$  by Theorem 3. Thus, the Baire order of the family  $\Phi(A, K)$  is  $\omega_1$  by Theorem 2 (c).

**Theorem 6.** *Let  $M$  be a subset of a complete and separable metric space. If  $M$  contains a perfect set, then the Baire order of  $\Phi(S, M)$  is  $\omega_1$ . If  $M$  is countable, then (1) the Baire order of  $\Phi(S, M)$  is  $\leq 1$ , if  $M$  is scattered and (2) the Baire order of  $\Phi(S, M)$  is  $\omega_1$ , if  $M$  is not scattered.*

**Proof.** Suppose  $M$  contains a perfect set  $K$ . Since  $\Phi(K, K)$  is the space of all real valued continuous functions defined on  $K$ , it follows that the Baire order of  $\Phi(K, K)$  is  $\omega_1$ . Also, for each  $\alpha$ ,  $0 \leq \alpha$ , each function in  $B_\alpha(\Phi(K, K))$  has an extension to a function in  $B_\alpha(\Phi(S, S))$  [1, p. 434] and thus to a function in  $B_\alpha(\Phi(S, M))$ . It follows that if  $f \in B_\alpha(\Phi(K, K))$  but to none of the preceding classes, then any extension of  $f$  to a function in  $B_\alpha(\Phi(S, M))$  cannot belong to any class  $B_\gamma(\Phi(S, M))$ ,  $\gamma < \alpha$ .

Therefore, the order of  $\Phi(S, M)$  is  $\omega_1$ .

Now, suppose  $M$  is countable.

*Case 1.* The set  $M$  is scattered. In this case, Theorem 2 of [3] states that the Baire order of  $\Phi(S, M)$  is  $\leq 1$ .

*Case 2.* The set  $M$  is not scattered. Let  $K$  be the dense in itself kernel of  $M$ .

If  $M$  is  $K$ , then by Theorem 5 the Baire order of  $\Phi(S, M) = \Phi(S, K)$  is  $\omega_1$ .

If  $K$  is a proper subset of  $M$ , then the set  $M - K$  is scattered. Therefore  $M - K$  is an  $F_\sigma$  set [1, p. 258]. Then  $S - (M - K)$  is a  $G_\delta$  set containing  $K$  and the Baire order of  $\Phi(S - (M - K), K)$  is  $\omega_1$  by Theorem 5.

If  $f$  is of exactly class  $B_{\alpha+1}(\Phi(S - (M - k), K))$ ,  $\alpha > 0$ , then there is a function  $g$  of Baire's class  $\alpha + 1$  on  $S - (M - K)$  such that the set  $M = \{x | f(x) \neq g(x)\}$  is a subset of a set  $W$  which is an  $F_\sigma$  set with respect to

$S - (M - K)$ . Let  $\hat{g}$  be an extension of  $g$  to  $S$  of Baire's class  $\alpha + 1$ . Then obviously,  $g \in B_{\alpha+1}(\Phi(S, M))$ . Assume  $g \in B_{\alpha}(\Phi(S, M))$ . Then there is a function  $h$  in Baire's class  $\alpha$  on  $S$  such that the set  $M_1 = \{x | \hat{g}(x) \neq h(x)\}$  is a subset of an  $F_{\sigma}$  set  $W_1$  in  $S$  such that  $W_1$  does not intersect  $K$  [2, Theorem 3]. But, then  $l$ , the restriction of  $h$  to  $S - (M - K)$ , is a function of Baire's  $\alpha$  on  $S - (M - K)$  and the set of all  $x$  such that  $l(x) \neq f(x)$  is a subset of  $W_1 \cap (S - (M - K))$ , which is an  $F_{\sigma}$  set in  $S - (M - K)$  which does not meet  $K$ . Therefore, by Theorem 3 of [2],  $f$  is in  $B_{\alpha}(\Phi(S - (M - K), K))$ . This contradiction proves that the order of  $\Phi(S, M)$  is  $\omega_1$ .

*Questions.* Is there a subset  $M$  of  $I$  such that the Baire order of  $\Phi(I, M)$  is 2? For each ordinal  $\alpha$ ,  $2 \leq \alpha < \omega_1$ , is there a subset  $M$  of  $I$  such that the Baire order of  $\Phi(I, M)$  is  $\alpha$ ?

**Theorem 6.** *Let  $\mu$  be a finite regular Borel measure defined on the space  $N$  consisting of all irrational numbers between 0 and 1. If  $\mu$  has no atoms and  $\mu(N) > 0$ , then the order of  $\Phi(I, \mu)$  is  $\omega_1$ .*

**Proof.** Let  $\hat{\mu}$  be the unique extension of  $\mu$  to a complete Borel measure defined on  $I$  such that  $\hat{\mu}(I - N) = 0$ . Then  $\hat{\mu}(I) > 0$  and  $\hat{\mu}$  has no atoms. Therefore the Baire order of  $\Phi(I, \mu)$  is  $\omega_1$ . Therefore, by Theorem 2, the Baire order of  $\Phi(N, \mu)$  is  $\omega_1$ .

**Theorem 7.** *Let  $\mu$  be a  $\sigma$ -finite regular Borel measure defined on a complete and separable metric space  $S$  with  $\mu(S) > 0$ . Then (1) the order of  $\Phi(S, \mu)$  is  $\leq 1$  if and only if  $\mu$  is purely atomic and the set of atoms of  $\mu$  forms a scattered set, and (2) the order of  $\Phi(S, \mu)$  is  $\omega_1$ , if  $\mu$  does not meet the conditions described in 1.*

**Proof.** Part (1) of the conclusion is Theorem 3 of [3].

Let  $\{K_n\}_{n=1}^{\infty}$  be a sequence of disjoint Borel sets of finite  $\mu$ -measure filling up  $S$ . Let  $\mu_n(A) = \mu(A \cap K_n)$ , for each  $n$  and each  $\mu$ -measurable set  $A$ . Let

$$\nu = \sum_{n=1}^{\infty} \frac{2^{-n}}{\mu_n(K_n) + 1} \mu_n.$$

Then  $\nu$  is a finite regular Borel measure on  $S$  and a subset  $E$  of  $S$  is of  $\mu$ -measure 0 if and only if  $\nu(E) = 0$ .

Let  $\nu = \nu_d + \nu_s$ , where  $\nu_d$  is purely atomic and  $\nu_s$  has no atoms. Let  $M$  be the set of atoms of  $\nu_d$ . Of course,  $M$  is the set of atoms of  $\mu$ . It follows from part (1) of the conclusion that either  $M$  is not scattered or  $\nu(S - M) > 0$ .

*Case 1.* Suppose  $M$  is not scattered. Let  $K$  be the dense in itself kernel of  $M$  and let  $A$  be a  $G_\delta$  set containing  $K$  such that  $\nu(A) = \nu(K)$ . Then  $\nu(A - K) = 0$  and  $\Phi(A, \nu) = \Phi(A, K)$ . Therefore, by Theorem 5, the order of  $\Phi(A, \nu)$  is  $\omega_1$  and by Theorem 3 the order of  $\Phi(S, \nu) = \Phi(S, \mu)$  is  $\omega_1$ .

*Case 2.* Suppose  $\nu(S - M) > 0$ .

Let  $J$  be a perfect set lying in  $S - M$  such that if an open set  $U$  meets  $J$ , then  $\nu(J \cap U) > 0$ . Let  $\{y_n\}_{n=1}^\infty$  be a dense subset of  $J$  and for each  $n$ , let  $\{\delta_{np}\}_{p=1}^\infty$  be a decreasing sequence of positive numbers converging to zero such that  $\nu(\overline{B(y_n, \delta_{np})} - B(y_n, \delta_{np})) = 0$ , where  $B(y_n, \delta_{np})$  is the ball with center  $y_n$  and radius  $\delta_{np}$ . Let  $Q$  be the union of all the sets  $\overline{B(y_n, \delta_{np})} - B(y_n, \delta_{np})$ . It follows that  $Q \cap J$  is an  $F_\sigma$  subset of  $J$  with  $\nu(Q) = 0$  such that  $J - Q$  is 0-dimensional.

Let  $W = J - Q$ . Then  $W$  is a dense in itself 0-dimensional  $G_\delta$  set lying in  $J$ . By Theorem 3, the Baire order of  $\Phi(J, \nu)$  is the same as the order of  $\Phi(W, \nu)$ .

Let  $\phi$  be a homeomorphism of  $W$  onto  $N$ , the set of all irrational numbers between 0 and 1 [1, p. 441], and for each  $\nu$ -measurable set  $E$  lying in  $W$ , let  $\lambda(\phi(E)) = \nu(E)$ . It follows that  $\lambda$  is a complete Borel measure on  $N$  and a function  $f$  is in the class  $B_\alpha(\Phi(N, \lambda))$  if and only if  $f \circ \phi$  is in the class  $B_\alpha(\Phi(W, \nu))$ . By Theorem 5, the Baire order of  $\Phi(N, \lambda)$  is  $\omega_1$ . Thus, the order of  $\Phi(J, \nu)$  is  $\omega_1$ .

Finally, if  $h \in B_\alpha(\Phi(S, \nu))$ , then the restriction of  $h$  to  $J$  is in  $B_\alpha(\Phi(J, \nu))$ . Also, if  $\alpha > 0$  and  $f \in B_\alpha(\Phi(J, \nu))$ , then there is a function  $g$  of Baire's class  $\alpha$  defined on  $J$  such that the set  $M$  of all  $x$  such that  $g(x) \neq f(x)$  is a subset of an  $F_\sigma$  set  $T$  with respect to  $J$ .

Let  $\hat{g}$  be an extension of Baire's class  $\alpha$  to all of  $S$  [1, p. 434], let  $\hat{f}(x) = f(x)$ ,  $x \in J$ , and  $\hat{f}(x) = g(x)$ ,  $x \in S - J$ . Then the set of all  $x$  such that  $\hat{f}(x) \neq \hat{g}(x)$  is a subset of  $T$ . Since  $T$  is an  $F_\sigma$  set with respect to  $J$ ,  $T$  is an  $F_\sigma$  set in  $S$  of  $\nu$ -measure zero. Therefore, by Theorem 3 of [3],  $f \in B_\alpha(\Phi(S, \nu))$ .

From the above considerations, it follows that the order of  $\Phi(S, \nu)$ , which is  $\Phi(S, \mu)$ , is  $\omega_1$ .

**Theorem 8.** *There is a hereditarily paracompact space which has Borel order 2 and Baire order  $\omega_1$ .*

**Proof.** Let  $X$  be the unit interval and let a subset  $W$  of  $X$  be open if and only if  $W = U \cap V$  where  $U$  is open and  $V$  is any subset of  $X - R$ ,

where  $R$  is the rationals. The space  $X$  is hereditarily paracompact [6].

S. Willard in [7] shows that every Borel subset of  $X$  is a  $G_{\delta\sigma}$  set in  $X$ . If  $f \in C(X)$ , then  $f$  is continuous in the usual topology at each point of  $R$ . Thus, by Theorem 4,  $X$  has Baire sets of arbitrarily high class.

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF FLORIDA, GAINESVILLE,  
FLORIDA 32611